Treatment of Central Sleep Apnea in Adults:

An American Academy of Sleep Medicine systematic review, meta-analysis, and GRADE

assessment.

4 **Introduction**: This systematic review provides supporting evidence for the accompanying clinical practice 5 guideline on the treatment of central sleep apnea syndrome in adults.

Methods: The American Academy of Sleep Medicine commissioned a task force of experts in sleep medicine. A
 systematic review was conducted to identify studies that compared the use of positive airway pressure therapies
 (PAP), non-PAP therapies, and pharmacological treatment to no treatment to improve patient-important outcomes.
 Statistical analyses were performed to determine the clinical significance of using various interventions to treat

10 CSA in adults. The Grading of Recommendations Assessment, Development, and Evaluation (GRADE) process

11 was used to assess the evidence for making recommendations.

Results: The literature search resulted in 6,662 articles out of which 100 articles provided data suitable for statistical analyses. The task force provided a detailed summary of the evidence along with the certainty of evidence, the balance of benefits and harms, patient values and preferences, and resource use considerations.

15 Keywords: central sleep apnea, central sleep-disordered breathing, therapy, systematic review

16 INTRODUCTION

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17 This systematic review is intended to provide supporting evidence for a clinical practice guideline (CPG) on the

- 18 treatment of central sleep apnea syndromes (CSA) in adults and update the evidence review conducted for the
- 19 previously published American Academy of Sleep Medicine (AASM) guideline on the treatment of CSA in 2012¹
- 20 and updated in $2016.^2$

21 BACKGROUND

CSA is a significant clinical problem that contributes to adverse outcomes independently or in co-morbid disorders.³⁻⁸ CSA is a manifestation of breathing instability, either as a primary condition, or in association with several co-morbid conditions including CSA due to heart failure, CSA due to medication or substance use, treatment-emergent CSA, and CSA due to a medical condition or disorder.⁹ The occurrence of CSA in the context of an underlying disease state underscores the critical need to address associated conditions as an integral part of CSA management.

28 CSA results from abolished ventilatory motor output, manifesting as an absence, or near absence, of flow and effort 29 on polysomnography (PSG). The fundamental cause of CSA is removal of wakefulness drive to breathe, rendering 30 ventilatory motor output dependent on the metabolic ventilatory control system. Accordingly, non-rapid eye 31 movement (NREM) sleep unmasks a highly sensitive and reproducible hypocapnic apneic threshold, resulting in 32 central appear when the level of partial pressure of carbon dioxide (PaCO₂) drops below this threshold.¹⁰ 33 Experimentally, central apnea in sleeping humans can be induced using nasal mechanical ventilation to reduce 34 PaCO₂. The magnitude of hypocapnia required to induce central apnea is referred to as the "CO₂ reserve;" a narrow 35 CO_2 reserve reflects high loop gain and hence increased propensity to central apnea.³

Hypocapnia is a potent mechanism of central apnea and must be of sufficient magnitude and duration to affect
 medullary rhythmogenesis. The duration of hyperventilation is a critical determinant of central apnea, given the

38 time required for decreased PaCO2 to reach the medulla. This may explain the lack of central apnea following

induced brief arousals in sleeping humans,¹¹ and the dearth of studies demonstrating the efficacy of suppressing
 arousals for the treatment of CSA. Therefore, the contribution of arousals to the genesis of central apnea and the
 impact of suppressing arousals on central apnea severity await empirical proof.

42 Central apneas rarely occur as a single event, other than post-sigh events, but as recurrent cycles of apnea or 43 hypopnea, alternating with hyperpnea, reflecting the high gain of the closed-loop cycle that characterizes ventilatory control. This concept is described using the engineering concept of "loop gain." in which the response of the 44 45 ventilatory system to changing arterial CO₂ represents chemoreflex sensitivity (the <u>controller</u>), and the effectiveness 46 of the lung/respiratory system in lowering end tidal CO_2 in response to hyperventilation represents the plant.¹² 47 Changes in either parameter alters the magnitude of hypocapnia required to induce central apnea. Central apnea is 48 associated with several consequences that conspire to promote further breathing instability. Due to the inertia of the 49 ventilatory control system, once ventilatory motor output completely ceases, rhythmic breathing does not resume at eupneic PaCO₂.¹³ 50

51 CSA may also influence the development of obstructive sleep apnea (OSA). For example, individuals with 52 unfavorable upper airway anatomy are dependent on ventilatory motor output to preserve upper airway patency. 53 Accordingly, pharyngeal obstruction develops when the ventilatory drive reaches a nadir during induced periodic 54 breathing.¹⁴ Studies using upper airway imaging have demonstrated that central apnea and hypopnea result in 55 pharyngeal narrowing or occlusion in normal individuals and patients with central apnea.^{15, 16} Pharyngeal collapse, 56 combined with mucosal and gravitational factors, may impede pharyngeal opening and necessitate a substantial 57 increase in respiratory drive that perpetuates breathing instability.

58 The pathophysiologic overlap between central and obstructive apnea provided a physiologic rationale to "repurpose" continuous positive airway pressure (CPAP) for the treatment of central apnea. CPAP therapy was 59 found to be efficacious by Issa and Sullivan¹⁷ in an observational study of patients with CSA. One possible 60 mechanism of positive airway pressure (PAP) response is the relief of upper airway narrowing or obstruction during 61 62 central apnea and hypopneas, decreased frequency of post-apneic arousals and ventilatory overshoot.¹⁶ Other potential mechanisms include increased lung volumes, reduced plant gain, and reduced loop gain.¹⁸ However, CPAP 63 rarely eliminates CSA, and most studies have noted residual disease.¹ The development of adaptive servo-64 ventilation (ASV) provided a new therapeutic tool that could support ventilation while dampening ventilatory 65 66 overshoot.

Arousals from sleep and episodic desaturation are immediate physiologic consequences that may perpetuate breathing instability. Thus, mitigation of arousals and dampening of hypoxia have emerged as potential therapeutic approaches. Triazolam was associated with decreased central apnea index and brief arousals in a small observational study¹⁹; these data provided the basis for testing hypnotics as a potential CSA treatment. In another observational study, central apneas were reduced by oxygen therapy irrespective of the presence or absence of heart failure or Cheyne-Stokes respiration. These studies launched the era of treating CSA by mitigating its immediate consequences and dampening post-apneic overshoot and subsequent hypocapnia.

The plasticity of the propensity to central apnea, as evidenced by the CO₂ reserve, provides another physiologic pathway for treating central apnea. The first agent tested for this purpose was acetazolamide, which aimed to acidify the cerebrospinal fluid (CSF), thus increasing ventilatory motor output. Multiple studies have tested the potential therapeutic effect of acetazolamide in CSA due to various etiologies.²⁰⁻²³ A more recent innovation was the advent of phrenic nerve stimulation as a direct approach to restoring respiration in patients with central apnea. Controlled studies have demonstrated evidence of this intervention's continued efficacy, an encouraging observation while awaiting studies addressing long-term outcomes and real-world experience.

It is important to note that most treatments for CSA lead to improvement but rarely eliminate it entirely. This differs from OSA treatments, where success is typically defined by fully or nearly normalizing breathing. One possible explanation is that multiple pathways can lead to central apnea—a concept known as equifinality, in which different mechanisms can produce the same outcome, in this case, central apnea.

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87 Central sleep apnea and periodic breathing are common in non-acclimatized individuals ascending to high altitudes, 88 affecting most individuals above 2,500 – 3,000 meters. The underlying mechanism is hypobaric hypoxia leading to 89 hyperventilation and subsequent hypocapnia. Typical symptoms include fragmented sleep, hypoxemia, and frequent 89 arousal. CSA and periodic breathing typically resolve with acclimatization over days to weeks, but the timeline 91 varies. Adaptation occurs among residents living at high altitude (e.g., Andean, Tibetan, Ethiopian populations). 92 Typical physiologic adaptations include blunted chemosensitivity. However, periodic breathing may persist in 93 individuals living at very high altitude (>3,500 meters).

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95 Treatment strategies for CSA and periodic breathing at high altitude vary depending on the severity, duration of 96 exposure, and individual patient factors. Most studies have focused on acetazolamide and supplemental oxygen, 97 with limited evidence regarding positive pressure modalities. Acetazolamide, a carbonic anhydrase inhibitor, is one 98 of the most widely used medications to prevent and manage high-altitude periodic breathing and central apnea. It 99 works by inducing mild metabolic acidosis, stimulating ventilation, and reducing the frequency of apnea episodes. 100 Studies have shown that acetazolamide is effective for acute exposure to high altitude and chronic cases in residents 101 living at altitude. Supplemental oxygen can mitigate hypoxemia, the primary trigger for CSA at altitude. This 102 approach is often recommended for climbers or those temporarily visiting high altitudes and effectively reduces central apneas and periodic breathing. Overall, the literature on CSA at high altitude remains limited. Gradual ascent 103 104 and prolonged acclimatization mitigate the risk of central apnea over time.

105 Optimal treatment of CSA requires combining treatment of CSA with robust management of underlying or comorbid conditions. For example, optimal treatment of heart failure, using medications, devices, or surgical 106 interventions, may significantly alleviate CSA associated with HF.²⁴⁻²⁶ Similarly, opioid discontinuation is likely to 107 108 ameliorate CSA, although it has not been adequately studied. Finally, seeking lower altitude to treat high altitude 109 CSA is therapeutic. In the case of persistent treatment-emergent central sleep apnea (TECSA), most of the large 110 studies and registries include treatment with CPAP or ASV. Nevertheless, there has not been an effective treatment 111 for persistent CSA that is widely accepted by patients or providers. Furthermore, there is limited information on the 112 symptomatology of the problem and acceptable outcomes of therapy, further hindering investigations in this area.

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The aims of the present systematic review were to (1) assess the efficacy of PAP therapies, non-PAP therapies, and pharmacological treatment for the treatment of CSA in adults, (2) to evaluate the potential for adverse effects of these interventions, and (3) to identify gaps in the treatment research literature and offer recommendations for

117 optimizing quality and uniformity of future investigations.

118 METHODOLOGY

119 Expert Task Force

120 The AASM commissioned a task force (TF) of sleep medicine clinicians with expertise in the treatment of CSA.

121 The TF was required to disclose all potential conflicts of interest (COI), per the AASM's COI policy, prior to being

appointed to the TF and throughout the research and writing of these documents. In accordance with the AASM's

123 COI policy, TF members with a Level 1 conflict were not allowed to participate. TF members with a Level 2 conflict

were required to recuse themselves from any related discussion or writing responsibilities. All relevant conflicts of

125 interest are listed in the Disclosures section.

126 PICO Questions

PICO (Patient, Intervention, Comparison, and Outcomes) questions were developed by the TF based on a review of the existing AASM practice parameters on the treatment of CSA and a review of systematic reviews, metaanalyses, and guidelines published since 2012 and 2016. The AASM Board of Directors approved the final list of questions presented in **Table 1** before the literature searches were performed. Through consensus, the TF then developed a list of patient-oriented, clinically relevant outcomes to determine the efficacy of the interventions. The TF rated the relative importance of each outcome to determine which outcomes were critical versus important for decision-making. A summary of these outcomes by PICO is presented in **Table 2**.

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The TF set a clinical significance threshold (CST) for each outcome to determine whether the mean differences between treatment and control or before and after treatment in the outcomes assessed were clinically significant. The CST was defined as the minimum level of improvement in the outcome of interest that would be considered clinically important to clinicians and patients. CSTs were determined based on a TF literature review of commonly used thresholds. When no clearly established threshold values could be determined, the TF used their clinical judgment and experience to establish a CST based on consensus. A summary of the CSTs for the clinical outcome measures is presented in **Table 3**.

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142**Table 1 – PICO Questions**

1	PATIENT OR PROBLEM: Adults with primary central sleep apnea (CSA), adults with CSA due to heart fail adults with CSA due to a medical condition or disorder, adults with CSA due to a medication or substar adults with treatment emergent CSA			
	INTERVENTIONS:			
	<u>Positive airway pressure therapies</u> - Continuous positive airway pressure (CPAP), bilevel positive airway pressure (BPAP), BPAP with a backup rate, adaptive servo-ventilation (ASV)			
	Non-PAP therapies - Oxygen therapy, transvenous phrenic nerve stimulation, positional therapy			
	Pharmacological therapies - Carbonic anhydrase inhibitors (acetazolamide), hypnotics (zolpidem, temazepam, triazolam)			
	COMPARISON: Placebo, standard care, or no treatment OUTCOMES:			
	<u>Critical</u> - Excessive sleepiness, disease severity, cardiovascular disease/stroke, mortality, hospitalization, sleep quality (patient reported)			
	<u>Important</u> - daytime functioning or work performance, quality of life, fatigue, vigilance/alertness, insomnia, sleep architecture (polysomnography), cognitive functioning			
2	PATIENT OR PROBLEM: Adults with CSA due to high altitude periodic breathing (recent ascent >2,500 meters)			
	INTERVENTION:			
	Positive airway pressure therapies - CPAP, BPAP, BPAP with a backup rate, ASV			

Non-PAP therapies - Oxygen therapy, positional therapy

Pharmacologic therapies - Carbonic anhydrase inhibitors (acetazolamide), theophylline, hypnotics (zolpidem, temazepam, triazolam)

COMPARISON: No treatment

OUTCOMES:

Critical - Excessive sleepiness, disease severity, daytime functioning or work performance, quality of life Important - sleep architecture (polysomnography)

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144 Table 2 – Outcomes by PICO Question

Outcomes	PICO Question #	
	1	2
Excessive Sleepiness	$\sqrt{*}$	$\sqrt{*}$
Disease Severity	$\sqrt{*}$	$\sqrt{*}$
Cardiovascular Disease	$\sqrt{*}$	-
Mortality	$\sqrt{*}$	-
Hospitalization	$\sqrt{*}$	-
Sleep Quality (Patient Reported)	$\sqrt{*}$	-
Daytime Functioning or Work Performance		$\sqrt{*}$
Quality of Life	V	$\sqrt{*}$
Fatigue	N	-
Sleep Architecture (PSG)	V	
Adverse Effects	$\sqrt{*}$	-
*		

145 *Outcomes considered critical for decision-making. -Not an outcome for the PICO question

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147 Table 3 – Summary of Clinical Significance Thresholds for Outcome Measures 148

Outcome Measure	Clinical Significance Threshold [†]
Excessive sleepiness	
Epworth Sleepiness Scale (ESS)	-2 points ^{27, 28}
Maintenance of Wakefulness Test (MWT)	+2 minutes
Stanford Sleepiness Scale (SSS)	-1 point
Disease severity	
Apnea-hypopnea index (AHI)	≥50% reduction from baseline
Central apnea index (CAI)	≥50% reduction from baseline
Central apnea-hypopnea index (CAHI)	≥50% reduction from baseline
Oxygen desaturation index (ODI)	≥50% reduction from baseline
Oxygen saturation <90% ^a	≥50% reduction from baseline
Cardiovascular disease/stroke	
Left ventricular ejection fraction (LVEF)	+5% (absolute)
6-minute walk distance (6MWD)	+32 meters
B-type natriuretic peptide	≥50% reduction from baseline
Heart rate	No CST
Systolic blood pressure	-2 mmHg

Diastolic blood pressure	-1 mmHg			
New York Heart Association (NYHA) classification	No CST			
Mortality				
All-cause reported deaths	Risk ratio of 0.8			
Hospitalization				
Incidence rate	Risk ratio of 0.9			
Sleep quality (patient reported)				
Pittsburgh Sleep Quality Index (PSQI)	-3 points ²⁹			
Sleep Sufficiency Index	No CST			
Daytime functioning or work performance				
Short form questionnaire-36 (SF-36)	+3 points			
Lake Louise acute mountain sickness (AMS) Score	No CST			
Trail making test	No CST			
Duke activity status index	No CST			
Specific activity scale	No CST			
Minnesota living with heart failure (MLHF)	No CST			
Four choice reaction time	No CST			
Paced Auditory Serial Addition Test (PASAT) 2	No CST			
PASAT 4	No CST			
Quality of life				
Patient global assessment	No CST			
Quality of Life	No CST			
Profile of Mood State-Adolescent (POMS-A)	No CST			
SF-12	+4 points			
EuroQoL (EQ)-5D	No CST			
Fatigue				
Chronic Heart Failure Questionnaire	+2 points (0.5 points per question)			
Subjective questionnaire	No CST			
Sleep architecture (PSG)				
Total sleep time (TST, minutes)	+15 minutes			
Sleep efficiency (SE)	+10%			
Rapid eye movement (REM, % of TST)	+5% of TST			
Sleep stage N1 (% of TST)	-5% of TST			
Sleep stage N2 (% of TST)	-5% of TST			
Slow wave sleep (SWS, % of TST)	+5% of TST			
Arousal index	≥25% reduction from baseline or reduction to ≤12 events/hr			
[†] The clinical significance thresholds are for comparison of pre- versus posttreatment effects as well as between intervention and control. ^a percent time in bed.				

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150 Literature Searches, Evidence Review and Data Extraction

151 The TF performed an extensive review of the scientific literature to retrieve articles that addressed the PICO

152 questions. The TF performed literature searches to address each PICO question using the PubMed database (see

- 153 Figure 1). The key terms, search limits, and inclusion/exclusion criteria specified by the TF are detailed in the
- 154 supplemental material.
- 155

156 **Figure 1. Evidence flow diagram**





158 Statistical and Meta-analysis and Interpretation of Clinical Significance

159 Meta-analysis was performed on outcomes of interest, when possible, for each PICO question (see Table 1). 160 Comparisons of interventions to controls and/or assessment of efficacy before and after treatment of CSA were performed. Posttreatment data from each arm were used for meta-analysis of RCTs when change values were not 161 162 reported and baseline values between the two study groups were statistically similar. Single-arm (within-group) 163 pre- and posttreatment data that addressed the PICO question were extracted from RCTs that published findings on 164 multiple treatment groups and were included in the meta-analyses with observational studies. Pre- and posttreatment 165 data were used for meta-analyses of observational studies. The pooled results for each continuous outcome measure 166 were usually expressed as the mean difference between the intervention and control for RCTs or pretreatment versus 167 posttreatment for observational studies; however, for some outcomes where individual component scales were 168 pooled, a standardized mean difference (SMD) or effect size was determined. The pooled results for dichotomous 169 outcome measures were expressed as the risk ratio or risk difference between the intervention and comparator or 170 pre-versus posttreatment. The relative risk data were converted to an absolute risk estimate expressed as the number 171 of events/1000 patients treated. The analyses were performed using Review Manager 5.3 software by pooling data 172 across studies for each outcome measure. Analyses were performed using either a fixed or random effects model 173 with results displayed as a forest plot. Interpretation of clinical significance for the outcomes of interest was 174 conducted by comparing the mean difference in effect size, or the risk difference for dichotomous outcomes, of 175 each treatment approach to the CST (see Table 3).

176 GRADE Assessment for Developing Recommendations

177 The evidence was assessed according to the GRADE process for the purposes of making clinical practice 178 recommendations. The TF considered the following four GRADE domains: quality of evidence, balance of 179 beneficial and harmful effects, patient values and preferences, and resource use, as described below:

- 180 1. Quality of evidence: Based on an assessment of the overall risk of bias (randomization, blinding, allocation concealment, selective reporting), imprecision (95% confidence interval crosses the CST and/or sample 181 size < 200 participants), inconsistency ($I^2 \ge 50\%$), indirectness (study population vs target patient 182 population), and risk of publication bias, the TF determined their overall confidence that the estimated 183 184 effect found in the body of evidence was representative of the true treatment effect that typical patients with 185 sleep-disordered breathing would see. The certainty of the evidence was based on outcomes that the TF 186 deemed critical for decision making; important outcomes were not considered when determining the overall 187 certainty of evidence.
- Benefits vs harms: Based on the analysis of adverse effects reported within the accepted literature and on
 the clinical expertise of the TF, the TF determined whether the beneficial outcomes of using each
 intervention outweighed any harms.
- **3. Patient values and preferences:** Based on the clinical expertise of the TF members and any data published
 on the topic relevant to patient preferences, the TF determined if patient values and preferences would be
 generally consistent across most patients, and if patients would use the intervention based on the relative
 harms and benefits identified.
- 4. Resource use: Based on the clinical expertise of the TF members and any data published on the topic relevant to resource use, the TF determined whether the accessibility and costs associated with each intervention compared favorably to those associated with alternative interventions. Information on costs to both patients and the health care system, impact on health equity, acceptability, and feasibility to implement the interventions were considered.
- 200 A summary of each GRADE domain is provided after the detailed evidence review for each PICO question.

201 Public Comment and Final Approval

Drafts of the systematic review and accompanying guideline were made available for public comment for a fourweek period on the AASM website. AASM members, the general public and other relevant stakeholders were invited to provide feedback on the drafts. The TF took into consideration all the comments received and made decisions about whether to revise the draft based on the scope and feasibility of comments. The public comments and revised documents were submitted to the AASM Board of Directors who subsequently approved the final documents for publication.

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The AASM expects this systematic review to have an impact on professional behavior, patient outcomes, and possibly, health care costs. This review reflects the state of knowledge at the time of publication and will be reviewed and updated as new information becomes available.

212 **RESULTS**

213 The aims of the current literature review and data analyses were to address two PICO questions pertaining to the 214 treatment of CSA. Detailed summaries of the evidence identified in the literature searches and the statistical analyses 215 performed by the TF are provided below. For the recommendation process, the TF prioritized data from RCTs. 216 When available, observational data was used to supplement the RCT findings, and these results were included in 217 the analyses. The results discussed below primarily focus on RCT data, except where otherwise noted; the 218 supplemental material includes meta-analyses from all data sources considered. Each evidence summary is 219 accompanied by a discussion of the quality of evidence, balance of benefits and harms, patient values and 220 preferences, and resource use considerations that contributed to the development of the clinical practice 221 recommendations, which are provided in the accompanying clinical practice guideline.

222 ADULTS WITH CENTRAL SLEEP APNEA

223 Continuous positive airway pressure (CPAP)

Sixteen RCTs³⁰⁻⁴⁵ and 14 observational studies⁴⁶⁻⁵⁸ investigated the use of CPAP to improve one or more of the 224 225 following outcomes: excessive sleepiness, disease severity, cardiovascular disease, hospitalization, mortality, 226 fatigue, or sleep architecture. Of these, the TF used 11 RCTs for decision making in the CPG. Participants in the 227 RCTs had a mean age of 60 years (4% female). The duration of follow-up ranged from one night to one year in the 228 RCTs. The duration of follow-up ranged from one to three months in the observational studies. Meta-analyses were 229 performed to assess the efficacy of CPAP. Single-arm (within-group) data was extracted in eight of the 14 RCTs³⁸⁻ ⁴⁵ and included in the meta-analyses with observational studies. The meta-analyses and summary of findings table 230 231 are provided in the supplemental material (Figure S1 through Figure S39; Table S1). A summary of the evidence 232 for each outcome is provided below.

233 Critical Outcomes

The TF determined the following outcomes to be critical for evaluating the efficacy of CPAP: excessive sleepiness,

235 disease severity, cardiovascular disease, mortality, and hospitalizations. None of the studies identified in our

236 literature review reported data for the following critical outcomes: patient-reported sleep quality.

EXCESSIVE SLEEPINESS: The pooled effect of three RCTs (single arm pre versus posttreatment data)³⁹⁻⁴¹ did not show a clinically significant reduction in excessive sleepiness measured by the Epworth Sleepiness Scale (ESS) compared to baseline (MD -1.86, 95% CI -3.71 to 0.00; n=42). The duration of patient follow-up after treatment was six weeks to three months (see supplemental material, Figure S1). One study⁴³ reported excessive sleepiness using the Maintenance of Wakefulness Test (MWT) which showed a clinically significant reduction in excessive sleepiness compared to baseline (MD 5.8, 95% CI 1.63 to 9.97; n=13). The duration of patient follow-up after treatment was six months.

DISEASE SEVERITY: Six RCTs^{30-34, 37} reported disease severity measured by Apnea-hypopnea Index (AHI). The
 analysis showed a clinically significant reduction in AHI in the CPAP group (MD -17.43, 95% -21.01 to -13.86;
 n=363) resulting in a 57.7% reduction in AHI from baseline for the CPAP group. The duration of patient follow-up
 after treatment was up to three months (see supplemental material, Figure S2).

One RCT³⁷ reported disease severity using the Central Apnea Index (CAI). The analysis showed a non-clinically significant reduction in CAI in the CPAP group (MD -17.3, 95% CI -25.76 to -8.84; n=28). There was a 48.3% reduction of CAI from baseline for the CPAP group. The duration of patient follow-up after treatment was one night (see supplemental material, Figure S3). One RCT³⁷ reported disease severity measured by the Oxygen Desaturation Index (ODI). The analysis showed a non-clinically significant reduction in ODI in the CPAP group (MD -15.6, 95% CI -18.01 to -13.19; n=28). There was a 40.8% reduction of ODI from baseline for the CPAP group. The duration of patient follow-up after treatment was one night (see supplemental material, Figure S4).

CARDIOVASCULAR DISEASE: One RCT³⁰ reported cardiovascular disease measured by the 6-minute walk test
 (6MWD). The analysis showed a non-clinically significant improvement in cardiovascular disease, measured by
 the 6MWD, in the CPAP group compared to control (MD 20.8, 95% CI 6.14 to 35.46; n=258). The duration of
 patient follow-up after treatment was three months (see supplemental material, Figure S5).

Five RCTs^{31-34, 36} reported cardiovascular disease measured by left ventricular ejection fraction (LVEF). The analysis showed a clinically significant improvement in cardiovascular disease, measured by LVEF, in the CPAP group compared to control (MD 5.99, 95% CI 1.85 to 10.12; n=106). The duration of patient follow-up after treatment was from one to three months (see supplemental material, Figure S6).

One RCT³² reported cardiovascular disease measured by systolic blood pressure (SBP), diastolic blood pressure (DBP), and heart rate (HR). The analysis did not show a clinically significant improvement in SBP in the CPAP group compared to control (MD 14.6, 95% CI -6.23 to 35.43; n=18). A separate analysis for DBP did not show a clinically significant improvement in the CPAP group compared to control (MD 0.1, 95% CI -12.38 to 12.58; n=18). A third analysis showed a reduction in HR in the CPAP group compared to control (MD -6.5, 95% CI -20.7, 7.7; n=18), however, there was no a priori CST for HR. The duration of patient follow-up after treatment was one month (see supplemental material, Figure S7 to S9).

HOSPITALIZATIONS: One RCT³⁰ reported hospitalization data, measured by hospital admissions per patient per
 year. The analysis did not show an improvement in hospitalizations in the CPAP group compared to control (MD
 0.05, -0.11 to 0.21; n=258). There was no a priori CST for hospitalizations measured per patient per year. The
 duration of patient follow-up after treatment was three months (see supplemental material, Figure S10).

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MORTALITY: The pooled effect of two RCTs^{30, 36} showed a clinically significant reduction in mortality in the CPAP group compared to control (RR 0.87, 95% CI 0.59 to 1.29; n=324) with an absolute risk difference of 19 fewer deaths per 1,000 participants. The duration of patient follow-up after treatment was three months (see supplemental material, Figure S11). For disease severity and cardiovascular disease outcomes, only data from RCTs are reported above. Additional data from the single-arm pre- posttreatment/observational meta-analyses are described in the supplemental material (Figures S12 to S22).

286 Important Outcomes

The TF determined the following outcome to be an important outcome but not critical for evaluating the efficacyof CPAP to treat adults with CSA: fatigue and sleep architecture.

FATIGUE: Two RCTs^{31, 34} reported fatigue data measured by the Chronic Heart Failure Questionnaire (CHFQ)
which showed a clinically significant improvement in the CPAP group compared to control (MD 5.02, 95% CI
2.59, 7.45; n=41). The duration of patient follow-up after treatment was three months (see supplemental material,
Figure S23).

SLEEP ARCHITECTURE (PSG): Three RCTs^{32,34,35} reported sleep architecture measured by sleep efficiency (SE) during polysomnography (PSG). The analysis did not show a clinically significant improvement in SE in the CPAP group compared to control (MD -3.3, 95% CI -12.73 to 6.14; n=247). The duration of patient follow-up after treatment was one to three months (see supplemental material, Figure S24).

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299 Six RCTs^{31-35, 37} reported sleep architecture measured by total sleep time (TST, minutes), rapid eye movement 300 (REM, %), and slow wave sleep, (SWS, %) during PSG. TST did not show a clinically significant improvement 301 in the CPAP group compared to control (MD 2.42, 95% CI -14.98 to 19.82; n=310). REM (%) did not show a 302 clinically significant improvement in the CPAP group compared to control (SMD -0.09 (95% CI -0.33 to 0.15; 303 n=310). The SMD re-expressed as REM%, showed a mean decrease of -0.65% (95% CI -2.4 to 1.08). SWS (%) 304 showed a clinically significant improvement in the CPAP group compared to control (SMD 0.53, 95% CI 0.02 to 305 1.03; n=310). The SMD re-expressed as SWS%, showed a mean increase of 5.9% (95% CI 0.22 to 11.74). The 306 duration of patient follow-up after treatment was one to three months (see supplemental material, Figure S25-27). 307

Two RCTs^{33, 35} reported sleep architecture measured by sleep stage N1 (%), PSG and sleep stage N2 (%), PSG. The analysis showed a non-clinically significant improvement in sleep stage N1% in the CPAP group compared to control (SMD -0.22, 95% CI -0.49 to 0.05; n=223). Re-expressed as N1%, there was a mean decrease of -3.09% (95% CI -6.87 to 0.7). The analysis did not show a clinically significant improvement in sleep stage N2% in the CPAP group compared to control (SMD 0.04, 95% CI -0.22 to 0.31; n=223). Re-expressed as N2%, there was a mean increase of 0.6% (95% CI -3.26 to 4.59). The duration of patient follow-up after treatment was one month (see supplemental material, Figure S28 and S29).

Six RCTs^{31-35, 37} reported sleep architecture measured by number of arousals/hour (PSG). The analysis showed a clinically significant reduction in the number of arousals/hour in the CPAP group compared to control (MD -12.88, 95% CI -22.4 to -3.36; n=310). There was a 35.8% reduction of arousals for the CPAP group. The duration of patient follow-up after treatment was one to three months (see supplemental material, Figure S30). For sleep architecture, only data from RCTs are reported above. Additional data from the single-arm preposttreatment/observational meta-analyses, in addition to daytime outcomes, are described in the supplemental
 material (Figures S31 to S39).

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OVERALL QUALITY OF EVIDENCE: The TF determined that the overall certainty of evidence for the use of CPAP in adults with CSA due to primary CSA, CSA due to heart failure, CSA due to medication or substance use, treatmentemergent CSA, and CSA due to a medical condition or disorder was low based on the critical outcomes and downgrading of the evidence due to imprecision in both the randomized and observational studies (see supplemental material, Table S1).

BENEFITS VS HARMS: The potential benefits of CPAP in adults with CSA due to primary CSA, CSA due to heart failure, CSA due to medication or substance use, treatment-emergent CSA, and CSA due to a medical condition or disorder include a clinically significant improvement in disease severity measured by AHI and mortality. Additional outcomes (patient-reported excessive sleepiness, 6MWD) changed in the desired direction but did not meet the CST. The potential harms were judged as trivial. Based on their combined clinical experience, the TF judged that the potential benefits of CPAP outweigh the potential harms.

RESOURCE USE: The current cost of CPAP can range from \$500 to \$1,000 depending on the delivery system.
Additional costs of maintenance and replacement parts for tubing, mask interface, and other accessories increases
the overall cost of the intervention over time. The TF judged this cost as moderate. This judgment was based on
estimated costs in the United States.

338 PATIENTS' VALUES AND PREFERENCES: The TF judged that there is probably no important uncertainty or variability in 339 how much patients value the main outcomes. Given the clinically significant improvement in disease severity and 340 mortality, the TF judged that most adults with CSA would generally be accepting of treatment with CPAP.

341

342 Bilevel positive airway pressure (BPAP) with a backup rate

Six RCTs^{37, 38, 59-62} and five observational studies^{49, 50, 56, 63, 64} investigated the use of BPAP with a backup rate to 343 344 improve one or more of the following outcomes: excessive sleepiness, disease severity, cardiovascular disease, or 345 sleep architecture. Of these, the TF used six RCTs and three observational studies for decision making in the CPG. 346 Participants in the RCTs had a mean age of 61 years old. The duration of follow-up ranged from one night to six 347 weeks in the RCTs. The duration of follow-up ranged from one night to six months in the observational studies. 348 Meta-analyses were performed to assess the efficacy of BPAP with a backup rate. Single-arm (within-group) data 349 was extracted in all six RCTs and included in the meta-analyses with observational studies. The meta-analyses and 350 summary of findings table are provided in the supplemental material (Figure S40 through Figure S59; Table S2). A 351 summary of the evidence for each outcome is provided below.

352 Critical Outcomes

The TF determined the following outcomes to be critical for evaluating the efficacy of BPAP with a backup rate: excessive sleepiness, disease severity, and cardiovascular disease. None of the studies identified in our literature review reported data for the following critical outcomes: hospitalizations, mortality, or patient reported sleep quality.

- **EXCESSIVE SLEEPINESS:** One study⁶¹ reported excessive sleepiness measured by the ESS. The analysis showed a clinically significant reduction in excessive sleepiness compared to baseline (MD -2.1, 95% CI -4.53 to 0.33; n=20).
- 360 The duration of patient follow-up after treatment was six weeks (see supplemental material, Figure S40).
- **DISEASE SEVERITY:** Nine studies^{37, 38, 50, 56, 59-63} reported disease severity measured by AHI. The analysis showed a clinically significant reduction in AHI compared to baseline (MD -33.65, 95% CI -41.44 to -25.86; n=128). The baseline mean AHI was 44 events/hour, resulting in a 77% reduction. The duration of patient follow-up after treatment was between one night and six months (see supplemental material, Figure S41).
- Five studies^{37, 59, 60, 62, 63} reported disease severity measured by CAI. The analysis showed a clinically significant reduction in CAI compared to baseline (MD -15.66, 95% CI -25.12 to -6.2; n=69). The baseline mean CAI was 22 events/hour resulting in a 71% reduction. The duration of patient follow-up after treatment was six weeks (see supplemental material, Figure S42).
- 369 One study³⁸ reported disease severity measured by CAHI. The analysis showed a clinically significant reduction in
- 370 CAHI (MD -15.5, 95% CI -19.95 to -11.05; n=11). The baseline mean CAHI was 26 events/hour resulting in a 59%
- reduction. The duration of patient follow-up after treatment was one night (see supplemental, Figure S43).
- 372 Three studies^{37, 60, 61} reported disease severity measured by ODI. The analysis showed a clinically significant
- reduction in ODI (MD -20.46, 95% CI -30.55 to -10.38; n=49). The baseline mean ODI was 35 events/hour resulting
- in a 59% reduction. The duration of patient follow-up after treatment was six weeks (see supplemental, Figure S44).
- Three studies^{38, 56, 63} reported disease severity measured by percentage of sleep time with oxygen saturation <90%. The analysis showed a clinically significant reduction in the percentage of sleep time with an oxygen saturation <90% (MD -26.19, 95% CI -42.88 to -9.49; n=33]. The baseline mean for disease severity was 31% resulting in an 84% reduction. The duration of patient follow-up after treatment was between one night to three months (see supplemental, Figure S45).
- 381 **CARDIOVASCULAR DISEASE:** Three studies^{50, 61, 63} reported cardiovascular disease measured by LVEF. The 382 analysis showed a clinically significant improvement in LVEF compared to baseline (MD 7.83, 95% CI 3.12 to 383 12.54; n=34). The duration of patient follow-up after treatment was between six weeks and six months (see 384 supplemental, Figure S46).
- In one study⁵⁰ that compared BNP to baseline, the analysis did not show a clinically significant improvement (MD -319.8, 95% CI -872.89 to 233.29; n=7) nor in another study⁶³ that compared BNP values to control (MD-250.6, 95% CI -549.81 to 48.61; n=14). The duration of patient follow-up after treatment was three to six months (see supplemental, Figures S47 and S48).
- 389

- Two studies^{61, 64} reported HR as a measure of cardiovascular disease. The analysis showed a decrease in HR favoring the BPAP with a backup rate group compared to baseline (MD -2.51, 95% CI -9.09 to 4.07; n=29). There was no a priori CST for HR. The duration of patient follow-up after treatment was six weeks (see supplemental, Figure S49).
- 393 Important Outcomes
- 394 The TF determined the following outcomes to be important outcomes but not critical for evaluating the efficacy of
- 395 BPAP with a backup rate to treat adults with CSA: sleep architecture.

SLEEP ARCHITECTURE (PSQ): Several objective measures were used to report sleep architecture. Five studies^{37, 38, 60, 61, 64} measured TST. The meta-analysis showed a clinically significant improvement for use of BPAP with a backup rate compared to baseline (MD 48.58, 95% CI -9.07 to 106.22; n=69). The duration of patient follow-up after treatment was six weeks (see supplemental, Figure S50). The meta-analysis of three studies^{38, 60, 64} did not show a clinically significant improvement in SE for BPAP with a backup rate compared to control (MD 7.27, 95% CI -4.78 to 19.32; n=35). The duration of patient follow-up after treatment was six weeks (see supplemental, Figure S51).

404

A meta-analysis of two studies^{56, 61} showed a non-clinically significant improvement in N1% and N2% for BPAP 405 with a backup rate compared to baseline (N1% MD -4.06, 95% CI -11.66 to 3.54; n=39) (N2% MD -1.44, 95% CI 406 -7.31 to 4.43). The duration of patient follow-up after treatment was six weeks (see supplemental, Figures S52 to 407 408 S53). Six studies^{37, 56, 60, 61, 63, 64} reported N3% and REM%. Both analyses showed a non-clinically significant 409 improvement in N3% and REM% for the BPAP with a backup rate group compared to baseline (N3% MD 2.55, 410 95% CI 0.14 to 4.97; n=84) (REM% MD 2.6, 95% CI 0.73 to 4.48; n=95). The duration of patient follow-up after 411 treatment was six weeks (see supplemental, Figures S54 to S55). The analysis of one study³⁷ showed an 412 improvement in SWS% and REM % for the BPAP with a backup rate group compared to baseline (MD 11.2, 95% 413 CI 4.53 to 17.87; n=14). There was no a priori CST (see supplemental, Figure S56).

414

Six studies^{37, 38, 56, 60, 63, 64} reported arousal index. The meta-analysis showed a clinically significant improvement 415 in arousals for BPAP with a backup rate compared to baseline (MD -21.94, 95% CI -33.59 to -10.29; n=75). The 416 417 duration of patient follow-up after treatment was between one night to six weeks (see supplemental, Figure S57). One study⁶¹ reported both movement arousals and respiratory-related arousals. One analysis did not show an 418 419 improvement in movement arousals with use of BPAP with a backup rate (MD 5.5, 95% CI -0.35 to 11.35; n=20) 420 while respiratory-related arousals showed a clinically significant improvement for BPAP with a backup rate (MD 421 -12.5, 95% CI -20.04 to -4.96; n=20). The duration of patient follow-up after treatment was six weeks (see 422 supplemental, Figures S58 to S59).

423 424 **OVERALL QUALITY OF EVIDENCE:** The TF determined that the overall certainty of evidence for the use of BPAP with a 425 backup rate in adults with CSA due to primary CSA, CSA due to medication or substance use, treatment-emergent 426 CSA, and CSA due to a medical condition or disorder was very low based on the critical outcomes and 427 downgrading of the evidence due to imprecision in both the randomized and observational studies (see 428 supplemental material, Table S2).

BENEFITS VS HARMS: The potential benefits of BPAP with a backup rate in adults with CSA due to primary CSA, CSA due to medication or substance use, treatment-emergent CSA, and CSA due to a medical condition or disorder include a clinically significant improvement in excessive sleepiness, disease severity measured by AHI, CAI, and CAHI, and cardiovascular disease. The potential harms were judged as small and related to side effects associated with use of the CPAP mask interface. Based on their combined clinical experience, the TF judged that the potential benefits of BPAP with a backup rate outweigh the potential harms.

RESOURCE USE: The cost of BPAP devices with a backup rate ranges from \$1,700 to \$3,000 depending on the delivery
system. Additional costs of maintenance and replacement parts for tubing, mask interface, and other supplies
increases the overall cost of the intervention over time. The TF judged this cost as moderate. This judgment was
based on estimated costs in the United States.

439 PATIENTS' VALUES AND PREFERENCES: The TF judged that there is probably no important uncertainty or variability in 440 how much patients value the main outcomes. Given the clinically significant improvement in excessive sleepiness, 441 disease severity, and cardiovascular disease, the TF judged that most adults with CSA would generally be accepting 442 of treatment with BPAP with a backup rate.

443

444 Bilevel positive airway pressure (BPAP)

One RCT⁶⁵ investigated the use of BPAP without a backup rate to improve disease severity and cardiovascular disease. Since only one study reported on these outcomes, a meta-analysis could not be performed. When outcome data was not presented for both the BPAP group and control, the TF used pre- and posttreatment data from the BPAP group for analysis. Participants had a mean age of 50 years old. The duration of follow-up for reported outcomes was 3 months. Follow up data for survival was on average 31 ± 2.3 months. The analyses and summary of findings table are provided in the supplemental material (Figures S60 to S67; Table S3). A summary of the evidence for each outcome is provided below.

452 Critical Outcomes

The TF determined the following outcomes to be critical for evaluating the efficacy of BPAP: disease severity and cardiovascular disease. None of the studies identified in our literature review reported data for the following critical outcomes: excessive sleepiness, hospitalizations, mortality, or patient reported sleep quality.

456

DISEASE SEVERITY: One study⁶⁵ reported disease severity measured by AHI and CAI. The analysis showed a clinically significant reduction in AHI compared to baseline (MD -23.1, 95% CI -31.08 to -15.12; n=10) resulting in an approximate 82% reduction in AHI. There was also a clinically significant reduction in CAI compared to baseline (MD -10.6, 95% CI -11.13 to -10.07, n=10), resulting in an approximate 95% reduction in CAI. The duration of patient follow-up after treatment was three months (see supplemental material, Figures S60 and S61).

463 CARDIOVASCULAR DISEASE: One study⁶⁵ reported cardiovascular disease using several measures: LVEF, BNP,
464 SBP, DBP, NYHA functional class score, and HR. There were clinically significant improvements in LVEF (MD
465 13, 95% CI 3.25 to 22.75 n=21), BNP (MD -106.3, 95% CI -220.78, 8.18; n=21), SBP (MD -11.4, 95% CI -27.32
466 to 4.52; n=21), and DBP (MD -7.2, 95% CI -17.62, 3.22, n=21). The analysis showed a reduction in NYHA
467 classification (MD -0.7, 95% CI -1.26 to -0.14; n=21) and HR (MD -4.5, 95% CI -18.95 to 9.95; n=21); however,
468 there were no a priori CSTs for NYHA or HR. The duration of patient follow-up after treatment was three months
469 (see supplemental material, Figures S62 to S67).

- 470 Important Outcomes
- 471 None.

OVERALL QUALITY OF EVIDENCE: The TF determined that the overall certainty of evidence for the use of BPAP without a backup rate in adults with CSA due to primary CSA, CSA due to heart failure, CSA due to medication or substance use, treatment-emergent CSA, and CSA due to a medical condition or disorder was very low based on the critical outcomes and downgrading of the evidence due to imprecision and indirectness in the randomized study (see supplemental material, Table S3).

BENEFITS VS HARMS: The potential benefits of BPAP without a backup rate in adults with CSA due to primary CSA,
CSA due to heart failure, CSA due to medication or substance use, treatment-emergent CSA, and CSA due to a

- 479 medical condition or disorder were judged as small. The potential harms were judged as large due to indirect
- evidence that central apnea may be worsened by BPAP without a back-up rate.⁶⁶⁻⁶⁸ Based on their combined clinical
- 481 experience, the TF judged that the potential harms of BPAP without a backup rate in adults outweigh the potential
- 482 benefits.
- 483 **RESOURCE USE:** The average cost of BPAP is approximately \$1,500. The TF judged this cost as moderate. This
 484 judgment was based on estimated costs in the United States.
- PATIENTS' VALUES AND PREFERENCES: The TF judged that there is probably no important uncertainty or variability in
 how much patients value the main outcomes. Given the evidence of harms related to BPAP, the TF judged that most
 adults with CSA would probably not accept treatment with BPAP without a backup rate.
- 488

489 Adaptive servo-ventilation (ASV)

Twelve RCTs⁶⁹⁻⁸⁰ and 36 observational studies^{37, 39, 40, 42-45, 49, 51, 52, 56, 59-62, 81-101} investigated the use of ASV to improve 490 491 one or more of the following outcomes: excessive sleepiness, disease severity, cardiovascular disease, mortality, 492 hospitalization, or sleep architecture. Of these, the TF used 12 RCTs for decision making in the CPG. Participants 493 in the RCTs and the observational studies had a mean age of 64 years (12% female). The duration of follow-up 494 ranged from one night to five years in the RCTs and one night to one year in the observational studies. Meta-495 analyses were performed to assess the efficacy of ASV. The meta-analyses and summary of findings table are 496 provided in the supplemental material (Figure S68 through Figure S130; Table S4). A summary of the evidence for 497 each outcome is provided below.

498 Critical Outcomes

The TF determined the following outcomes to be critical for evaluating the efficacy of ASV: excessive sleepiness,
 disease severity, cardiovascular disease, hospitalizations, mortality, and patient-reported sleep quality.

501

502 **EXCESSIVE SLEEPINESS:** Three RCTs^{69, 71, 76} reported excessive sleepiness measured by the ESS. The analysis did 503 not show a clinically significant difference in ESS in the ASV group compared to control (MD -0.57, 95% CI -504 0.96 to -0.18; n=1518). The duration of patient follow-up after treatment was three to 12 months (see supplemental 505 material, Figure S68).

DISEASE SEVERITY: Multiple tools were used to measure disease severity among included studies, such as AHI, CAI, CAHI, ODI, and percentage of total sleep time with an oxygen saturation < 90%. The pooled results of ten RCTs^{69, 70, 73-80} showed a clinically significant improvement in AHI in the ASV group (MD -24.07, 95% CI -30.22 to -17.92; n=770) resulting in a 74% reduction in AHI for the ASV group. The duration of patient follow-up after treatment was one night to 12 months (see supplemental material, Figure S69).

- Four RCTs^{69, 75, 78, 80} showed a clinically significant improvement in CAI in the ASV group (MD -11.43, 95% CI -15.42 to -7.44; n=315) resulting in an 83% reduction in CAI for the ASV group. The duration of patient follow-up after treatment was between 12 weeks and one year (see supplemental material, Figure S70). One study⁷³ showed a clinically significant improvement in CAHI in the ASV group (MD -15.00, 95% CI -20.56 to -9.44; n=63) resulting in a 76% reduction in CAHI for the ASV group. The duration of patient follow-up after treatment was 3
- 517 months (see supplemental material, Figure S71).

- 518 Five RCTs^{70, 75-78} showed a clinically significant reduction in ODI favoring the ASV group compared to control
- 519 (MD -17.53, 95% CI -25.26 to -9.79; n=534) resulting in a 76% reduction for the ASV group compared to baseline.
- 520 The duration of patient follow-up after treatment was between one and 12 months (see supplemental material,
- 521 Figure S72). One RCT^{75} showed a clinically significant reduction in the percentage of total sleep time with an
- 522 oxygen saturation < 90%, resulting in a 90% reduction for the ASV group (MD -5.3, 95% CI -8.27 to -2.33; n=22). The last is f_{1} is a first of the set of the
- 523 The duration of patient follow-up after treatment was six months (see supplemental material, Figure S73).
- 524
- 525 **CARDIOVASCULAR DISEASE:** Multiple outcomes were used to measure cardiovascular disease among included 526 studies such as the 6MWD, LVEF (%), HR, and NYHA class. The meta-analysis of three RCTs^{71, 72, 76} did not 527 show a clinically significant difference in 6MWD in the ASV group compared to control (MD -10.68, 95% CI -528 38.21 to 16.85; n=1528). The duration of patient follow-up after treatment was six to 12 months (see supplemental 529 material, Figure S74). Six RCTs^{69, 71, 72, 75, 76, 79} did not show a clinically significant improvement in LVEF (%) for 530 the ASV group compared to control (MD 1.43, 95% CI -0.53 to 3.39; n=570). The duration of patient follow-up 531 after treatment was six to 12 months (see supplemental material, Figure S75).
- 532 One study⁷⁷ showed a reduction in HR in favor of the ASV group compared to control (MD -2.1, 95% CI -4.83 to
- 533 0.63; n=20). Another RCT⁷⁹ showed a reduction in NYHA class in favor of the ASV group (MD -0.5, 95% CI -
- 534 0.82 to -0.18; n=30). There was no a priori CST for HR or NYHA. The duration of patient follow-up after treatment
- 535 was six months (see supplemental material, Figures S76 and S77).
- **HOSPITALIZATIONS:** A meta-analysis of three RCTs^{70, 71, 76} did not show a clinically significant improvement in hospitalizations in the ASV group compared to control (RR 1.11, 95% CI 0.86 to 1.43; n=1649), with an absolute risk difference of 44 more hospitalizations per 1,000 participants. The duration of patient follow-up after treatment was one to 12 months (see supplemental material, Figure S78).
- 540
- 541 **MORTALITY:** The pooled effect of four RCTs^{69-71, 76} showed no effect on all-cause mortality in the ASV group 542 compared to control (RR 1.00, 95% CI 0.71 to 1.41; n=1716), with an absolute risk difference of 0 fewer deaths 543 per 1,000 participants. The data from these RCTs included patients with HFrEF and HFpEF. A subgroup analysis 544 of HFrEF participants, showed no effect on all-cause mortality in the ASV group compared to control (RR 0.97, 545 95% CI 0.66 to 1.42; n=1692). The duration of patient follow-up after treatment was between 12 weeks and five 546 years (see supplemental material, Figure S79).
- 547
- 548 **SLEEP QUALITY (PATIENT-REPORTED):** One study⁷⁶ did not show a clinically significant difference in sleep 549 quality measured by the Pittsburgh Sleep Quality Index (PSQI; MD 0.6, 95% CI -1.13 to 2.33; n=126) in the ASV 550 group compared to control. The duration of patient follow-up after treatment was six months (see supplemental 551 material, Figure S80). Additional data from randomized trials and observational studies' meta-analyses are 552 described in the supplemental material (Figures S81 to S107).
- 553 Important Outcomes
- The TF determined the following outcomes to be important outcomes but not critical for evaluating the efficacy of ASV to treat adults with CSA: daytime functioning and sleep architecture.
- 556
- **DAYTIME FUNCTIONING:** Multiple instruments were used to measure daytime functioning among the included studies, such as the Minnesota Living with Heart Failure Questionnaire, Specific Activity Scale, and the Duke Activity Status Index. The meta-analysis from two RCTs^{69, 71} showed a reduction in the Minnesota Living with

560 Heart Failure Questionnaire favoring the ASV group compared to control (MD -0.19, 95% CI -2.08 to 1.7: 561 n=1388). The duration of patient follow-up after treatment was between 12 weeks and 12 months. One RCT^{79} showed an increase in the Specific Activity Scale (MD 0.8, 95% CI 0.12 to 1.48; n=30) favoring the ASV group 562 over the control. The duration of patient follow-up after treatment was six months. One RCT⁷⁶ showed a decrease 563 564 in the Duke Activity Status Index (MD -1.51, 95% CI -6.39 to 3.37; n=126) favoring the control group over the 565 ASV group. There were no a priori CSTs for the Minnesota Living with Heart Failure Questionnaire, Specific Activity Scale, or the Duke Activity Status Index. The duration of patient follow-up after treatment was six months 566 567 (see supplemental material, Figures S108 and S110).

SLEEP ARCHITECTURE (PSG): Several objective measures were used to report sleep architecture. The meta-569 analysis of four RCTs^{70, 73, 77, 78} did not show a clinically significant improvement in TST in the ASV group 570 compared to control (MD 10.52, 95% CI -6.12 to 27.17; n=462). A meta-analysis of five RCTs^{70, 73, 75, 77, 78} did not 571 572 show a clinically significant improvement in SE in the ASV group compared to control (MD 5.02, 95% CI 2.57 to 573 7.46; n=484); nor REM% (SMD 0.39, 95% CI 0.21 to 0.57; n=484). The SMD re-expressed as REM%, showed a 574 mean increase of 2.5% (95% CI 1.3 to 3.6); The number of arousals showed a clinically significant reduction in 575 the ASV group compared to control (MD -16.76, 95% CI -20.02 to -13.51; n=484). A meta-analysis of four RCTs⁷⁰, ^{73,75,77} did not show a clinically significant improvement in SWS% compared to control (SMD 0.36, 95% CI 0.10 576 to 0.82; n=282). The SMD re-expressed as SWS%, showed a mean increase of 1.6% (95% CI -0.48 to 3.9). The 577 578 duration of patient follow-up after treatment was one to 12 months (see supplemental material, Figures S111 579 through S115).

580

568

Sleep stage N1% and sleep stage N2% were also measured.^{70, 73, 77, 78} The analysis showed a clinically significant 581 improvement in sleep stage N1% in the ASV group compared to control (SMD -0.76, 95% CI -1.24 to -0.28; 582 583 n=462). The SMD re-expressed as N1%, showed a mean decrease of -8.7% (95% CI -14.1 to -3.2). Sleep stage 584 N2% did not show a clinically significant difference compared to control (SMD 0.47, 95% CI 0.02 to 0.92; n=462). 585 The SMD re-expressed as N2%, showed a mean increase of 5% (95% CI 0.21 to 9.75). The number of respiratory arousals showed a clinically significant reduction in the ASV group compared to control (MD -16.91, 95% CI -586 587 25.55 to -8.27; n=462), resulting in a 49.9% reduction from baseline. The duration of patient follow-up after 588 treatment was one to 12 months (see supplemental material, Figures S116 through S118). Additional data from the 589 observational studies' meta-analyses are described in the supplemental material (Figures S119 to S130.

590 **OVERALL QUALITY OF EVIDENCE:** The TF determined that the overall certainty of evidence for the use of ASV in adults 591 with primary CSA, CSA due to heart failure, CSA due to medication or substance use, treatment-emergent CSA, 592 and CSA due to a medical condition or disorder was low based on the critical outcomes and downgrading of the 593 evidence due to imprecision and risk of bias (see supplemental material, Table S4).

594 **BENEFITS VS HARMS:** The potential benefits of ASV in adults with CSA due to primary CSA, CSA due to heart failure, 595 CSA due to medication or substance use, treatment-emergent CSA, and CSA due to a medical condition or disorder 596 include a clinically significant improvement in disease severity. The potential harms were judged as small based on 597 hospitalization rates due to heart failure or cardiovascular disease. Based on their combined clinical experience, the 598 TF judged that the potential benefits of ASV outweigh the potential harms.

RESOURCE USE: The current cost of ASV can range from \$1,495 and \$1,770 depending on the delivery system. The
 TF judged this cost as moderate. This judgment was based on estimated costs in the United States.

PATIENTS' VALUES AND PREFERENCES: The TF judged that there is possibly important uncertainty or variability in how
 much patients value the main outcomes. Given the clinically significant improvement in disease severity, the TF
 judged that most adults with CSA would generally be accepting of treatment with ASV.

604 Low-flow oxygen

A total of seven RCTs¹⁰²⁻¹⁰⁸ and 14 observational studies^{37, 38, 48, 82, 100, 109-117} investigated the use of low-flow oxygen 605 606 to improve one or more of the following outcomes: excessive sleepiness, disease severity, cardiovascular disease, 607 hospitalizations, and patient-reported sleep quality. Of these, the TF used seven RCTs and three observational 608 studies for decision making in the CPG. Participants in the RCTs had a mean age of 71 years (14% female). Oxygen 609 was administered to the participants via a nasal cannula at a rate ranging from 2 L/min to 3 L/min. The study duration ranged from a single night of oxygen therapy to one year of treatment. Three RCTs^{105, 106, 108} used a 610 611 crossover design, with patients serving as their own controls. The observational studies were pre-posttreatment 612 design investigating participants receiving 2 L/min to 4 L/min of oxygen for a duration of one night to three months. 613 Meta-analyses were performed to assess the efficacy of low-flow oxygen. The meta-analyses are provided in the 614 supplemental material, Figure S131 through Figure S176. A summary of findings table is provided in the 615 supplemental material, Table S5. A summary of the evidence for each outcome is provided below.

616 Critical Outcomes

617 The TF determined the following outcomes to be critical for evaluating the efficacy of low-flow oxygen: excessive 618 sleepiness, disease severity, cardiovascular disease, hospitalizations, and patient-reported sleep quality. None of 619 the studies identified in our literature review reported data for the following critical outcomes: mortality.

620

EXCESSIVE SLEEPINESS: One crossover RCT¹⁰⁸ reported excessive sleepiness measured by the ESS. Low-flow
 oxygen was delivered at a rate of 2 L/min via nasal cannula. The meta-analysis did not show a clinically significant
 reduction in excessive sleepiness in the oxygen group compared to control (MD -0.60, 95% CI: -6.17 to 4.97;
 n=22). The duration of patient follow-up after treatment was four weeks (see supplemental material, Figure S131).

DISEASE SEVERITY: A meta-analysis of seven RCTs¹⁰²⁻¹⁰⁸ reported disease severity measured by the AHI. Lowflow oxygen was administered at a rate ranging from 2 L/min to 3 L/min via nasal cannula. The meta-analysis demonstrated a clinically significant reduction in disease severity in the oxygen group compared to control (MD -11.07, 95% CI: -13.71 to -8.43; n=308). The baseline mean AHI was 25 events/hour in the oxygen group resulting in a 55.3% reduction of AHI for the oxygen group at the time of follow-up. The duration of patient follow-up after treatment ranged from one night to one year (see supplemental material, Figure S132).

A meta-analysis of 5 RCTs^{102-104, 107, 108} reported disease severity as measured by the CAI. Low-flow oxygen was administered at a rate ranging from 2 L/min to 3 L/min via nasal cannula. The meta-analysis demonstrated a clinically significant reduction in disease severity in the oxygen group compared to control (MD -5.91, 95% CI: -8.87 to -2.95; n=246). The baseline mean CAI was 10.1 events/hour in the oxygen group resulting in a -67.1% reduction of CAI for the oxygen group at the time of follow-up. The duration of patient follow-up after treatment ranged from three months to one year (see supplemental material, Figure S133).

- 637 A meta-analysis of 4 RCTs^{102, 103, 107, 108} measured ODI. Low-flow oxygen was administered at a rate ranging from
- 638 2 L/min to 3 L/min via nasal cannula. The meta-analysis demonstrated a clinically significant reduction in disease
- 639 severity for the oxygen group compared to control (MD -14.29, 95% CI: -18 to -10.59; n=226). The baseline mean
- 640 ODI was 19.8 events/hour for the oxygen group, resulting in a -72.3% reduction of ODI for the oxygen group at the

time of follow-up. The duration of patient follow-up after treatment ranged from one month to one year (seesupplemental material, Figure S134).

- 643 A meta-analysis of 2 RCTs^{104, 105} reported disease severity measured by the oxygen saturation less than 90%. Low-
- 644 flow oxygen was administered at a rate ranging from 2 L/min to 4 L/min via nasal cannula. The meta-analysis
- demonstrated a reduction in disease severity in the oxygen group compared to control (MD -5.73, 95% CI: -8.34 to
- -3.13; n=64). The baseline mean of the oxygen saturation less than 90% was not reported in the included studies;
- 647 therefore, the clinical significance was not calculated. The duration of patient follow-up after treatment ranged from
- one week to three months (see supplemental material, Figure S135).
- 649 **CARDIOVASCULAR DISEASE:** A meta-analysis of two observational trials^{82, 109} reported cardiovascular disease 650 measured by the 6MWD test. Low-flow oxygen was administered at a rate of 2 L/min via nasal cannula. The meta-651 analysis did not show a clinically significant improvement in cardiovascular disease in the oxygen group compared 652 to baseline (MD 13.73, 95% CI: -29.73 to 57.20; n=29). The duration of patient follow-up after treatment ranged 653 from eight weeks to three months. (see supplemental material, Figure S136).
- A meta-analysis of four RCTs^{102-104, 107} reported LVEF, %. Low-flow oxygen was administered at a rate ranging from 2 L/min to 3 L/min via nasal cannula. The meta-analysis demonstrated a clinically significant improvement in cardiovascular disease measured by LVEF in the oxygen group compared to control (MD 5.23, 95% CI: 2.02 to 8.44; n=224). The duration of patient follow-up after treatment ranged from three months to one year (see supplemental material, Figure S137).
- A meta-analysis of two RCTs^{105, 107} reported SBP and DBP. Low-flow oxygen was administered at a rate ranging from 3 L/min to 4 L/min via nasal cannula. The meta-analysis did not show a clinically significant improvement in SBP in the oxygen group compared to control (MD 1,69, 95% CI: -5.43 to 8.80; n=100), but a clinically significant improvement was observed in DBP (MD -2.39, 95% CI: -5.88 to 1.09; n=100. The duration of patient follow-up after treatment ranged from one to 12 weeks (see supplemental material, Figure S138 and S139).
- **HOSPITALIZATIONS:** One study¹¹⁶ reported hospitalization outcomes measured by incidence (times/year), length of stay, outpatient visits (times/year), and emergency visits (times/year). Low-flow oxygen was administered at a rate of 2 L/min via nasal cannula. The analysis demonstrated a reduction in incidence (MD -1.60, 95% CI: -2.09 to -1.11; n=53), reduction in length of stay (MD -4.10, 95% CI: -22.59 to 14.39; n=53), reduction in outpatient visits (MD -5.20, 95% CI: -8.35 to -2.05; n=53), and a reduction in emergency visits (MD -1.70, 95% CI: -2.58 to -0.82; n=53) compared to baseline. There were no a priori CSTs for these measures of hospitalizations. The duration of patient follow-up after treatment was six months (see supplemental material, Figure S140 to S143).
- 671
 672 SLEEP QUALITY (PATIENT-REPORTED): One study¹⁰⁹ reported sleep quality with the sleep sufficiency index
 673 (no a priori CST). Low-flow oxygen was administered at a rate of 2 L/min via nasal cannula. The analysis
 674 demonstrated an increase in sleep quality from baseline favoring the oxygen group (MD 10.30, 95% CI: -4.87 to
 675 25.46; n=22) The duration of patient follow-up after treatment was three months (see supplemental material, Figure
 676 S144).
 - Additional data from randomized trials and observational studies' meta-analyses are described in the supplemental
 material (Figures \$145 to \$154).

679 Important Outcomes

- The TF determined the following outcomes to be important outcomes but not critical for evaluating the efficacy oflow-flow oxygen: daytime functioning, quality of life, and sleep architecture.
- 682

DAYTIME FUNCTIONING: Three RCTs^{103, 104, 107} reported the Specific Activity Scale (Mets, no CST). Low-flow oxygen was administered at a rate of 3 L/min nasal cannula. The meta-analysis demonstrated an increase in daytime functioning in favor of the oxygen group compared to control (MD 1.07, 95% CI 0.60 to 1.55; n=107). The duration of patient follow-up after treatment was 12 weeks (see supplemental material, Figure S155)

687 One study¹⁰⁴ reported anaerobic threshold and peak VO₂ (no CST). Low-flow oxygen was administered at a rate of 688 3 L/min nasal cannula. The analysis demonstrated an increase in anaerobic threshold favoring the oxygen group 689 compared to control (MD 0.60, 95% CI -1.87 to 3.07; n=20) and a peak VO₂ increase (MD 2.50, 95% CI -1.25 to 690 6.25; n=20). The duration of patient follow-up after treatment was 3 months (see supplemental material, Figures 691 S156 and S157).

692 One RCT^{108} measured daytime functioning with various psychomotor tests. Low-flow oxygen was administered at 693 a rate of 2 L/min nasal cannula. The analysis demonstrated a decrease in Reitan trail making test favoring the oxygen

694 group (MD -1.0, 95% CI -121.60 to 119.60; n=22), an increase four-choice reaction time test favoring control (MD

695 0.04, 95% CI -0.24 to 0.32; n=22), an increase in PASAT 2 favoring control (MD 2, 95% CI -14.63 to 18.63; n=22),

- and an increase in PASAT 4 (MD 5, 95% CI -13.06 to 23.06 sec) favoring control. There were no a priori CSTs for
 these measures of daytime outcomes. The duration of patient follow-up after treatment was four weeks (see
 supplemental material, Figures S158 through S161).
- 699 **QUALITY OF LIFE:** One RCT¹⁰⁸ reported the speed on the quality-of-life score. Low-flow oxygen was 700 administered at a rate of 2 L/min nasal cannula. The analysis demonstrated an increase in quality of life favoring 701 the oxygen group compared to control (MD 2, 95% CI -24.36 to 28.36; n=22). There was no a priori CST for this 702 measure. The duration of patient follow-up after treatment was four weeks (see supplemental material, Figure 703 S162).
- SLEEP ARCHITECTURE (PSG): A meta-analysis of three RCTs^{105, 106, 108} measured TST and REM%. Low-flow oxygen was administered at a range of 2-4 L/min nasal cannula. The meta-analysis did not demonstrate a clinically significant improvement in TST in the oxygen group compared to control (MD 10.40, 95% CI -25.03 to 45.82; n=84) nor a clinically significant improvement in REM% (MD 2.23, 95% CI -1.52 to 5.98; n=84). The duration of patient follow-up after treatment ranged from one night to four weeks (see supplemental material, Figure S163 and S164).
- A meta-analysis of two RCTs^{105, 106} reported sleep stage N1%, sleep stage N2%, and SWS%. Low-flow oxygen was administered at a range of 2-4 L/min nasal cannula. The meta-analysis demonstrated clinically significant improvement in sleep stage N1% in the oxygen group (MD -13.3, 95% CI -21.71 to -4.89; n=62) but not sleep stage N2% (MD 8.42, 95% CI: 0.91 to 15.92: n=62) nor SWS% compared to control (MD 2.71, 95% CI 0.15 to 5.27; n=62). The duration of patient follow-up after treatment ranged from one to seven nights (see supplemental material, Figure S165 through S167).
- 716
- 717 Three RCTs^{105, 106, 108} reported the arousal index. Low-flow oxygen was administered at a range of 2-4 L/min nasal 718 cannula. The meta-analysis showed a clinically significant improvement in the arousal index in the oxygen group

compared to control (MD -4.09, 95% CI -9.14 to 0.96; n=84). The duration of patient follow-up after treatment
 ranged from one night to four weeks (see supplemental material, Figure S168).

Additional data from the observational meta-analyses are described in the supplemental material (Figures S169 toS176).

723 **OVERALL QUALITY OF EVIDENCE:** The TF determined that the overall certainty of evidence for the use of low-flow 724 oxygen in adults with CSA due to heart failure was low based on the critical outcomes and downgrading of the 725 evidence due to imprecision in both the randomized and non-randomized studies. The decision was driven by the 726 low certainty in the critical outcome of disease severity (see supplemental material, Table S5).

- **BENEFITS VS HARMS:** The potential benefits of low-flow oxygen in adults with CSA due to heart failure include a clinically significant improvement in disease severity. Low-flow oxygen demonstrated non-clinically significant reductions in excessive sleepiness and cardiovascular disease and improvement in hospitalization and patientreported sleep quality as measured by outcomes without pre-specified CSTs. Cardiac-related adverse events were reported in two RCTs. The potential harm includes irritation from the nasal prongs and nosebleeds. Based on their combined clinical experience, the TF judged that the potential benefits of low-flow oxygen in adults with CSA due to heart failure outweigh the potential harms.
- **RESOURCE USE:** The current cost of low-flow oxygen can range from \$1,000 to \$2,000 depending on the delivery
 system. Additional costs of maintenance and replacement parts for tubing, nasal cannulas, and other supplies can
 increase the overall cost of the intervention over time. The TF judged this cost as moderate. This judgment was
 based on estimated costs in the United States.
- PATIENTS' VALUES AND PREFERENCES: The TF judged that there is possibly important uncertainty or variability in how much patients value the main outcomes due to the lack of evidence informing patient-important outcomes and long-term outcomes. Given the clinically significant improvement in disease severity, the TF judged that most patients with CSA due would generally be accepting of treatment with low-flow oxygen.
- 742 Acetazolamide

A total of four RCTs^{21-23, 118} and two observational studies^{119, 120} investigated the use of acetazolamide to improve 743 744 one or more of the following outcomes: excessive sleepiness, disease severity, cardiovascular disease, patient-745 reported sleep quality, fatigue, and PSG measured sleep architecture. Of these, the TF used three RCTs for decision 746 making in the CPG. Participants in the RCTs had a mean age of 58 years (9% female). Participants received dosages 747 of acetazolamide from 250 milligrams (mg) to 1,000 mg for a duration of three to six nights. The observational/non-748 randomized studies were pre-posttreatment designs investigating participants receiving a dosage of 250 mg of 749 acetazolamide for a duration of one to five months. Meta-analyses were performed to assess the efficacy of 750 acetazolamide. The meta-analyses are provided in the supplemental material, Figure S177 through Figure S196. A 751 summary of findings table is provided in the supplemental material, Table S6. A summary of the evidence for each 752 outcome is provided below.

753 Critical Outcomes

754 The TF determined the following outcomes to be critical for evaluating the efficacy of acetazolamide: excessive 755 sleepiness, disease severity, and cardiovascular disease, and patient-reported sleep quality. None of the studies

- identified in our literature review reported data for the following critical outcomes: hospitalization or mortality.
- 757

EXCESSIVE SLEEPINESS: The analysis of one RCT²¹ demonstrated a clinically significant decrease in ESS in the
 acetazolamide group compared to control (MD -2.7, 95% CI -5.42 to 0.02; n=20). The duration of patient follow up after treatment with 250 mg of acetazolamide or placebo was six nights (see supplemental material, Figure
 S177).

762

DISEASE SEVERITY: Three RCTs²¹⁻²³ reported disease severity measured by AHI. The dose of acetazolamide ranged from 250 mg to 1,000 mg. The meta-analysis showed a clinically significant reduction in disease severity in the acetazolamide group compared to control (MD -16.57, 95% CI -28.43 to -4.71; n=76) resulting in a -56% reduction of AHI for the acetazolamide group at the time of follow-up. The duration of patient follow-up after treatment ranged from three to six nights (see supplemental material, Figure S178).

768

Two RCTs^{22, 23} reported disease severity using the CAI. The dose of acetazolamide ranged from 350 mg to 1,000 mg. The meta-analysis did not demonstrate a clinically significant reduction in disease severity in the acetazolamide group compared to control (MD -7.65, 95% CI -13.8 to -1.51; n=56) resulting in a -48.5% reduction of CAI for the acetazolamide group at the time of follow-up. The duration of patient follow-up after treatment ranged from three to six nights (see supplemental material, Figure S179).

774

CARDIOVASCULAR DISEASE: One RCT²² reported LVEF, %. The dose of acetazolamide ranged from 3.50 mg/kg
 to 4.0 mg/kg. The analysis did not show a clinically significant improvement in LVEF in the acetazolamide group
 compared to placebo (MD -1, 95% CI -5.81 to 7.81; n=24). The duration of patient follow-up after treatment was
 six nights (see supplemental material, Figure S180).

SLEEP QUALITY (PATIENT REPORTED): One RCT²² reported sleep quality measured by a subjective questionnaire. Participants patients were asked specifically if they felt improved in comparison from the first arm versus the second arm of the study. The dose of acetazolamide ranged from 3.50 mg/kg to 4.0 mg/kg. The analysis showed an improvement in the acetazolamide group (RR 7, 95% CI 1.01 to 48.54; n=24). There was no a priori CST for this measure. The duration of patient follow-up after treatment was six nights (see supplemental material, Figure S181). Additional data from randomized trials and observational studies' meta-analyses are described in the supplemental material (Figures S182 to S188).

786 Important Outcomes

The TF determined the following outcomes to be important outcomes but not critical for evaluating the efficacy ofacetazolamide in treating adults with CSA: fatigue and sleep architecture (PSG).

FATIGUE: One RCT²² reported fatigue measured by a subjective questionnaire. Participants patients were asked specifically if they felt improved in comparison from the first arm versus the second arm of the study. The dose of acetazolamide ranged from 3.5 mg/kg to 4.0 mg/kg. The analysis showed an improvement in the acetazolamide group (RR 3.5, 95% CI 0.91 to 13.53; n=24). There was no a priori CST for this measure. The duration of patient follow-up after treatment was six nights (see supplemental material, Figure S189).

SLEEP ARCHITECTURE (PSG): Various objective measures were used to report sleep architecture. Two RCTs^{23, 118} reported SE. The dose of acetazolamide ranged from 3.5 mg/kg to 1000 mg. The analysis did not show a clinically significant reduction in the acetazolamide group compared to control (MD -1.66, 95% CI -8.84 to 5.53; n=44). The duration of patient follow-up after treatment ranged from six to seven nights (see supplemental material, Figure S190). Additionally, one study¹¹⁸ reported TST and arousals. The dose of acetazolamide used ranged from 3.50 mg/kg to 4 mg/kg. The analysis demonstrated a clinically significant improvement in TST compared to

placebo (MD 42, 95% CI -28.83 to 112.83; n=12) and in the number of arousals compared to baseline (MD -5,
95% CI -15.74 to 5.74; n=12). The duration of patient follow-up after treatment was six nights (see supplemental
material, Figure S191 and S192).

- 803
- Additional data on sleep architecture outcomes are described in the supplemental material (Figures S193 to S196).
- 805

806 **OVERALL QUALITY OF EVIDENCE:** The TF determined that the overall certainty of evidence for the use of acetazolamide 807 in adults with primary CSA, CSA due to heart failure, CSA due to medication or substance use, treatment-emergent 808 CSA, and CSA due to a medical condition or disorder was low based on the critical outcomes and downgrading of 809 the evidence due to imprecision (see supplemental material, Table S6).

BENEFITS VS HARMS: The potential benefits of acetazolamide in adults with CSA include a clinically significant improvement in excessive sleepiness and disease severity. The potential harms include mild paresthesia and impaired taste of carbonated drinks. Based on their combined clinical experience, the TF judged that the potential benefits of acetazolamide in adults with CSA outweigh the potential harms.

814

817

RESOURCE USE: The current unit cost for acetazolamide is \$0.14 for a 250 mg tablet, based on estimated costs in
the United States. The TF judged this cost as negligible.

818 PATIENTS' VALUES AND PREFERENCES: The TF judged that there is probably no important uncertainty or variability in 819 how much patients value the main outcomes. Given the clinically significant improvement in excessive sleepiness 820 and disease severity, the TF judged that most individuals with CSA would generally be accepting of treatment with 821 acetazolamide.

822

823 Transvenous phrenic nerve stimulation (TPNS)

One RCT presented in three publications¹²¹⁻¹²³ and three observational studies¹²⁴⁻¹²⁶ investigated the use of TPNS to improve one or more of the following outcomes: excessive sleepiness, disease severity, cardiovascular disease, mortality, fatigue, quality of life, and sleep architecture. Of these, the TF used one RCT and one observational study for decision making in the CPG. The follow-up period was one night to 12 months. Subgroups of the RCT were followed for one, three, and five years.¹²⁷⁻¹²⁹ Meta-analyses were performed to assess the efficacy of TPNS. The meta-analyses and summary of findings table are provided in the supplemental material (Figure S197 through Figure S222; Table S7). A summary of the evidence for each outcome is provided below.

831 Critical Outcomes

832 The TF determined the following outcomes to be critical for evaluating the efficacy of TPNS: excessive sleepiness, 833 disease severity, cardiovascular disease, and mortality. None of the studies identified in our literature review 834 reported data for the following critical outcomes: hospitalization.

835

EXCESSIVE SLEEPINESS: One study¹²¹ reported excessive sleepiness measured by the ESS. The analysis showed
 a clinically significant difference in ESS in the TPNS group compared to control (MD -3.7, 95% CI -5.47 to -1.93;
 n=131). The duration of patient follow-up after treatment was six months (see supplemental material, Figure S197).

839

B40 DISEASE SEVERITY: One RCT¹²¹ measured disease severity with AHI, ODI, and CAI. The analysis did not show
 a clinically significant improvement in AHI with a 48% reduction in the TPNS group from baseline (MD -25, 95%)

-31.26 to -18.74; n=131), nor with ODI with a 43% reduction from baseline in the TPNS group (MD -16.2, 95%
CI -23.49 to -8.91); n=131). There was a clinically significant improvement in CAI from baseline resulting in an
80% reduction (MD -17.3, 95% CI -21.94 to -12.66; n=131). The duration of patient follow-up after treatment was
six months (see supplemental material, Figure S198 to S200).

- 846
- 847 CARDIOVASCULAR DISEASE: One study¹²⁶ reported cardiovascular disease measured by LVEF% and 6MWD.
 848 The analysis did not show a clinically significant improvement in LVEF% in the TPNS group compared to baseline
 849 (MD -0.5, 95% CI -8.46 to 7.46; n=24) but did show a clinically significant increase in the 6MWD for the TPNS
 850 group compared to baseline (MD 40.5, 95% CI -53.78 to 134.78; n=24). The duration of patient follow-up after
 851 treatment was six months (see supplemental material, Figure S201 to S202).
- MORTALITY: One RCT¹²¹ did not show a clinically significant difference in mortality in the TPNS group compared to control (RR 1.07, 95% CI 0.15 to 7.39; n=151), with an absolute risk difference of 2 more deaths per 1,000 participants. The duration of patient follow-up after treatment was 12 months (see supplemental material, Figure S203).
- Additional data from the single-arm pre- posttreatment/observational studies' meta-analyses are described in the
 supplemental material (Figure S204 to S210).
- 858 Important Outcomes
- The TF determined the following outcomes to be important outcomes but not critical for evaluating the efficacy ofTPNS to treat adults with CSA: quality of life and sleep architecture.
- 861

- QUALITY OF LIFE: One RCT¹²² reported quality of life as measured by the Patient Global Assessment. The TPNS
 group was more likely to show mild or marked/moderate improvement compared to the control group (RR 5.79,
 95% CI 3.21 to 10.45; n=131). There was no a priori CST. The duration of patient follow-up after treatment was
 six months (see supplemental material, Figure S211).
- SLEEP ARCHITECTURE (PSG): One RCT¹²¹ reported REM% and arousal index. The TPNS group showed a nonclinically significant increase in REM% (MD 1.4, 95% CI -1.41 to 4.21; n=131) favoring TPNS over control.
 There was a clinically significant decrease in the arousal index in the TPNS group compared to control (MD -13.5,
 95% CI -19.29. -7.71; n=131). The duration of patient follow-up after treatment was six months (see supplemental
 material, Figure S212 to S213).
- Additional data for these outcomes from the single-arm pre- posttreatment/observational studies' meta-analyses are described in the supplemental material (Figure S214 to S222).
- 874 **OVERALL QUALITY OF EVIDENCE:** The TF determined that the overall certainty of evidence for the use of TPNS in adults 875 with primary CSA and CSA due to heart failure who have failed all other therapies was very low based on the 876 critical outcomes and downgrading of the evidence due to imprecision in both the randomized and observational 877 studies (see supplemental material, Table S7).
- 878 **BENEFITS VS HARMS:** The potential benefits of TPNS in adults with primary CSA and CSA due to heart failure who 879 have failed all other therapies include a clinically significant improvement in excessive sleepiness, disease severity 880 and cardiovascular disease (specifically 6MWD). The potential harms were judged as small and included 881 impending pocket erosion, implant site hematoma and infection, lead dislodgment, lead displacement and lead

component failure. Based on their combined clinical experience, the TF judged that the potential benefits of TPNS

- in adults with CSA due to primary CSA and CSA due to heart failure who have failed all other therapies outweighthe potential harms.
- **RESOURCE USE:** The current cost of implanting a TPNS is estimated to be around \$53,000. The TF judged this cost
 as large. This judgment was based on estimated costs in the United States.

PATIENTS' VALUES AND PREFERENCES: The TF judged that there is possibly important uncertainty or variability in how much patients value the main outcomes. Given the clinically significant improvement in excessive sleepiness, disease severity, and cardiovascular disease, the TF judged that most adults with CSA due to primary CSA and CSA due to heart failure who have failed all other therapies would generally be accepting of treatment with TPNS.

891

ADULTS WITH CENTRAL SLEEP APNEA DUE TO HIGH ALTITUDE

893 Low-flow oxygen

894 One crossover RCT presented in two separate publications^{130, 131} measured various outcomes of low flow oxygen 895 for treatment of CSA at high altitude. Since only one study reported on these outcomes, a meta-analysis could not 896 be performed. This study included 18 healthy participants (12 men, 6 women) aged 29 ± 4 years, who ascended to 897 altitude (3800 m) and were randomized to a different treatment group each night for three nights: 1) no treatment, 898 2) 2L per minute supplemental oxygen or higher to maintain oxygen saturation >95%, and 3) ASV. The analyses 899 and summary of findings table are provided in the supplemental material (Figure S223 to S229; Table S8). A 900 summary of the evidence for each outcome is provided below.

901 Critical Outcomes

902 The TF determined the following outcomes to be critical for evaluating the efficacy of oxygen to treat adults with 903 CSA due to high altitude: excessive sleepiness, disease severity, daytime functioning, and quality of life.

904

EXCESSIVE SLEEPINESS: Measured by the Stanford Sleepiness Scale (SSS), the analysis of one RCT¹³⁰ did not
 show a clinically significant improvement in SSS for the oxygen group compared to control (MD -0.6, 95% CI 0.94 to -0.26; n=14) The duration of patient follow-up was one night (see supplemental material, Figure S223).

- DISEASE SEVERITY: One RCT¹³⁰ showed a clinically significant improvement in ODI for the oxygen group
 compared to control (MD -14.7, 95% CI -23.72 to -5.68; n=14). The duration of patient follow-up was one night
 (see supplemental material, Figure S224).
- 912

DAYTIME FUNCTIONING: One RCT¹³⁰ reported results from the Lake Louise Acute Mountain Sickness (AMS)
score. There was a decrease in AMS score that favored the oxygen group compared to control (MD -1, 95% CI 2.27 to 0.27; n=14). There was no a priori CST. The duration of patient follow-up was one night (see supplemental
material, Figure S225).

- 917
- 918 **QUALITY OF LIFE:** One RCT¹³¹ reported both Profile of Mood State-Adolescent (POMS-A) confusion score and 919 fatigue score as a measure of quality of life (no a priori CST). There was a decrease in POMS-A scores that favored 920 the oxygen group compared to control (confusion-MD -1.1, 95% CI -1.91 to -0.29; n=17; fatigue-MD -3.2, 95%

921 CI -6.28 to -0.12; n=17). The duration of patient follow-up was one night (see supplemental material, Figure S226922 and S227.

923 Important Outcomes

924 The TF determined the following outcomes to be important outcomes but not critical for evaluating the efficacy of925 oxygen to treat adults with CSA due to high altitude: sleep architecture.

SLEEP ARCHITECTURE (PSG): One RCT¹³⁰ reported both arousal index and sleep stage N1% as measures of sleep
architecture. One analysis showed a clinically significant reduction in arousal index from baseline (MD -3.7. 95%
-6.44 to -0.96; n=14). There was not a clinically significant improvement in N1% sleep in favor of oxygen (MD 3.6, 95% CI -6.06 to -1.14; n=14). The duration of patient follow-up was one night (see supplemental material,
Figure S228 to S229).

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926

933 **OVERALL QUALITY OF EVIDENCE:** The TF determined that the overall certainty of evidence for the use of low-flow 934 oxygen in adults with CSA due to high altitude was very low based on the critical outcomes and downgrading of 935 the evidence due to imprecision and risk of bias (see supplemental material, Table S8).

BENEFITS VS HARMS: The potential benefits of low-flow oxygen in adults with CSA due to high altitude include a
 clinically significant improvement in disease severity (ODI). There were improvements in daytime functioning

and quality of life, as measured by outcomes without pre-specified CSTs. There were no reported adverse effects.

Based on their combined clinical experience, the TF judged that the potential benefits of low-flow oxygen in adults

940 with CSA due to high altitude outweigh the potential harms.

941 **RESOURCE USE:** The current cost of low-flow oxygen can range from \$1,000 to \$2,000 depending on the delivery
942 system. The TF judged this cost as moderate. This judgment was based on estimated costs in the United States.

PATIENTS' VALUES AND PREFERENCES: The TF judged that there is possibly important uncertainty or variability in how
much patients value the main outcomes. Given the clinically significant improvement in disease severity, the TF
judged that most adults with CSA due to high altitude would generally be accepting of treatment with low flow
oxygen.

947 Acetazolamide

A total of two RCTs^{132, 133} investigated the use of acetazolamide in adults with CSA due to high altitude to improve 948 949 one or more of the following outcomes: disease severity and PSG measured sleep architecture. Participants in the RCTs had an age range of 26-35 years¹³³ (100% male)^{132, 133} who ascended to altitudes between 3,454 and 4,400 950 meters. Participants received a dosage of 250 mg of acetazolamide at various frequencies. The duration of follow-951 up ranged from one to two nights. One RCT¹³³ used a crossover design, with patients serving as their own controls, 952 953 and a washout period of five to seven days. Analyses were performed to assess the efficacy of acetazolamide as a 954 treatment for adults with CSA due to high altitude. The analyses and summary of findings table are provided in the 955 supplemental material (Figure S230 through Figure S240; Table S9). A summary of the evidence for each outcome 956 is provided below.

957 Critical Outcomes

958 The TF determined the following outcomes to be critical for evaluating the efficacy of acetazolamide to treat adults

with CSA due to high altitude: disease severity. None of the studies identified in our literature review reported data for the following critical outcomes: excessive sleepiness, daytime functioning, or quality of life, 961

DISEASE SEVERITY: An analysis of one RCT¹³² showed a clinically significant reduction in AHI in the acetazolamide group compared to control (MD -21; 95% CI: -34.68 to -7.32; n=20) and a clinically significant reduction in the desaturation index (MD -30.30, 95% CI: -45.19 to -15.41; n=20). Baseline values were not reported for disease severity measures. The TF compared the intervention to control to determine clinical significance. The dose of acetazolamide was 250 mg twice daily starting three days prior to ascent. The duration of patient follow-up after treatment was two nights (see supplemental material, Figure S230 and S231).

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977

Another RCT¹³³ (acetazolamide dose was 250 mg every eight hours for three doses with participants used as their
own controls) showed a clinically significant reduction in percentage of time with periodic breathing in the
acetazolamide group compared to baseline (MD -23.7, 95% CI: -49.55 to 2.15; n=4) and in oxygen saturation <
70% (MD -11.82, 95% CI: -17.73 to -5.91; n=4). The duration of patient follow-up after treatment was one night
(see supplemental material, Figure S232 and S233).

974 Important Outcomes

975 The TF determined the following outcomes to be important outcomes but not critical for evaluating the efficacy of976 acetazolamide to treat adults with CSA due to high altitude: sleep architecture measured by PSG.

- 978 SLEEP ARCHITECTURE (PSG): Several objective measures were used to report sleep architecture in one RCT.¹³² 979 The dose of acetazolamide was 250 mg taken twice daily. The analysis did not show a clinically significant 980 improvement in SE compared to control (MD -11.7, 95% CI: -14.56 to -8.84; n=20); showed a clinically significant 981 improvement in arousal index (MD -10, 95% CI: -19.62 to -0.38; n=20); a non-clinically significant improvement 982 in REM% (MD 3.7, 95% CI: -0.86 to 8.26; n=20); a clinically significant improvement in sleep stage N1% (MD 983 -8.2, 95% CI: -13.0 to -3.40; n=20); no difference detected in sleep stage N2% (MD 0.2, 95% CI: -5.66 to 6.06; 984 n=20) nor sleep stage N3% (MD 0.5, 95% CI: -2.13 to 3.13; n=20); and an increase in sleep stage N4% (no CST, 985 MD 3.9, 95% CI: -2.24 to 10.04; n=20). The duration of patient follow-up after treatment was two nights (see 986 supplemental material, Figure S234 to 240).
- 988 **OVERALL QUALITY OF EVIDENCE:** The TF determined that the overall certainty of evidence for the use of acetazolamide 989 in adults with CSA due to high altitude was very low based on the critical outcomes and downgrading of the 990 evidence due to imprecision and indirectness (see supplemental material, Table S9).
- 991 **BENEFITS VS HARMS:** The potential benefits of acetazolamide in adults with CSA due to high altitude include a 992 clinically significant improvement in disease severity and sleep architecture (arousals and N1). The potential harms 993 include mild paresthesia, impaired taste of carbonated drinks, and diuresis. Based on their combined clinical 994 experience, the TF judged that the potential benefits of acetazolamide in adults with CSA due to high altitude 995 outweigh the potential harms.
- 996

- 997 RESOURCE USE: The current unit cost for acetazolamide is \$0.14 for a 250 mg tablet, based on estimated costs in
 998 the United States. The TF judged this cost as negligible.
 999
- PATIENTS' VALUES AND PREFERENCES: The TF judged that there is probably no important uncertainty or variability in how much patients value the main outcomes. Given the clinically significant improvement in disease severity and sleep architecture (arousals, N1), the TF judged that most adults with CSA due to high altitude would generally be accepting of treatment with acetazolamide.

1004 OTHER INTERVENTIONS

1005 ASV for CSA due to high altitude

1006 One cross over RCT presented in two separate publications^{130, 131} measured various outcomes of ASV for treatment 1007 of CSA at high altitude. Since only one study reported on these outcomes, a meta-analysis could not be performed. 1008 This study included 18 healthy participants (12 men, 6 women) aged 29 ± 4 years, who ascended to altitude (3800 1009 m) and were randomized to a different treatment group each night for 3 nights: 1) no treatment, 2) 2L per minute 1010 supplemental oxygen or higher to maintain oxygen saturation >95%, and 3) ASV. Mean use for ASV was 7 ± 1.5 1011 hours. The analyses and summary of findings table are provided in the supplemental material (Figures S241 to 1012 S247; Table S10). A summary of the evidence for each outcome is provided below.

1013 Critical Outcomes

- 1014 The TF determined the following outcomes to be critical for evaluating the efficacy of ASV to treat adults with 1015 CSA due to high altitude: excessive sleepings, disease severity, devine functioning, and quality of life
- 1015 CSA due to high altitude: excessive sleepiness, disease severity, daytime functioning, and quality of life.
- 1016

1017 **EXCESSIVE SLEEPINESS:** Measured by the SSS, the analysis of one RCT¹³⁰ did not show a clinically significant 1018 improvement in SSS for the ASV group compared to control (MD -0.2, 95% CI -1.01 to 0.61; n=14; see 1019 supplemental material, Figure S241).

- 1020 **DISEASE SEVERITY:** One RCT¹³⁰ did not show a clinically significant reduction in ODI for the ASV group 1021 compared to control (MD -6.9, 95% CI -16.73 to 2.93; n=14; see supplemental material, Figure S242).
- **DAYTIME FUNCTIONING:** One RCT¹³⁰ reported results from the AMS score. There was a decrease in AMS score that favored the ASV group compared to control (MD -0.3, 95% CI -1.45 to 0.85; n=14). There was no a priori CST (see supplemental material, Figure S243).
- **QUALITY OF LIFE:** One RCT¹³¹ reported both POMS-A confusion score and fatigue score as a measure of quality of life (no a priori CST). There was a decrease in POMS-A scores that favored the ASV group compared to control (confusion-MD -0.6, 95% CI -1.47 to 0.27; n=17; fatigue-MD -1, 95% CI -4.73 to 2.73; n=17; see supplemental material, Figure S244 and S245).
- 1029 Important Outcomes
- 1030 The TF determined the following outcomes to be important outcomes but not critical for evaluating the efficacy of1031 ASV to treat adults with CSA due to high altitude: sleep architecture.
- 1032
- SLEEP ARCHITECTURE (PSG): One RCT¹³⁰ reported both arousal index and sleep stage N1% as measures of sleep
 architecture. One analysis showed almost no difference in arousals compared to control (MD 0.7, 95% -3.17 to
 4.57; n=14). There was also no difference detected in sleep stage N1% for the ASV group compared to control
 (MD 0.4, 95% CI -3.41 to 4.21; n=14; see supplemental material, Figure S246 and S247).
- 1037 OVERALL QUALITY OF EVIDENCE: The TF determined that the overall certainty of evidence for the use of ASV in adults
 1038 with CSA due to high altitude was very low based on the critical outcomes and downgrading of the evidence due
 1039 to imprecision and risk of bias (see supplemental material, Table S10).
- BENEFITS VS HARMS: The potential benefits of ASV in adults with CSA due to high altitude were judged to be trivial.
 The potential harms could not be determined with the current evidence. Based on their combined clinical

1042 experience, the TF judged that there was no difference in the potential benefits or harms of ASV in adults with 1043 CSA.

- 1044 **RESOURCE USE:** The current cost of ASV can range from \$1,495 and \$1,770 depending on the delivery system. The
- TF judged this cost as moderate. This judgment was based on estimated costs in the United States. 1045

1046 **PATIENTS' VALUES AND PREFERENCES:** The TF judged that there is possibly important uncertainty or variability in how 1047 much patients value the main outcomes. Because of the transient nature of the disease as well as the lack of 1048 feasibility in using an ASV device at high altitude, the TF decided not to prioritize this PICO question.

1049

DISCUSSION & FUTURE DIRECTIONS

1050 This systematic review updates the previously published practice parameters on the treatment of CSA in adults.^{1,2} 1051 The use of the GRADE methodology offers a systematic approach that minimizes bias with recommendations based 1052 on the balance between the benefits and harms of each treatment intervention. In this systematic review, RCTs 1053 generally resulted in higher quality evidence over observational studies.

1054

The International Classification of Sleep Disorders (ICSD) 3rd edition text revision (ICSD-3-TR)¹³⁴ conceptualizes 1055 central apnea as part of several clinical syndromes. However, clinical studies do not necessarily follow the ICSD-1056 1057 3-TR classification in study design (see supplemental material, Table S11). Many studies include CSA of varied 1058 etiologies, whereas other studies focus exclusively on central apneas in patients with HF. Further, the basis for 1059 classification of primary CSA in some studies was unclear, often not based on a robust process of elimination of 1060 alternative conditions, and not necessarily based on a thorough process of determination, such as assessment of 1061 cardiac function or exclusion of opioid use. The pathophysiology of CSA secondary to a medical condition is 1062 heterogenous as it includes a panoply of clinical and neurological conditions with many pathophysiological 1063 mechanisms that defy easy classification. Similarly, CSA secondary to a medication may be due to hypoventilation 1064 or post hyperventilation.

1065

1066 Treatment options for CSA can be broadly classified into positive pressure therapy, agents that modulate ventilatory 1067 control mechanisms, such as supplemental oxygen and acetazolamide, and implanted devices that stimulate the 1068 phrenic nerve. Given the common pathophysiological pathways of all types of CSA and the limited number of 1069 available studies in each class of CSA, the TF, when appropriate, grouped studies evaluating a certain modality but 1070 in different classes of CSA. This approach allowed extrapolation of the evidence for treatment benefits in one class 1071 of CSA to other classes unless there was a strong physiologic or mechanistic reason not to do so.

1072

1073 CPAP therapy for CSA is "repurposed" from OSA. This was first proposed by Issa and Sullivan, who demonstrated 1074 the reversal of CSA using nasal CPAP. Mechanisms of action include: 1) elimination of concomitant obstructive 1075 events and prevention of pharyngeal narrowing during central apnea, hence mitigating ventilatory overshoot during 1076 the recovery period, and 2) increased lung volume, which may decrease plant gain by dampening changes in $PaCO_2$ 1077 for a given change in ventilation. Overall, these factors, in aggregate, should dampen the ventilatory overshoot and 1078 mitigate the perpetuation of ventilatory instability. Available studies investigating CPAP in patients with CSA have 1079 shown decreased AHI, but only one study reported the effect of CPAP on CAI per se. Interestingly, no study has 1080 reported the resolution of CSA with CPAP therapy. Further, conclusive long-term outcomes and patient-reported 1081 outcomes are lacking. While CPAP has been used for CSA of varied etiologies, several areas of uncertainty persist. 1082 A key question is whether CPAP effects are mediated by preventing upper airway obstruction or by stabilizing the

ventilatory control system. Other opportunities for future studies include investigating physiologic determinants of
 response that could inform the choice of CPAP for CSA.

1085

Supplemental oxygen also attenuates central apnea by decreasing peripheral chemoreflex sensitivity and mitigating
ventilatory overshoot. Additionally, oxygen therapy may also stimulate respiration via the Haldane effect.
Supplemental oxygen results in a significant improvement in disease severity (AHI) and a variable effect on daytime
outcomes. Differences in study design, selection criteria, and duration of treatment may have contributed to
variability in outcome.

1091

1092 Acetazolamide is a mild diuretic and a respiratory stimulant that has been used to treat periodic breathing at high 1093 altitude and then investigated as a potential treatment of CSA, including CSA associated with Cheyne-Stokes 1094 respiration and HF. Acetazolamide has a strong safety profile and exerts no effect on the peripheral chemoresponse 1095 or sympathetic activity. Acetazolamide decreases plant gain by increasing alveolar ventilation, with no change in 1096 CO_2 chemoreflex sensitivity. There is evidence that acetazolamide may mitigate ventilatory overshoot by increasing 1097 cerebrovascular reactivity, independent of changes in peripheral or central chemoreflex sensitivities. Overall, the 1098 effect of acetazolamide on CSA appears to be modest. This may be explained by the variable dosing and duration 1099 of response to the medication. Further, using acetazolamide requires monitoring electrolytes to ascertain appropriate 1100 metabolic response. While acetazolamide has a favorable safety profile, consideration of potential dose-dependent side effects and drug-drug interaction is required.¹³⁵ Future research is needed to ascertain optimal dosing and to 1101 determine impact on long term objective and patient-reported outcomes. 1102

1103

1104 TPNS is an innovative treatment for CSA. TPNS has been studied primarily in patients with CSA due to HF and, 1105 to a lesser extent, in those with primary CSA. The device is implanted by specialized electrophysiologists or 1106 cardiothoracic surgeons. Venous access is achieved through the axillary, cephalic, or subclavian vein, and the 1107 stimulation lead is positioned in the left pericardiophrenic or brachiocephalic vein, adjacent to the corresponding phrenic nerve. The device is then programmed to stimulate the phrenic nerve during sleep, inducing smooth 1108 diaphragmatic contractions that replicate normal breathing.¹³⁶ The precise mechanism by which TPNS alleviates 1109 1110 CSA, and its symptoms remains unclear, whether through stabilizing carbon dioxide levels and ventilatory control or preventing oxygen desaturations and associated arousals and sympathetic nervous system activation. Research 1111 1112 demonstrated an 80% reduction in the CAI, improved daytime sleepiness as measured by the ESS, enhanced quality of life, and a clinically significant increase in the 6MWD. However, it had no impact on mortality. The number of 1113 1114 patients included in TPNS studies thus far is small, and long-term safety data is available for only a limited subset.

1116 Limitations

1117 Central apnea during sleep is rarely an isolated disorder. Rather, it is a manifestation of breathing instability in a 1118 variety of clinical conditions, including OSA, HF, and opioid analgesic use. Each condition leaves its distinct 1119 imprint on this phenomenon and influences the clinical syndrome with features of the underlying condition. 1120 Although our understanding of the specific mechanism(s) of central apnea has grown appreciably in the past decade, 1121 significant gaps persist. Likewise, the pathophysiologic overlap between central and obstructive sleep apnea defies 1122 separation into two distinct "silos."

1123

1115

1124 The review included studies that investigated participants with predominantly central events, whereas other studies 1125 included participants with co-morbid OSA. This would be ecologically valid as the majority of patients with central 1126 apnea seen in clinical sleep laboratories have co-morbid OSA.^{137, 138} Furthermore, the majority of patients with 1127 CSA also have co-morbid OSA because of a compromised upper airway. The burgeoning obesity epidemic may 1128 also have changed the epidemiology of CSA by increasing the prevalence of concomitant upper airway obstruction. 1129 Specifically, obese individuals with unfavorable upper airway anatomy may experience co-morbid OSA, and hence 1130 not be diagnosed with CSA. Conversely, extant studies and clinical experience are likely to underestimate the 1131 prevalence of CSA owing to the failure to identify central hypopnea in most studies and in clinical sleep laboratories.

Accurate identification of central hypopnea may have significant implications regarding the prevalence and outcome of CSA. Misclassification of central hypopneas in clinical laboratories may be exacerbated among women, especially pre-menopausal women, who are less susceptible to central apnea, relatively resistant to experimentally induced central apnea, and may instead develop central hypopnea. This could lead to being lumped under the umbrella of obstructive hypopnea. Thus, the identification of central hypopnea may mitigate gender disparity in the diagnosis of CSA.

1138

1145

1139 The variability in the definition of CSA posed a unique challenge when reviewing existing literature. Many studies 1140 simply used CSA \geq 5 events/hour as a criterion, whereas others required that CAI > 50% of total AHI. This criterion 1141 may have excluded some CSA patients because events scored as hypopneas were categorized as obstructive rather 1142 than central in many studies. Thus, excluding studies that do not meet the 50% threshold may diminish ecological 1143 validity and generalizability by excluding patients whose bona fide CSA is falling short on a priori restrictive 1144 definition.¹³⁹

In addition to the limited number of RCTs and small size of most studies, the TF found that most studies had a 1146 1147 relatively short follow up period, used various diagnostic criteria for CSA, or did not evaluate patient-related 1148 outcomes. Furthermore, there were very few studies with adequate sample sizes to address long term outcomes of 1149 interest identified during the planning phase of this systematic review, such as mortality. The TF, therefore, 1150 attempted whenever possible to consider the longest term of any evaluated outcome. Finally, many studies, especially those focusing on devices, were industry-sponsored, and may have incorporated proprietary features that 1151 1152 prevent generalizability to similar devices. The availability of these interventions, including ASV and TPNS, varies 1153 in different areas and is subject to payors' restrictions. Thus, there is a concern regarding inequity in access to novel 1154 and expensive therapies.

1155

1156 Impact on research and addressing research gaps

The review identified several research gaps that require future research. First, physiology-based treatment for CSA remains elusive. The multitude of clinical syndromes that include CSA required that findings of this review be extrapolated to cover several conditions that were not specifically examined. Therefore, there is an urgent need to investigate and test CSA treatments based on the unique pathophysiology of these conditions rather than the clinical syndrome per se. In addition, there is an unmet need to include patient-reported outcomes and long-term objective outcomes in future studies investigating the treatment of CSA. Most existing therapies ameliorate but do not resolve CSA, thus perpetuating recurrent respiratory events.

1164

Second, available studies address a single intervention. Given that the development of CSA may represent a convergence of multiple precipitating and perpetuating factors (i.e., equifinality), there is a critical need for mechanistic studies to investigate multimodality regimens targeting normalization of respiration rather than amelioration of CSA. Multimodality therapy combining positive pressure, as well as low-flow oxygen or a pharmacologic agent, may be meritorious.

- 1171 Third, the breadth of the diagnostic categories poses another challenge for clinical trials. For example, CSA 1172 secondary to a medical condition, is a broad category that includes diverse clinical conditions that are unrelated 1173 etiologically. Similarly, CSA secondary to a medication includes multiple medications operating via multiple 1174 pathways. The diagnosis of primary CSA also requires a thorough process of elimination to exclude cardiac disease 1175 or medications.
- 1176
- 1177 Fourth, there is a critical need to develop and investigate novel treatments for CSA, incorporating the heterogeneity
- 1178 of the condition.²⁵ Finally, identification of optimal therapy requires patient-reported outcome data as well as
- 1179 comparative effectiveness research with head-to-head comparison of different therapeutic interventions.
- 1180

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