

# (Almost) Everything You Need to Know to Pass the Sleep Medicine Boards:

## Sleep Disordered Breathing

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# Sleep Medicine Board Topic Blueprint

<b>Medical Content Category</b>	<b>% of Exam</b>
Normal Sleep and Variants	16%
Circadian Rhythm Sleep-Wake Disorders	10%
Insomnia	17%
Central Disorders of Hypersomnia	12%
Parasomnias	7%
Sleep-Related Movements	8%
Sleep-Related Breathing Disorders	20%
Sleep in Other Disorders	5%
Instrumentation and Testing	5%
	100%

# Sleep Disordered Breathing

<b>Sleep-Related Breathing Disorders</b>	<b>20% of Exam</b>
<b>Obstructive sleep apnea</b>	<b>9%</b>
Adult obstructive sleep apnea	
Pediatric obstructive sleep apnea	
<b>Central sleep apnea syndromes</b>	<b>7.5%</b>
Central sleep apnea with Cheyne-Stokes breathing	
Central sleep apnea due to a medical disorder without Cheyne-Stokes breathing	
Central sleep apnea due to high-altitude periodic breathing	
Central sleep apnea due to medications or substances	
Primary central sleep apnea	
Primary central sleep apnea of infancy	
Primary central sleep apnea of prematurity	
Treatment-emergent central sleep apnea	
<b>Sleep-related hypoventilation disorders</b>	<b>2.5%</b>
Obesity-hypoventilation syndrome	
Congenital central alveolar hypoventilation syndrome	
Late-onset central hypoventilation with hypothalamic dysfunction	
Idiopathic central alveolar hypoventilation	
Sleep-related hypoventilation due to medications or substances	
Sleep-related hypoventilation due to medical disorders	
<b>Sleep-related hypoxemia disorder</b>	<b>&lt;2%</b>
<b>Isolated symptoms and normal variants</b>	<b>&lt;2%</b>
Snoring	
Catathrenia	

# Question

As compared to younger individuals, which one of the following statements is correct regarding obstructive sleep apnea in individuals over the age of 60?

- A) Associated with higher mortality
- B) Stronger association with obesity
- C) More likely to tolerate oral appliance therapy
- D) Oxygen desaturations are less severe

# Answer

As compared to younger individuals, which one of the following statements is correct regarding obstructive sleep apnea in individuals over the age of 60?

- A) Associated with higher mortality
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# OSA and Increased Age

- Prevalence of OSA increases with age
  - SHHS: 26.4% had  $RDI \geq 15$ ; 60% had  $RDI \geq 5$  (Age > 60)
- BMI and gender become less important with age
  - BMI not an independent risk factor for OSA over the age of 60
  - No gender specificity after women go through menopause
- OSA commonly associated with EDS and may contribute to HTN, cardiovascular disease, nocturia, cognitive impairment and reduced QOL
- Most studies suggest that OSA does not increase the risk of mortality in older adults
- Oxygen desaturations less severe in older adults
- Central apneas become more common
- CPAP can improve symptoms, cognitive function and QOL
- Older age is associated with a less favorable response to oral appliance therapy

# Question

- A 50 year old male who presents with snoring and daytime sleepiness (Epworth = 14) is recently diagnosed with severe OSA (AHI = 35) on an HST and started on CPAP therapy at 10 cm H<sub>2</sub>O.
- PMHx: DM, obesity, atrial fibrillation
- PE:
  - BP: 120/80 mm hg, P: 80 R: 12
  - Cardiac: Irregular rate and rhythm
  - Remainder of exam normal

# Question

When he returns for his initial 3 month office visit, which one of the following outcomes is CPAP therapy most likely to improve?

- A) Blood pressure
- B) Atrial fibrillation
- C) Daytime sleepiness
- D) Glucose control



# Answer

When he returns for his initial 3 month office visit, which one of the following outcomes is CPAP therapy most likely to improve?

- A) Blood pressure
- B) Atrial fibrillation
- C) Daytime sleepiness**
- D) Glucose control

# CPAP Outcomes Summary: Patients with Daytime Symptoms

	AHI	Sleep Architecture	Subjective Sleepiness	Objective Sleepiness	Neuro-cognitive and Mood	Quality of Life	Cardiovascular Risk Reduction
Severe/Moderate OSAS	+	+/-	+	+/-	+/-	+/-	+/-
Mild OSAS	+	+/-	+/-	-	-	+/-	NA

# OSA, CV Disease and Treatment (CPAP): The Bottom Line: Data is Inconclusive

- CPAP may reduce cardiovascular mortality in severe OSA with EDS
  - Prospective observational studies
- CPAP can reduce blood pressure, but reductions in BP are small and results are inconsistent across studies
  - EDS and uncontrolled HTN may predict a more robust BP response
  - Better adherence = Better BP response
  - Antihypertensive medication better than CPAP
  - CPAP may improve BP in patients with resistant HTN and OSA
  - CPAP better than oxygen in patients with CV disease or CV risk factors
- CPAP does not reduce the incidence of HTN or cardiovascular diseases in patients with OSA and no daytime sleepiness
- Limited data for reductions of arrhythmias with CPAP
- CPAP improves LVEF in patients with CHF with systolic dysfunction and OSAS
- Minimal to no data concerning:
  - Mild OSAS
  - Long-term RCTs on other cardiovascular outcomes

# Other CPAP Outcomes

- CPAP use associated with reductions in motor vehicle accidents
- CPAP use not associated with weight loss
  - May be associated with mild weight gain
- Improvements in DM, lipids and metabolic syndrome are inconsistent and debatable
  - Weight loss better than CPAP for improving these outcomes
- Benefits for patients without symptoms not clear across spectrum of disease severity

# AASM Practice Parameter and Clinical Guideline Recommendations Still Supported by the Data

- CPAP Indications (Standards):
  - Treatment of moderate - severe OSAS
  - Improving subjective sleepiness
- CPAP Recommendations (Options):
  - Treatment of mild OSAS
  - Improving quality of life
  - As an adjunctive anti-hypertensive therapy

Kushida, C et al. Sleep 2006; 29:375-380

Gay, P et al. Sleep 2006;29:381- 401

Epstein, L et al. J Clin Sleep Med 2009;5:263-276

# Question

Which one of the following has been associated with lower adherence to PAP therapy?

- A) Severe OSA (AHI > 30)
- B) Excessive daytime symptoms
- C) Pressures < 12 cm H<sub>2</sub>O
- D) Lower socioeconomic status

# Answer

Which one of the following has been associated with lower adherence to PAP therapy?

- A) Severe OSA (AHI > 30)
- B) Excessive daytime symptoms
- C) Pressures < 12 cm H<sub>2</sub>O
- D) Lower socioeconomic status**

# Predictors of Adherence

## Inconsistent: The Bottom Line

- Possibly daytime sleepiness and more severe disease associated with improved adherence
- African American race and/or lower socioeconomic class associated with lower adherence
- Pressure level not predictive



# Question

Which one of the following interventions has been associated with improved PAP adherence?

- A) AutoPAP
- B) Eszopiclone
- C) Nasal steroids
- D) PSG titration

# Answer

Which one of the following interventions has been associated with improved PAP adherence?

A) AutoPAP

**B) Eszopiclone**

C) Nasal steroids

D) PSG titration

# AASM Practice Parameters and Clinical Guideline Adherence Recommendations

- Interventions to Improve Adherence:
  - Heated humidification (Standard)
  - Education (Standard)
- Follow up:
  - CPAP usage should be objectively monitored (Standard)
  - Initial follow up in first few weeks (Standard)
  - Yearly and as needed follow-up thereafter (Option)

# Impact of Supportive, Educational and Behavioral Therapies on CPAP Compliance

Therapy	Interventions	Evidence Quality	Mean Improvements in Nightly CPAP Adherence
<b>Supportive</b>	<ul style="list-style-type: none"> <li>• Increased practical support</li> <li>• Encouragement</li> <li>• Telemedicine</li> <li>• Relaxation prior to CPAP</li> </ul>	Low to moderate	.85 hours
<b>Education</b>	<ul style="list-style-type: none"> <li>• Video</li> <li>• Face-to-face sessions</li> <li>• Group sessions</li> <li>• Written material</li> <li>• Phone calls</li> <li>• Home follow up</li> </ul>	Low to moderate	.6 hours
<b>Behavioral Therapies</b>	<ul style="list-style-type: none"> <li>• Motivational interviewing</li> <li>• Written feedback</li> <li>• CBT with education</li> </ul>	Very low to low	1.44 hours

# Data on Heated Humidification and PAP Adherence: Inconsistent and Not Very Strong

Study	N	Interventions	Outcomes
Massie 1999	38	<ul style="list-style-type: none"> <li>CPAP with heated, cold pass and no humidification</li> <li>Duration: 3 weeks</li> </ul>	<ul style="list-style-type: none"> <li><b>Heated humidification improved adherence</b></li> <li><b>No difference in adherence with cold pass or no humidification</b></li> <li>Reduced upper airway dryness with HH</li> <li>No differences in Epworth between groups</li> </ul>
Neill 2003	42	<ul style="list-style-type: none"> <li>CPAP with and without heated humidification</li> <li>Duration: 3 weeks</li> </ul>	<ul style="list-style-type: none"> <li><b>Small increase in adherence</b></li> <li>Reduced upper airway symptoms</li> <li>No change in sleepiness or satisfaction</li> </ul>
Mador 2005	98	<ul style="list-style-type: none"> <li>CPAP with and without heated humidification</li> <li>Durations: 12 months</li> </ul>	<ul style="list-style-type: none"> <li><b>No differences in adherence</b></li> <li>No differences in daytime sleepiness, QOL</li> <li>Reduced upper airway dryness with HH</li> </ul>
Salgado 2008	39	<ul style="list-style-type: none"> <li>APAP with and without heated humidification</li> <li>Durations: 30 days</li> </ul>	<ul style="list-style-type: none"> <li><b>No differences in adherence</b></li> <li>No differences in nasal symptoms</li> </ul>
Worsnop 2010	54	<ul style="list-style-type: none"> <li>Heated vs no humidification</li> <li>Durations: 12 weeks</li> </ul>	<ul style="list-style-type: none"> <li><b>No differences on adherence</b></li> <li>Reduced nasal symptoms</li> </ul>



Massie C et al. Chest. 1999;116:403-8

Neill A et al. Eur Respir J. 2003 Aug;22(2):258-62

Mador M et al. Chest. 2005;128:2151-8

Salgado S et al. J Bras Pneumol. 2008;34:690-4

Worsnop C et al. Intern Med J 2010;40:650-656

BOSTON ★ JUNE 3-7

# Hypnotics and CPAP Adherence

Study	Hypnotic and Intervention	n	Main Findings
Bradshaw (2006)	Zolpidem 10 mg vs placebo vs standard care x 14 days	72	No differences in adherence, either nights used or hours per night after 30 days
Lettieri (2008)	Eszopiclone 3 mg prior to PSG titration vs placebo	226	Eszopiclone improves the quality of titration and reduces need for repeat studies
Lettieri (2009)	Eszopiclone 3 mg vs Placebo x 14 days	160	Eszopiclone improved adherence, both nights used and hours per night after 6 months
Park (2013)	Zaleplon 10 mg vs placebo for split-night titration	134	No differences in adherence or other symptoms after 30 days

- Conclusions:
  - Short term eszopiclone may improve titration efficacy and 6 month adherence
  - Other hypnotics not associated with improved adherence

# Adherence Interventions and Outcomes Summary: The Bottom Line

Intervention	Outcomes	Comments
Education/Supportive Care	Beneficial	<ul style="list-style-type: none"> <li>• Various interventions helpful in most patients</li> <li>• Best intervention, or combination, not clear</li> </ul>
Behavioral Therapies	Beneficial	<ul style="list-style-type: none"> <li>• Various interventions improve adherence</li> <li>• Low quality supporting data data</li> </ul>
Heated humidification	Inconsistent/Controversial	<ul style="list-style-type: none"> <li>• Some, but not the majority of data support improved adherence</li> <li>• Nasal congestion or rhinitis <u>may</u> be associated with improved adherence with heated humidification</li> </ul>
Advanced PAP (Flex, Bilevel and APAP)	No benefit	<ul style="list-style-type: none"> <li>• Not associated with improved adherence or other outcomes</li> <li>• Biflex, may be the exception, in CPAP nonadherent</li> </ul>
Nasal Steroids	No Benefit	<ul style="list-style-type: none"> <li>• Not associated with improved adherence or nasal symptoms</li> </ul>

# Adherence Interventions and Outcomes Summary: The Bottom Line

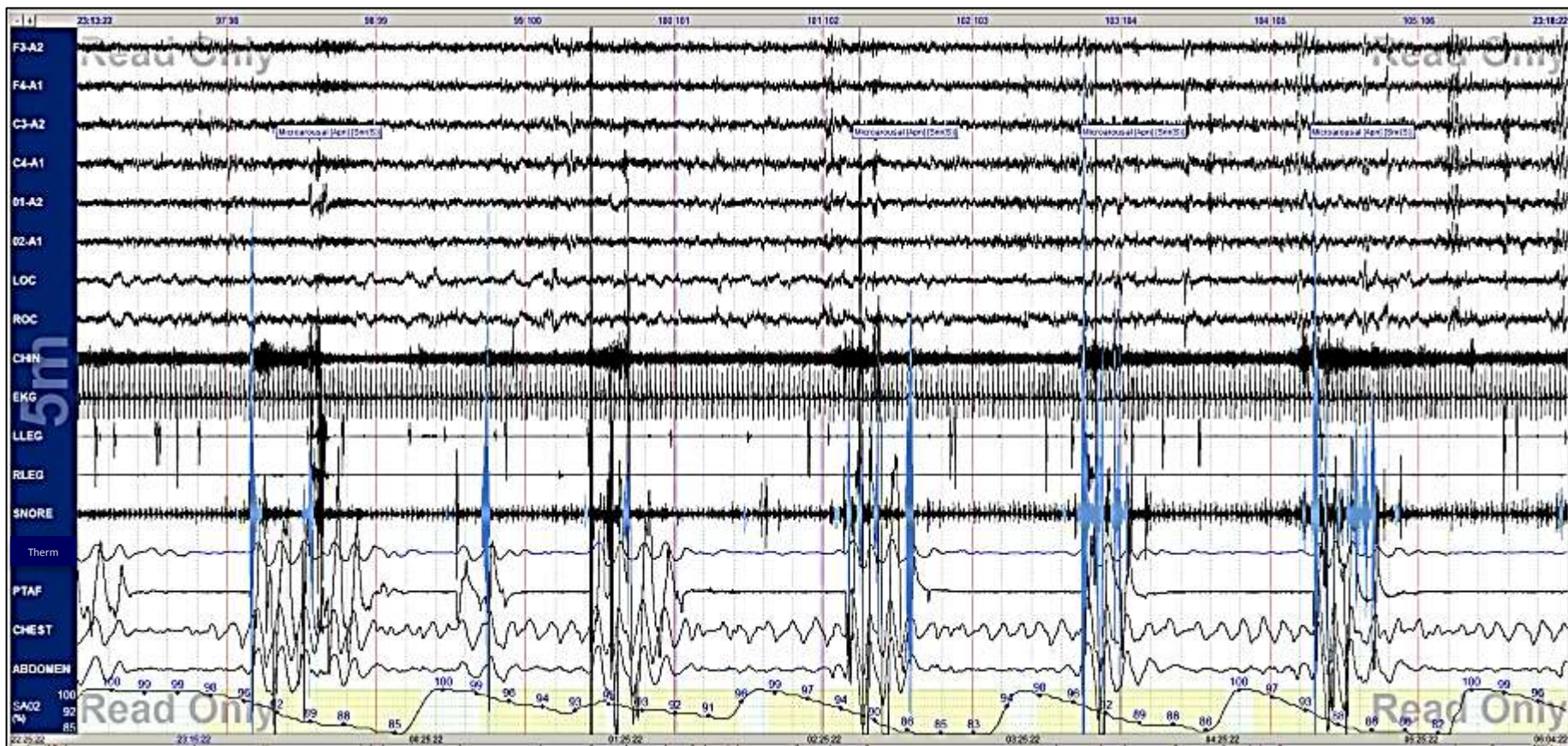
Intervention	Outcomes	Comments
Mask Type	Controversial	<ul style="list-style-type: none"> <li>• Best mask type is not clear and is patient dependent</li> <li>• Changing mask type may alter effective PAP pressure</li> </ul>
Hypnotics	Controversial	<ul style="list-style-type: none"> <li>• Eszopiclone may improve PAP titration efficacy and 6 month adherence</li> <li>• Data do not support other hypnotics</li> </ul>
Telemedicine	Unclear	<ul style="list-style-type: none"> <li>• Limited data suggest benefit, other not supportive</li> <li>• More data required</li> </ul>
Compliance Monitoring	Unclear	<ul style="list-style-type: none"> <li>• No clear data to guide therapy or determine which patients may benefit from this intervention</li> </ul>
Sleep Specialist Care	Unclear	<ul style="list-style-type: none"> <li>• Observational studies support</li> <li>• RCTs show mixed results in uncomplicated moderate/severe OSA</li> </ul>



# Question

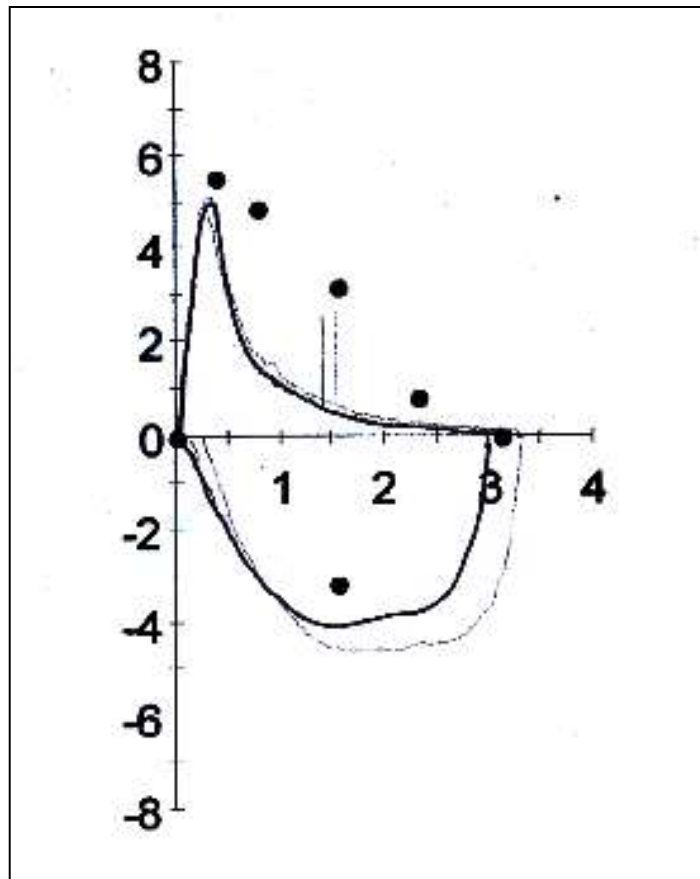
According to the most recent AASM Practice Parameter recommendations, treatment with AutoCPAP would be best indicated for which one of the following patients?

A) A 45 year old obese male with snoring, witnessed apneas, daytime sleepiness (EPWORTH = 14) and an AHI of 40. A five minute epoch of the main PSG respiratory findings are shown below:



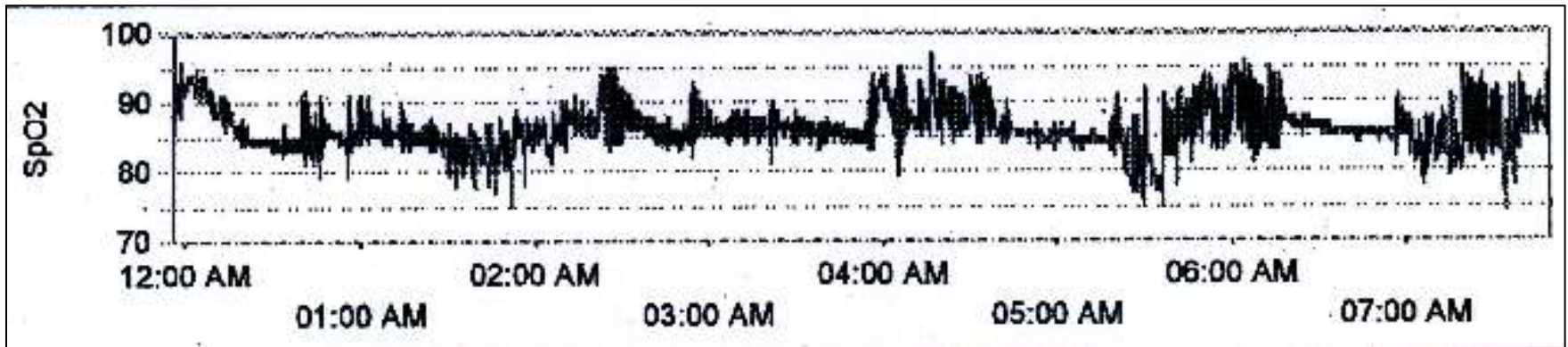
B) A 45 year old obese male with snoring, witnessed apneas, daytime sleepiness (EPWORTH = 14), and an AHI of 40.

His spirometry is shown below:



C) A 45 year old obese male with snoring, witnessed apneas, daytime sleepiness (EPWORTH = 14) and an AHI of 40. His room air ABG and overnight oximetry are shown below:

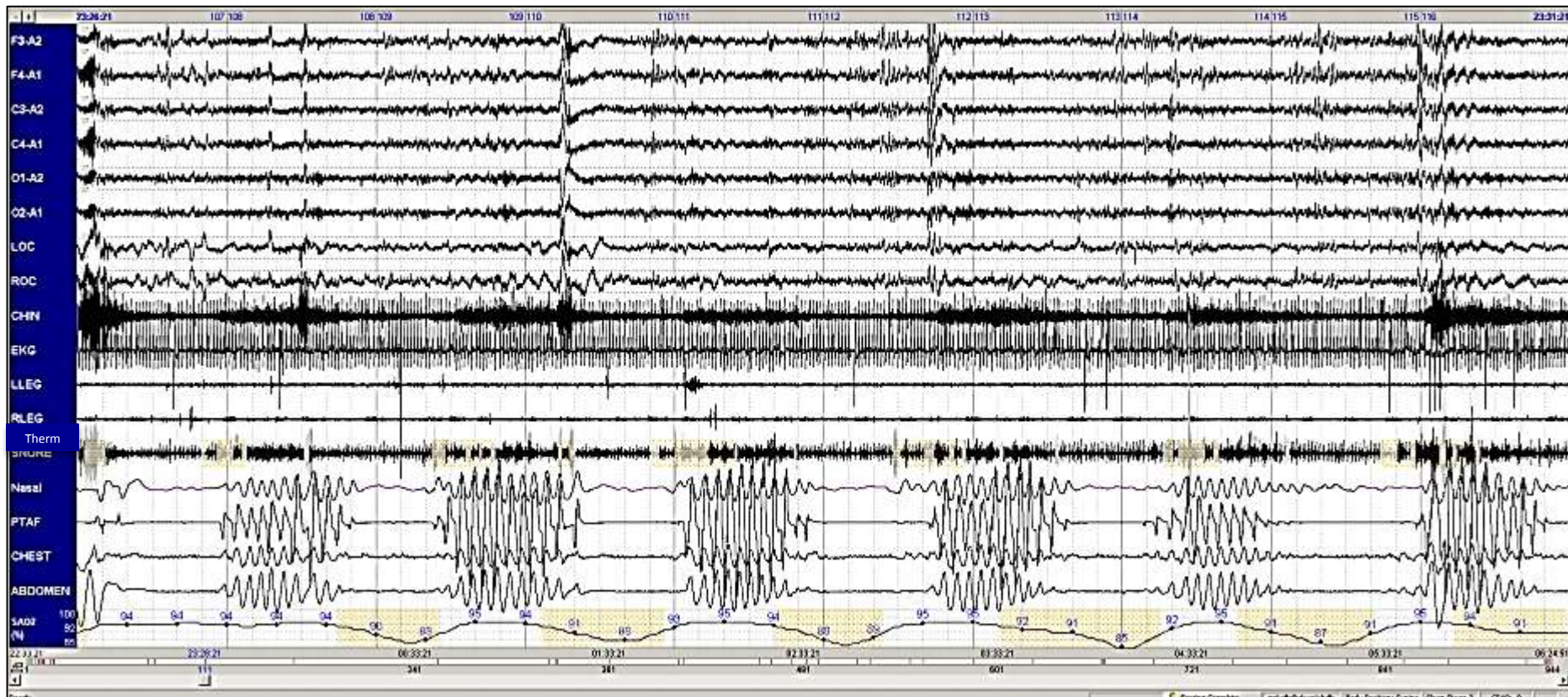
Overnight Oximetry



Room Air ABG

Ph	7.34
PCO2 (mm Hg)	60
PO2 (mm Hg)	62
HCO3 (mmol/L)	34

D) A 45 year old obese male with snoring, witnessed apneas, daytime sleepiness (EPWORTH = 14) and an AHI of 40. A five minute epoch of the main PSG respiratory findings are shown below:



5 Minute Epoch

# Question

According to the most recent AASM Practice Parameter recommendations, treatment with AutoCPAP would be best indicated for which one of the previous patients?

A, B, C or D

# Answer

According to the most recent AASM Practice Parameter recommendations, treatment with AutoCPAP would be best indicated for which one of the following patients?

**The correct answer is A**

# Who is a Potential Candidate for APAP?

- **Clear Candidates**

- **Un**complicated moderate to severe OSAS

- **Unclear Groups**

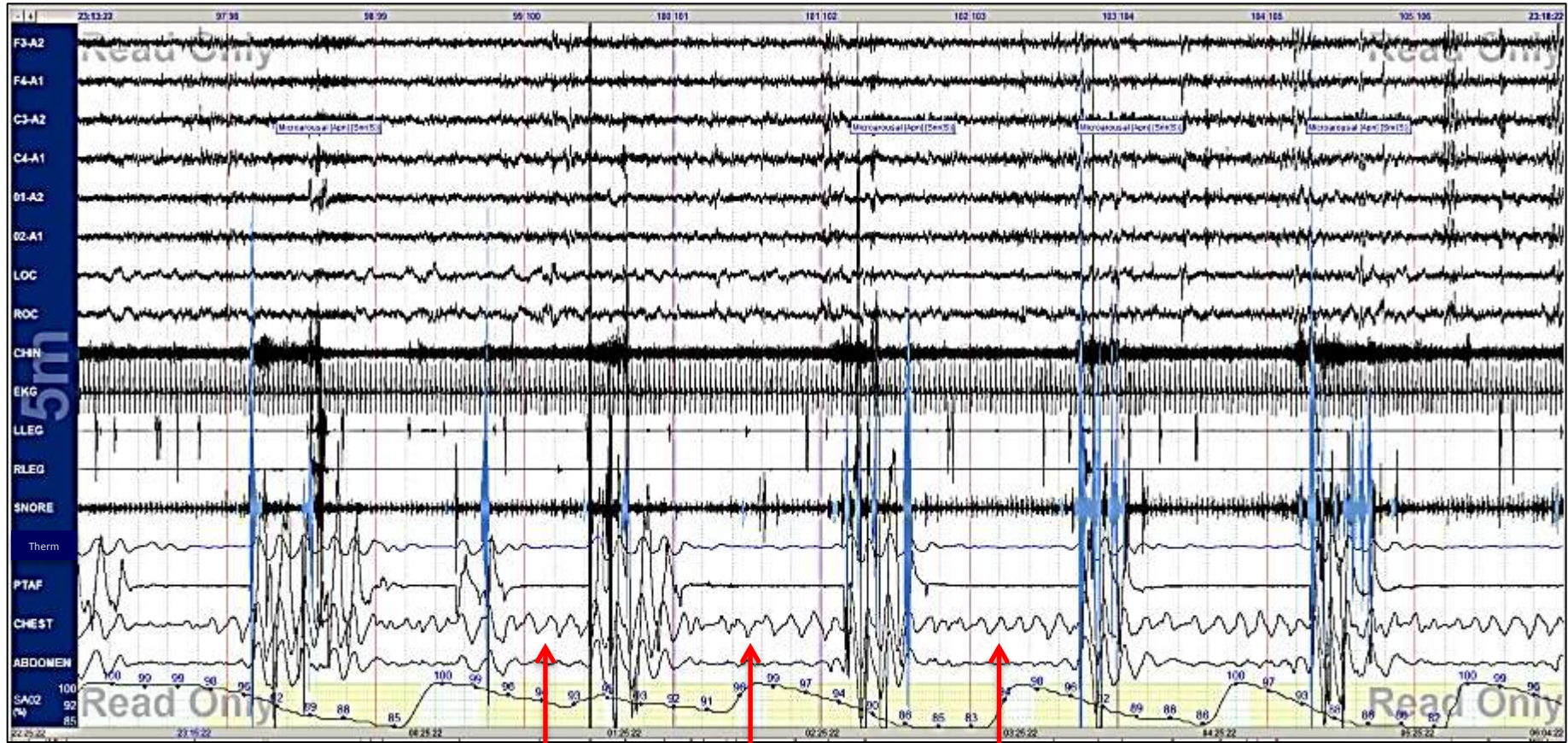
- REM-related OSAS
- Position dependent
- High pressures (>10)
- CPAP intolerant

- **Not APAP Candidates**  
**(AASM Standard)**

- Congestive heart failure
- COPD and chronic lung disease
- Obesity Hypoventilation Syndrome
- Other hypoventilation syndromes
- Lack of snoring

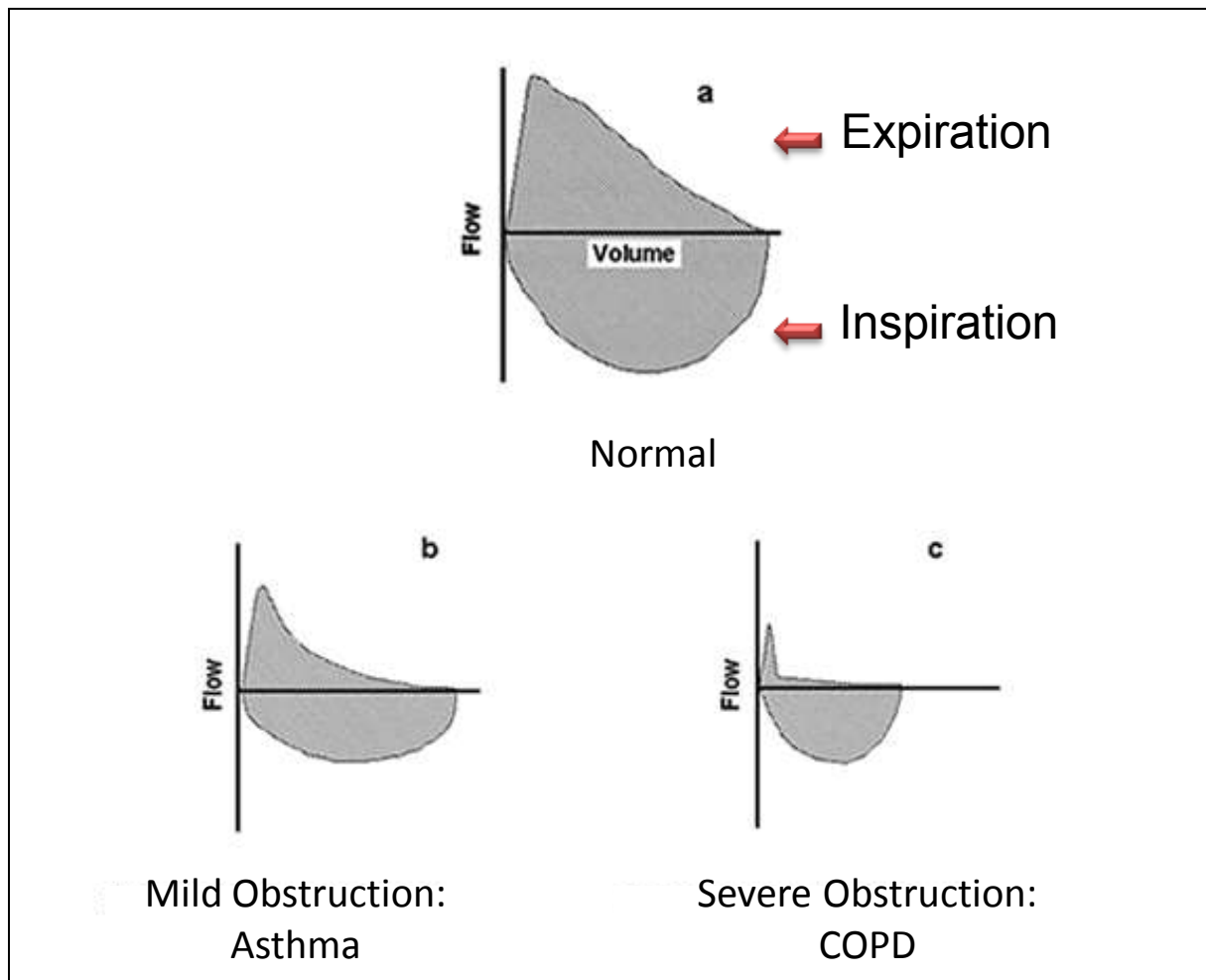


# Patient "A" has Uncomplicated Severe OSAS



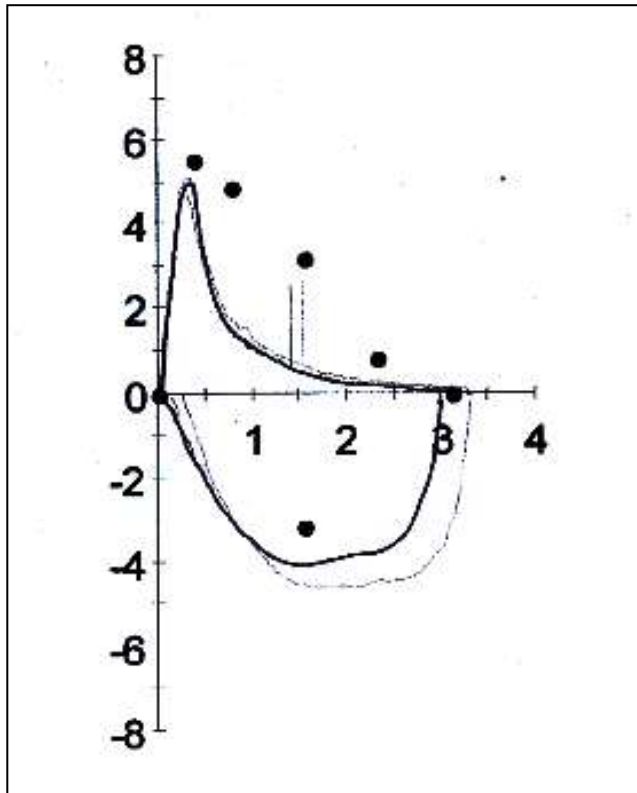
Recurrent Obstructive Apneas  
with Associated Oxygen Desaturations

# Flow Volume Loops: Normal and Degrees of Obstruction

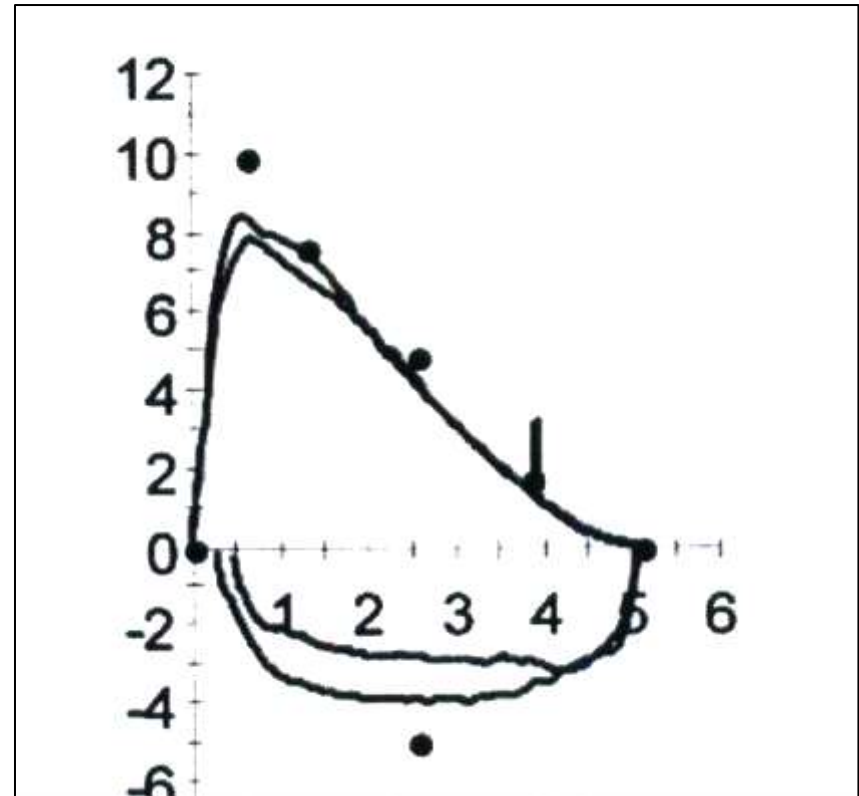


# Patient "B" has Obstructive Lung Disease

## Obstructed Spirometry

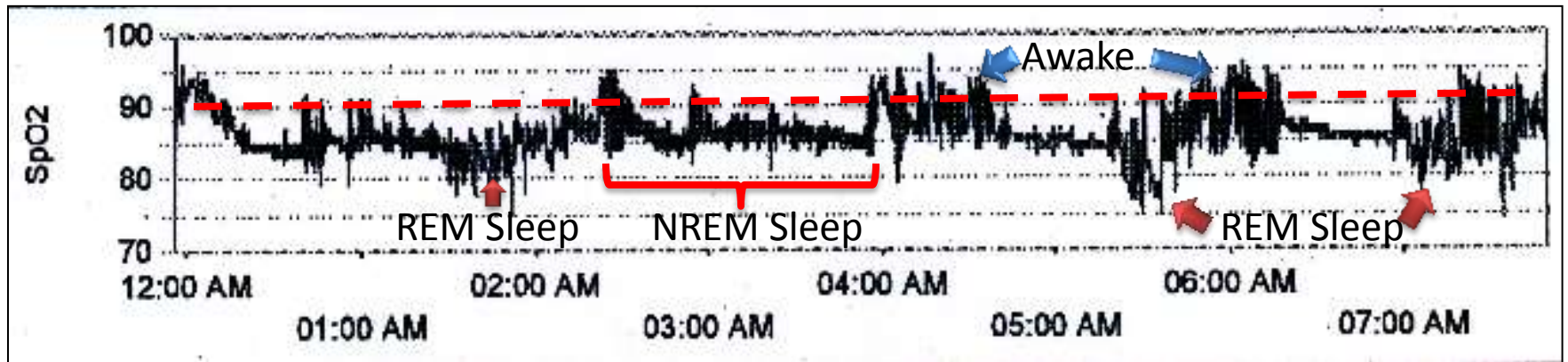


## Normal Spirometry



# Patient "C" Has Hypoventilation with Hypercapnea

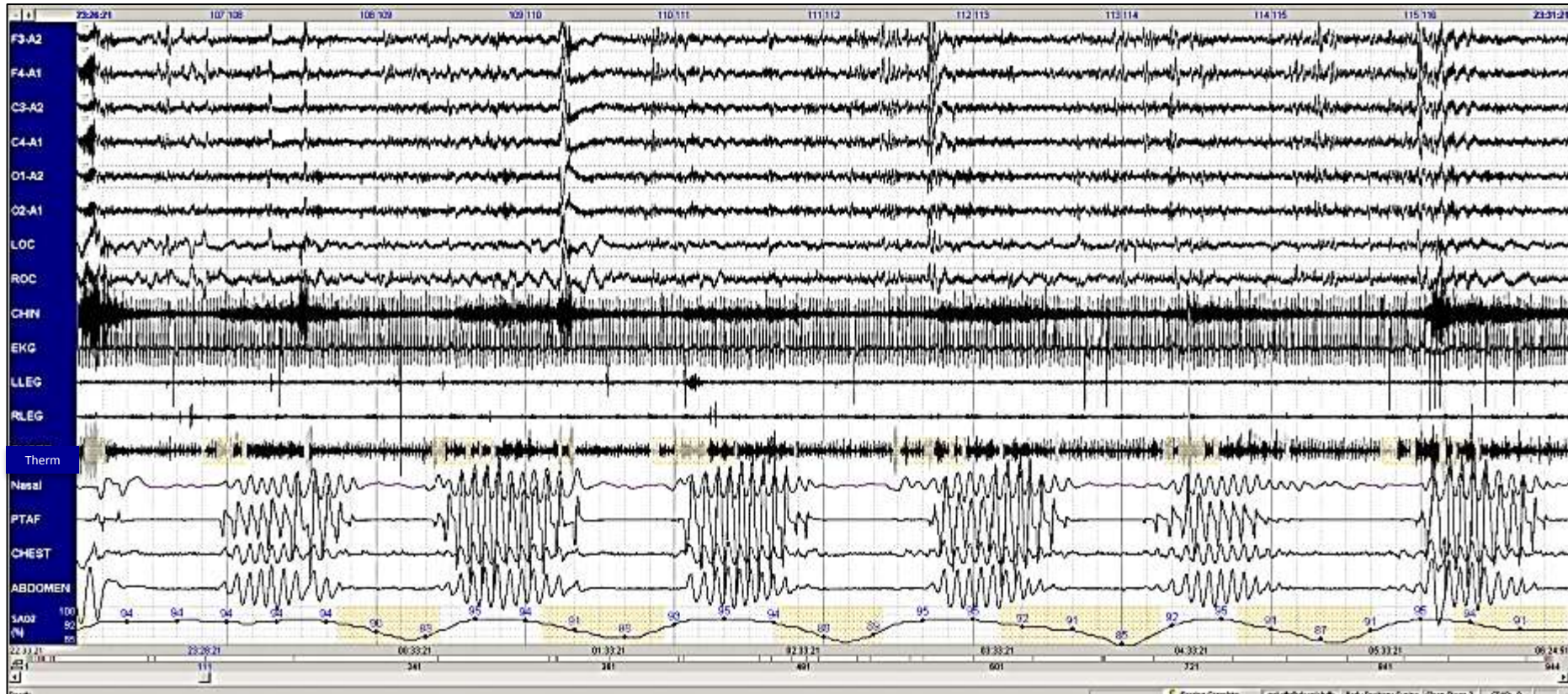
Overnight Oximetry is Most Consistent with Hypoventilation and REM Related Events



Room Air ABG Consistent with Chronic Hypercapnea

Ph	7.34	Low
PCO2 (mm Hg)	60	High
PO2 (mm Hg)	62	Low
HCO3 (mmol/L)	34	High

# Patient "D" Has Central Apneas with a Cheyne Stokes Pattern



# APAP: The Bottom Line

- Recommended for patients with moderate to severe uncomplicated OSA
- Not recommended for OSA with comorbidities:
  - CHF, hypoventilation syndromes, COPD, non-snorers (UPPP)
- Outcomes:
  - Lower mean pressures with APAP
  - Similar outcomes to CPAP
  - APAP is as effective as CPAP for uncomplicated moderate to severe OSA
- AASM 2008 Indications:
  - Standard:
    - Not recommended for OSA diagnosis or split-night studies
  - Guideline:
    - To determine fixed CPAP in an attended setting
  - Options:
    - Stand alone therapy
    - To determine fixed CPAP in an unattended setting

# Question

Which one of the following statements is correct regarding oral appliance (OA) therapy for the treatment of OSA?

- A) OA therapy results in improvements in blood pressure similar to CPAP
- B) OA therapy reduces the AHI better than CPAP
- C) OA therapy improves oxygenation better than CPAP
- D) CPAP improves daytime sleepiness better than OA therapy

# Answer

Which one of the following statements is correct regarding oral appliance (OA) therapy for the treatment of OSA?

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# Oral Appliance Therapy for OSA

- AASM Guidelines:
  - Indicated for mild-to-moderate OSA
  - Severe OSA should have initial trial with CPAP
- Typically well tolerated
- Difficult to predict success
- CPAP more effective for reducing AHI and improving oxygenation
- OAs = CPAP for improving sleepiness
- Role in reducing blood pressure is not clear, though data suggest benefits similar to CPAP

# Question

- A 55 year old male with severe OSA (AHI = 40) who is intolerant to CPAP therapy is interested in treatment with hypoglossal nerve stimulation.
- He undergoes a drug induced sedated endoscopy (DISE) to determine if he is a candidate for this procedure.

# Which one of the following DISEs would exclude the patient for HGNS treatment?

A



B



C



D



# Which one of the following DISEs would exclude the patient for HGNS treatment?

A



B



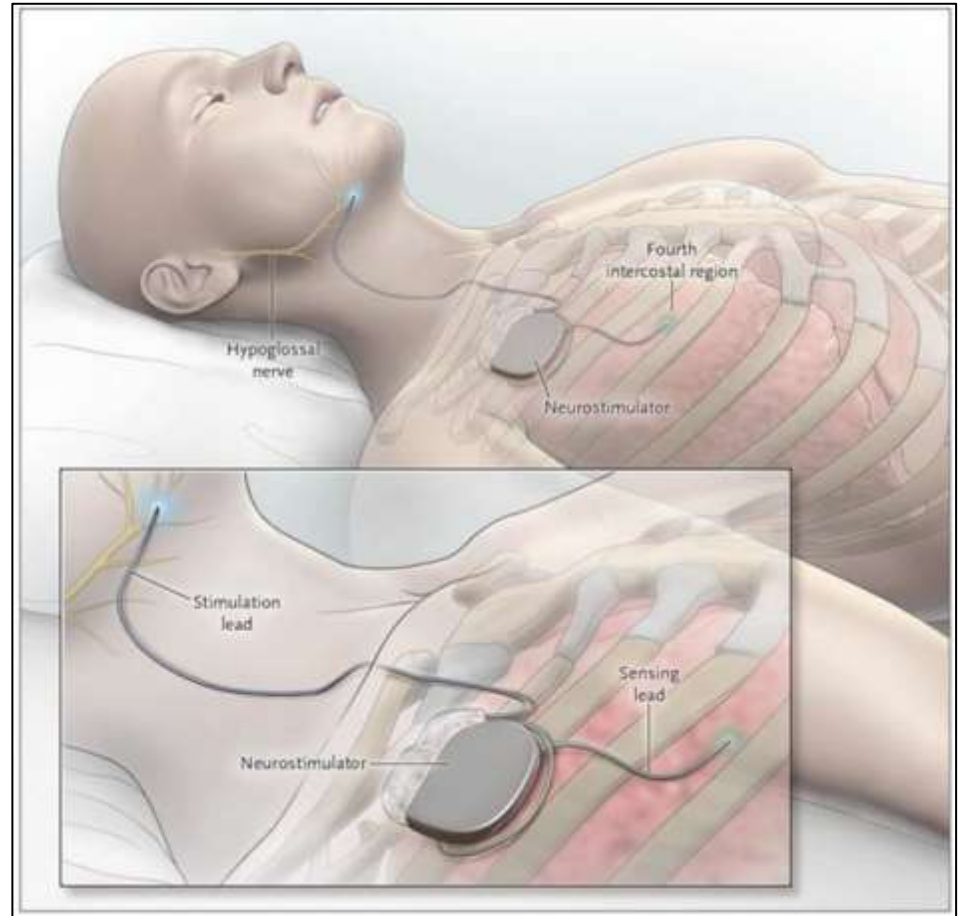
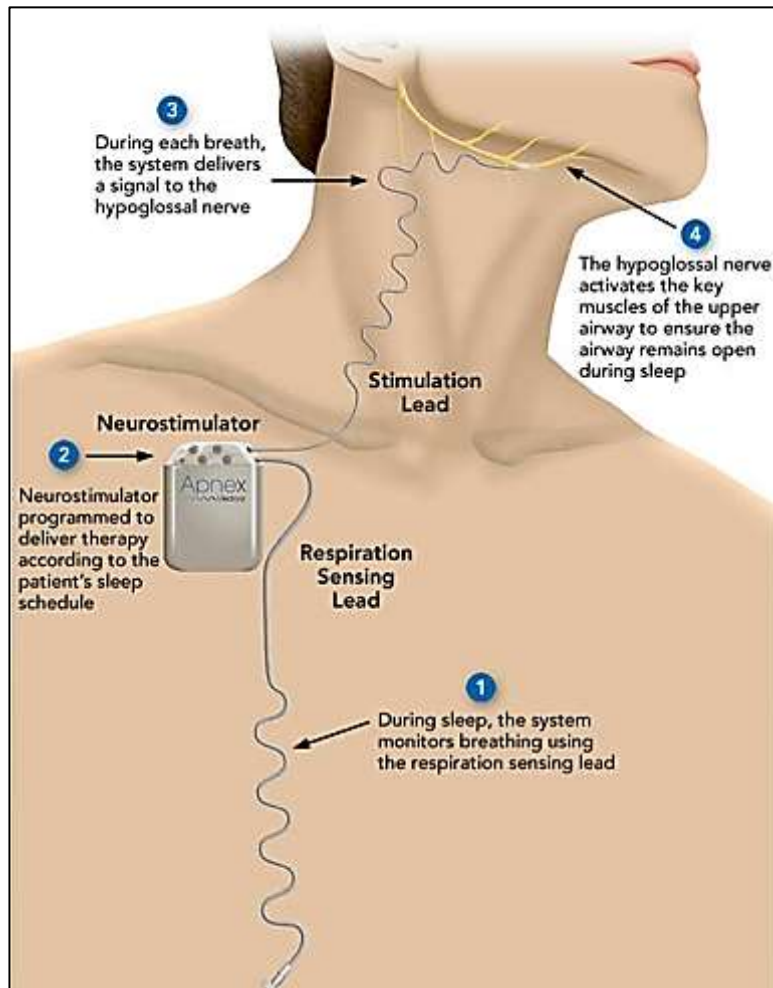
C



D



# Hypoglossal Nerve Stimulation Devices



Kezirian E et al. J Sleep Res 2014;23:77-83

Strollo P et al. NEJM 2014;370:139-149

# STAR Trial Study Design

- Multicenter (22), prospective, single group design with participants serving as their own controls
- Inclusion Criteria
  - Moderate to severe OSA intolerant to CPAP
- Exclusion Criteria
  - BMI > 32 kg/m<sup>2</sup>
  - AHI < 20 or > 50 events
  - Central or mixed apneas > 25% of the AHI on PSG
  - Neuromuscular disease, severe obstructive or restrictive lung disease, moderate to severe pulmonary HTN, NYHA class 3 or 4 CHF, recent AMI, uncontrolled HTN, acute psychiatric disease, tonsillar hypertrophy, concentric pharyngeal collapse on DISE

# Drug Induced Sedated Endoscopy (DISE)

- Initially described by Croft and Pringle in 1991
- Goal: Examination of the upper airway under conditions that are similar to sleep state
- Generally safe and well tolerated

AP  
Collapse

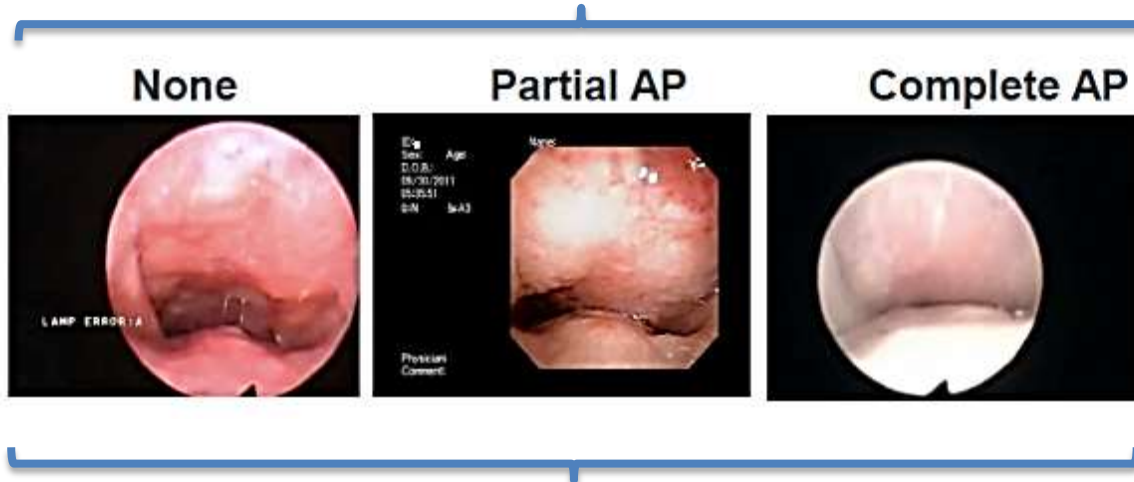


Concentric  
Collapse



# Complete Concentric Collapse on DISE is a Contraindication to HGNS Therapy

Spectrum of AP Collapse



Potential Candidates for Procedure

Concentric Collapse



Contraindication for Procedure



# Hypoglossal Nerve Stimulation Treatment for OSA

- Inspire device is FDA approved for patients with moderate to severe OSA who fail or can't tolerate CPAP therapy
- Exclusions:
  - BMI > 32 kg/m<sup>2</sup>, AHI < 20 or > 50, central apneas, concentric upper airway collapse on DISE
- Current supporting data:
  - Overweight and mildly obese (BMI ≤ 32 kg/m<sup>2</sup>) patients with moderate to severe OSA who are CPAP intolerant
  - Improved OSA, daytime sleepiness and QOL
    - Mean 68% reduction in AHI over a year (AHI 29.3 to 9)
  - Low complication rate: < 2%
  - Appears to be a viable long-term treatment option based on 3 year follow up data
- Up to 33% may not respond to therapy
  - Though more recent data suggest improved outcomes as surgical technique evolves
- Role in the routine management of OSA yet to be determined
  - Cost will continue to be the major barrier

# Central Sleep Apnea Syndromes:(ICSD 3)

- Central Sleep Apnea with Cheyne Stokes Breathing
- Central Sleep Apnea due to Medical Condition without Cheyne Stokes
- Central Sleep Apnea due to High Altitude Periodic Breathing
- Central Sleep Apnea due to Medication or Substance
- Primary Central Sleep Apnea
- Primary Sleep Apnea of Infancy
- Primary Central Sleep Apnea of Prematurity
- Treatment Emergent Central Sleep Apnea

# Question

The chemoreceptors for oxygen are located in which one of the following structures?

- A) Pons
- B) Carotid body
- C) Medulla
- D) Right atrium

# Answer

The chemoreceptors for oxygen are located in which one of the following structures?

A) Pons

**B) Carotid body**

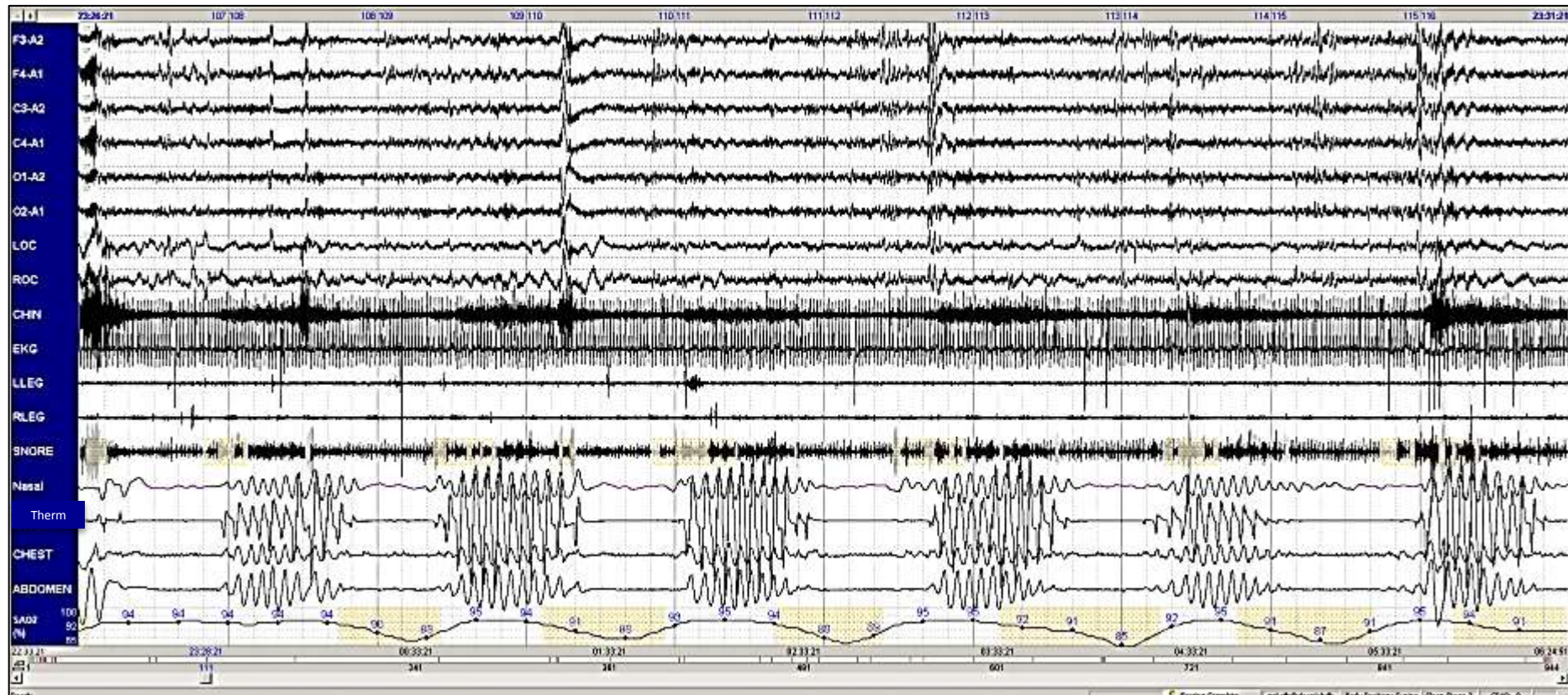
C) Medulla

D) Right atrium

# Control of Breathing During Sleep

	Things to Know for the Boards
NREM Sleep	<ul style="list-style-type: none"> <li>• Under metabolic control</li> <li>• Regular rate and rhythm</li> <li>• Reduced response to CO<sub>2</sub> and O<sub>2</sub></li> </ul>
REM Sleep	<ul style="list-style-type: none"> <li>• Less dependent on metabolic drive</li> <li>• Regular respiration during tonic REM</li> <li>• Irregular respiration during phasic REM</li> <li>• Further reduced response to CO<sub>2</sub> and O<sub>2</sub></li> </ul>
Chemoreceptors for CO <sub>2</sub>	<ul style="list-style-type: none"> <li>• Centrally located in the brain stem</li> <li>• Modify respiration in response to CO<sub>2</sub> and H<sup>+</sup></li> </ul>
Chemoreceptors for O <sub>2</sub>	<ul style="list-style-type: none"> <li>• <b>Carotid and aortic bodies</b></li> </ul>
Brain Control of Breathing	<ul style="list-style-type: none"> <li>• Pons and Medulla</li> </ul>

The following breathing pattern is associated with which one of the following?



# Question

The previous breathing pattern is associated with which of the following?

- A) Typical cycle duration of 15 to 30 seconds
- B) Female gender
- C) Waking hypercapnea
- D) Increased chemoreceptor responsiveness to CO<sub>2</sub>

# Answer

The previous breathing pattern is associated with which of the following?

A) Typical cycle duration of 15 to 30 seconds

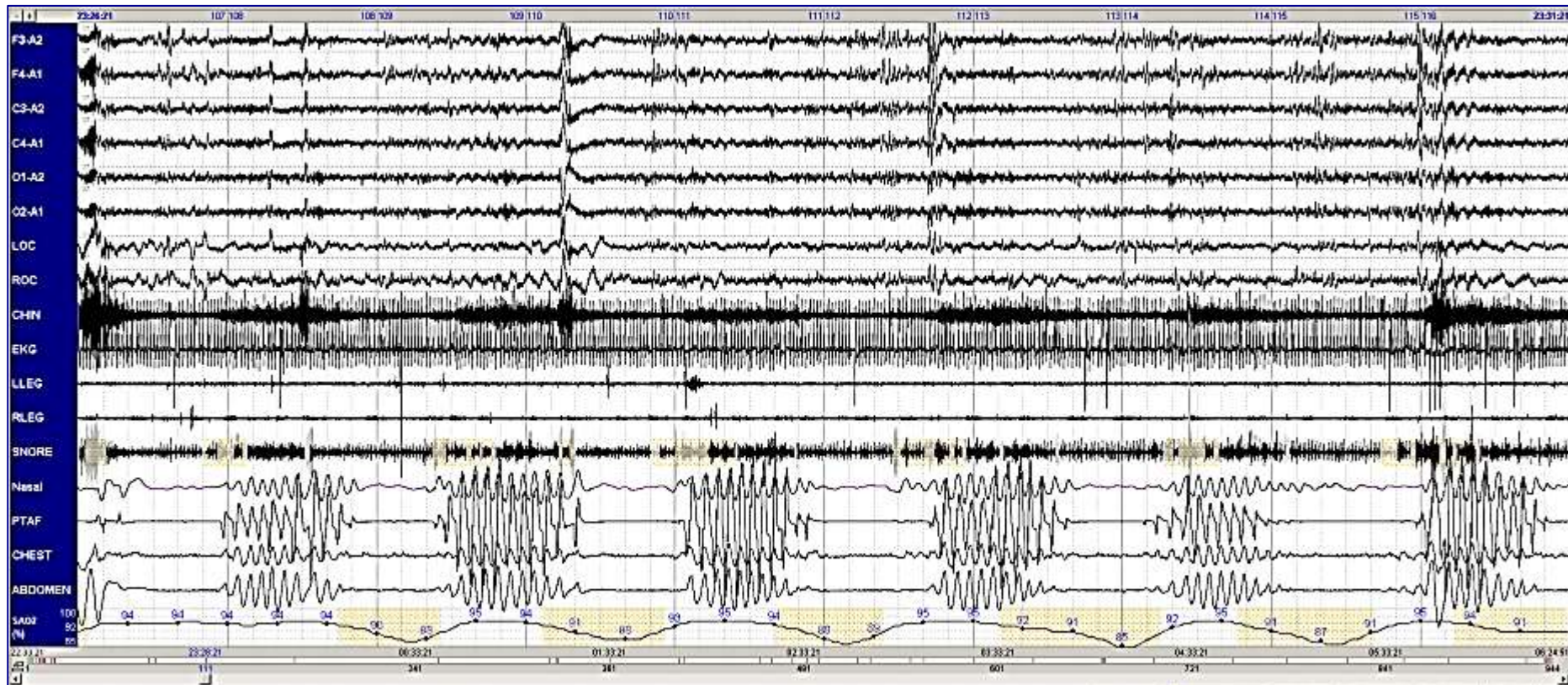
B) Female gender

C) Waking hypercapnea

**D) Increased chemoreceptor responsiveness to CO<sub>2</sub>**



# Cheyne Stokes CSA



- Crescendo decrescendo pattern
- Cycle duration:
  - Typically 60-90 secs
  - Proportional to circulation time
  - Inversely proportional to LVEF

- More common in stages 1 and 2 sleep
  - Absent in REM sleep
- Arousal during hyperpnea
  - Typically during the peak of hyperpnea
- Delayed oxygen desaturation

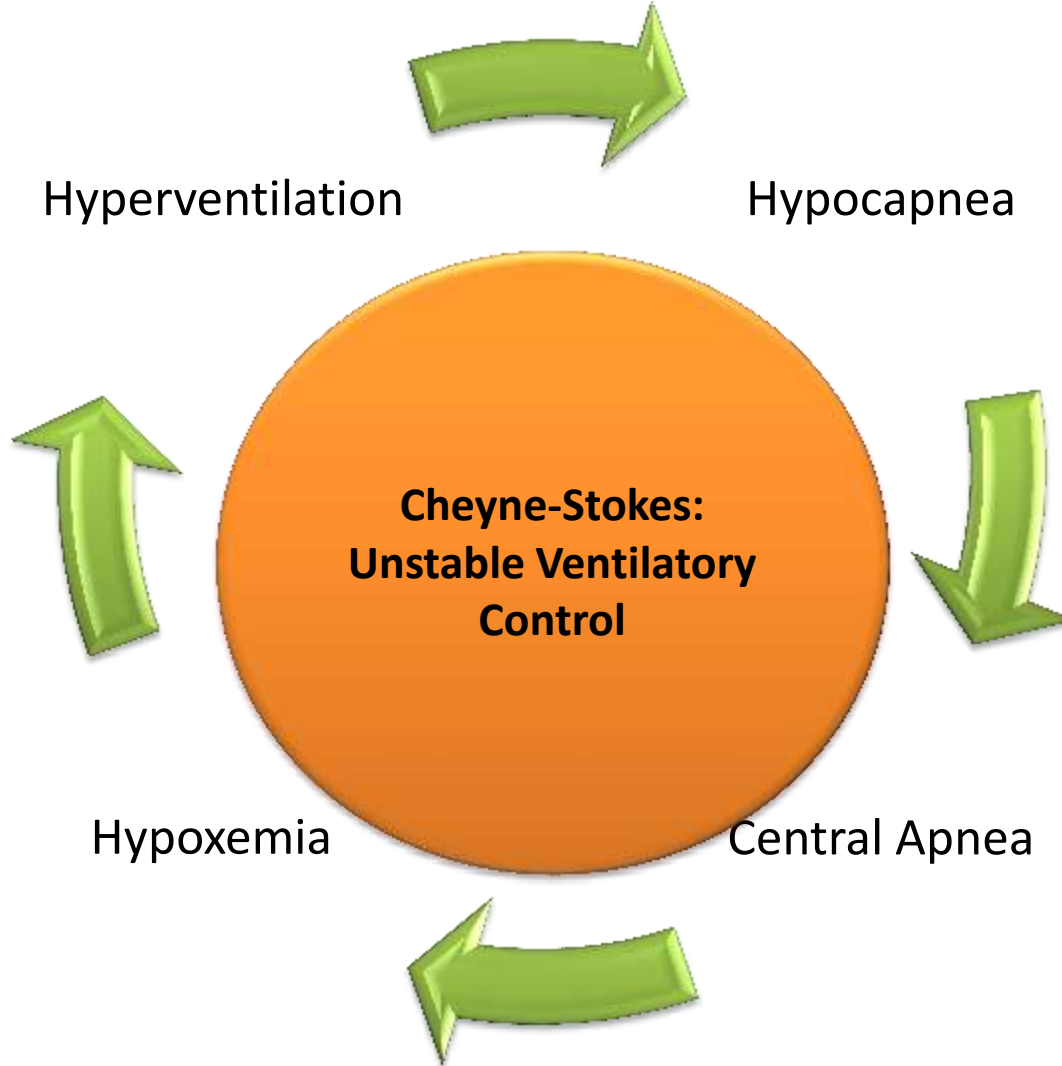
# Risk Factors for the Cheyne Stokes Breathing Pattern in CHF

- Male
- Age > 60
- Hypocapnea during wakefulness
- Atrial fibrillation

Increased Chemoreceptor Responsiveness to CO2

Hyperventilation

Hypocapnea



High  
Ventilatory  
Drive

Increased  
Circulatory  
Time

Hypoxemia

Central Apnea

Low Oxygen Stores \ Pulmonary Edema

# Cheyne Stoke Breathing Pattern

- Mechanisms: High Loop Gain!
  - Increased chemoreceptor responsiveness to CO<sub>2</sub>
  - Increased ventilatory drive
  - Increased circulatory time
  - Decreased oxygen stores
- Results:
  - Sleep fragmentation = EDS
  - Sympathetic activation

# Question

Which one of the following patients has the lowest left ventricular ejection fraction (LVEF)?

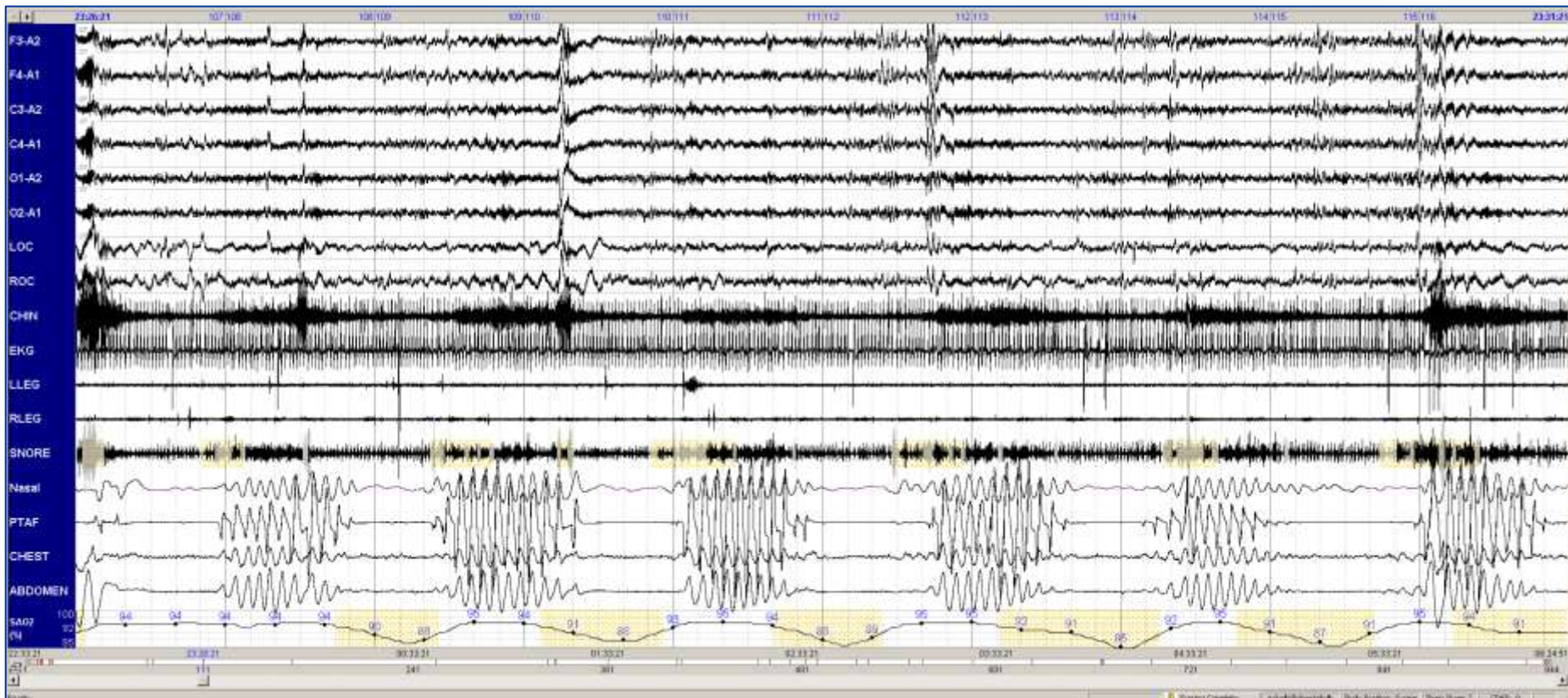
A) A

B) B

C) C

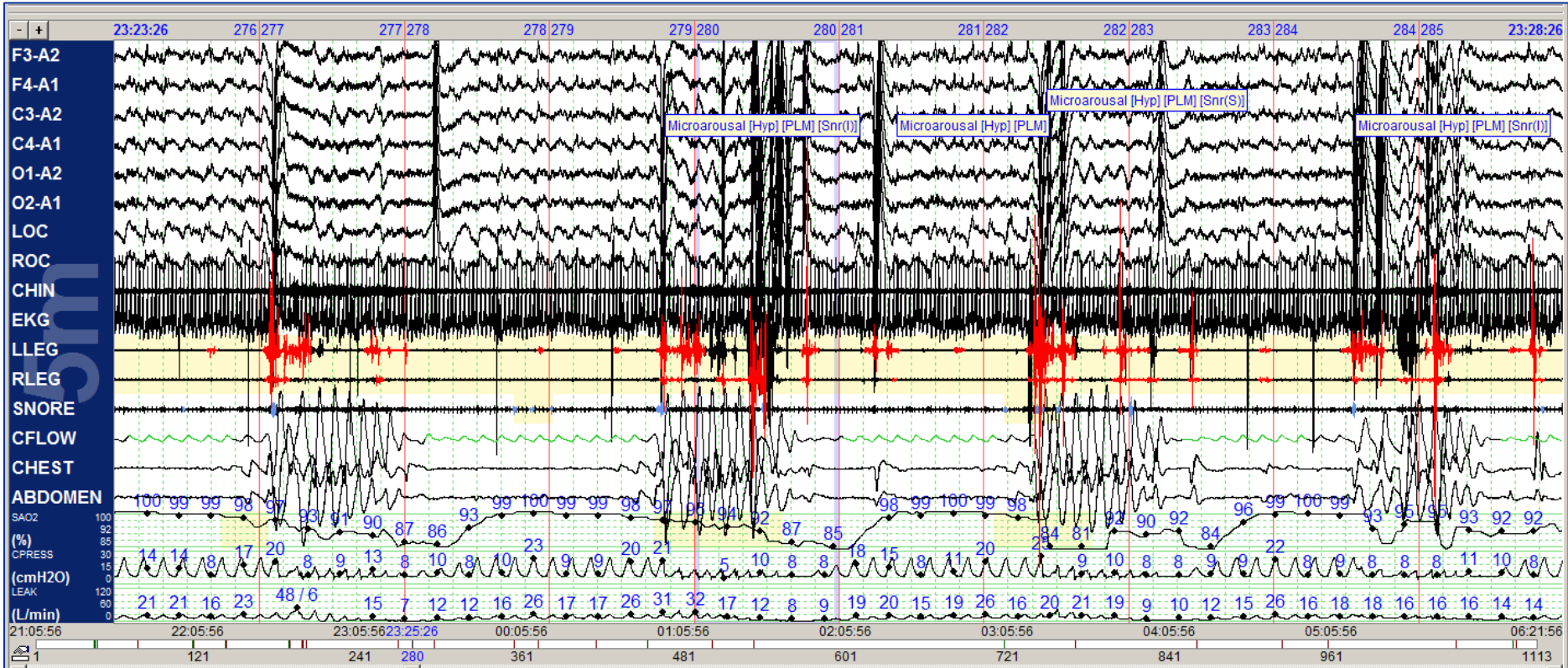
D) D

# Patient A



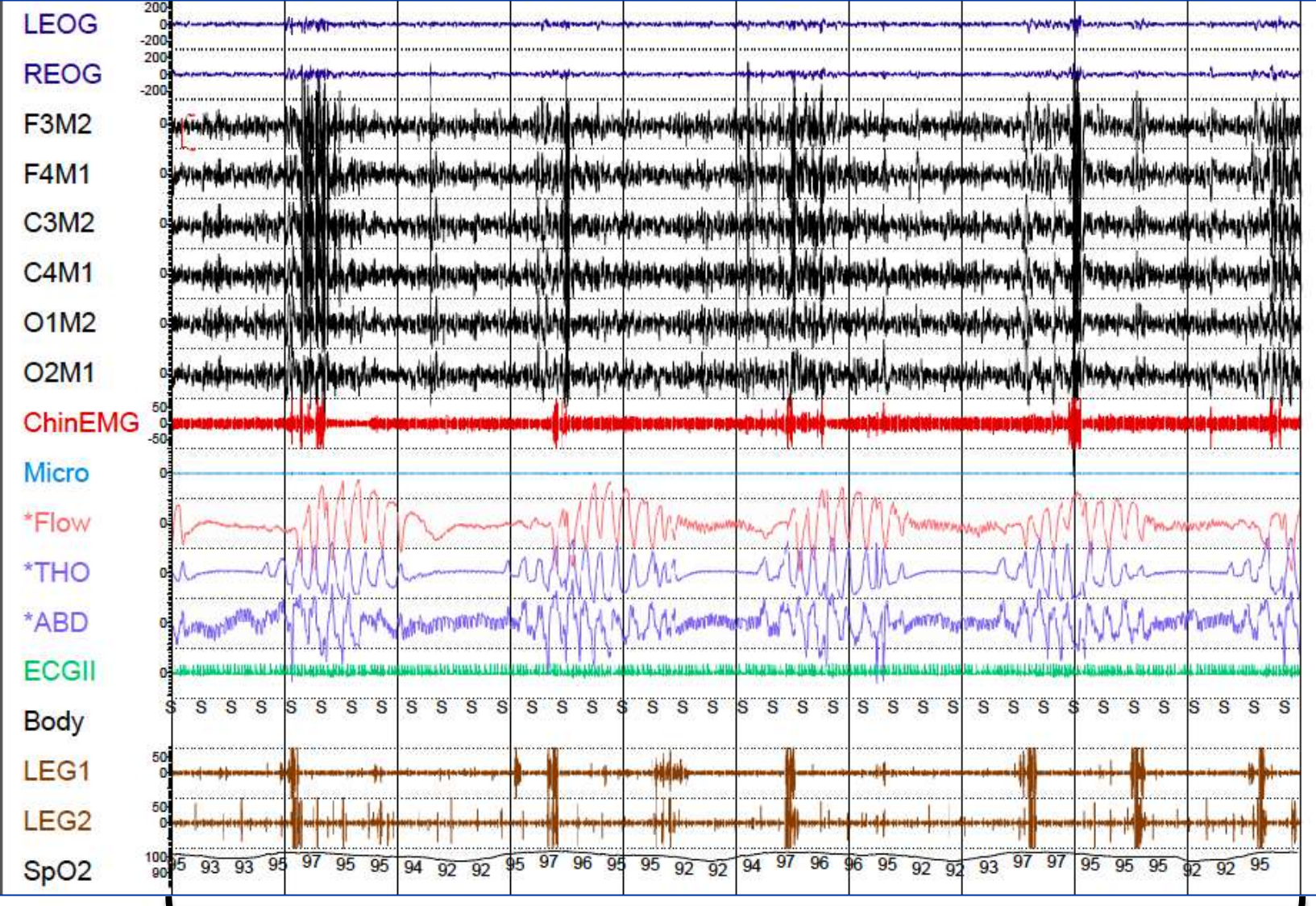
5 Minute Epoch

# Patient B



5 Minute Epoch

# Patient C



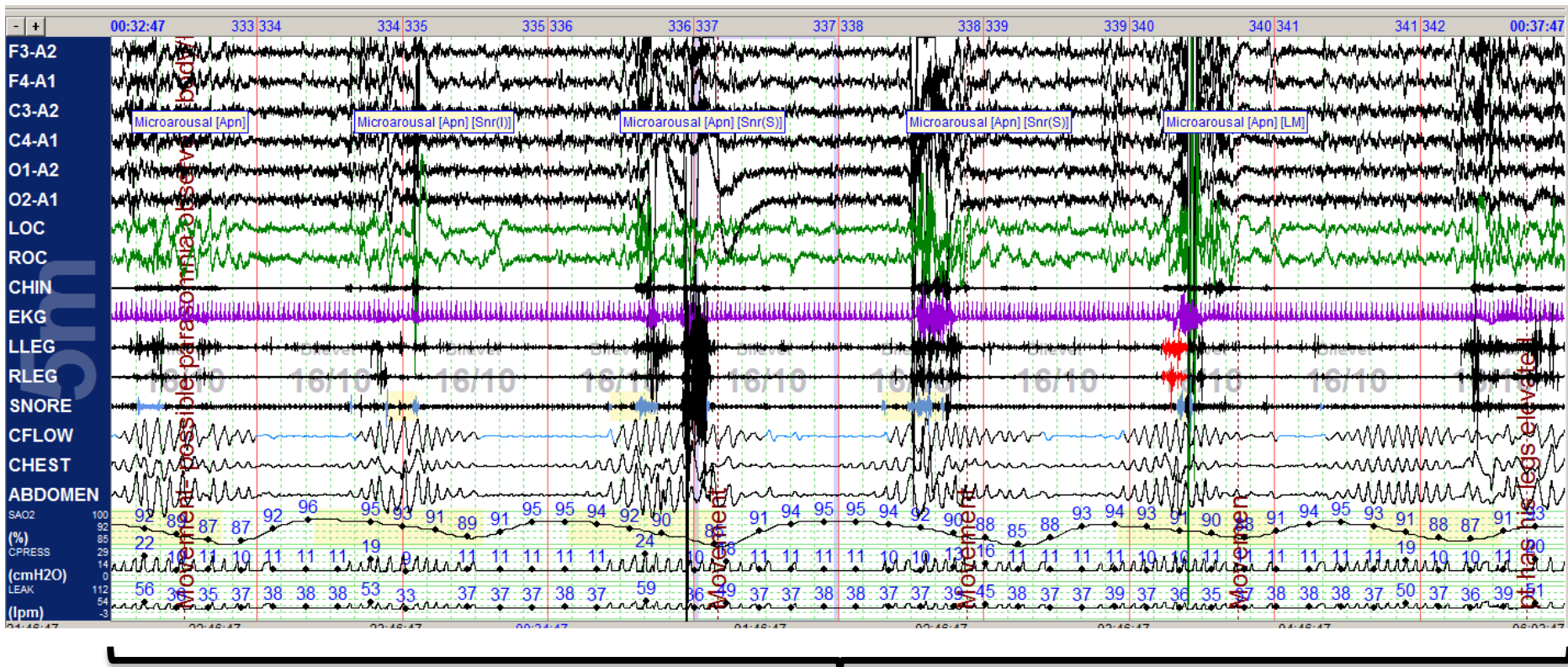
5 Minute Epoch

BOSTON ★ JUNE 3-7





# Patient D



5 Minute Epoch

# Question

Which one of the previous patients has the lowest left ventricular ejection fraction (LVEF)?

A) A

B) B

C) C

D) D

# Answer

Which one of the following patients has the lowest left ventricular ejection fraction (LVEF)?

A) A

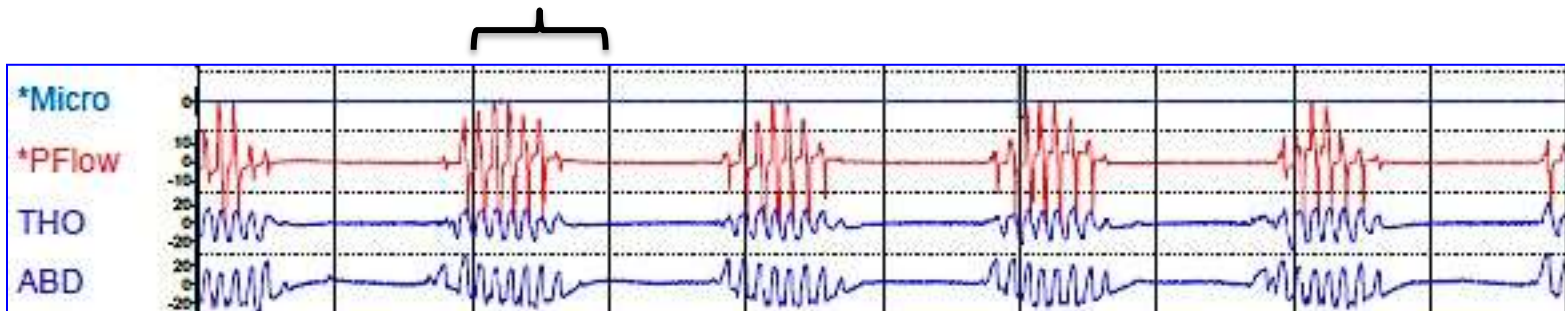
**B) B**

C) C

D) D

# CSA Cycle Length

30 Second Epoch



Cycle Length:  
Beginning of an event  
to the end of the event



In Cheyne Stokes:

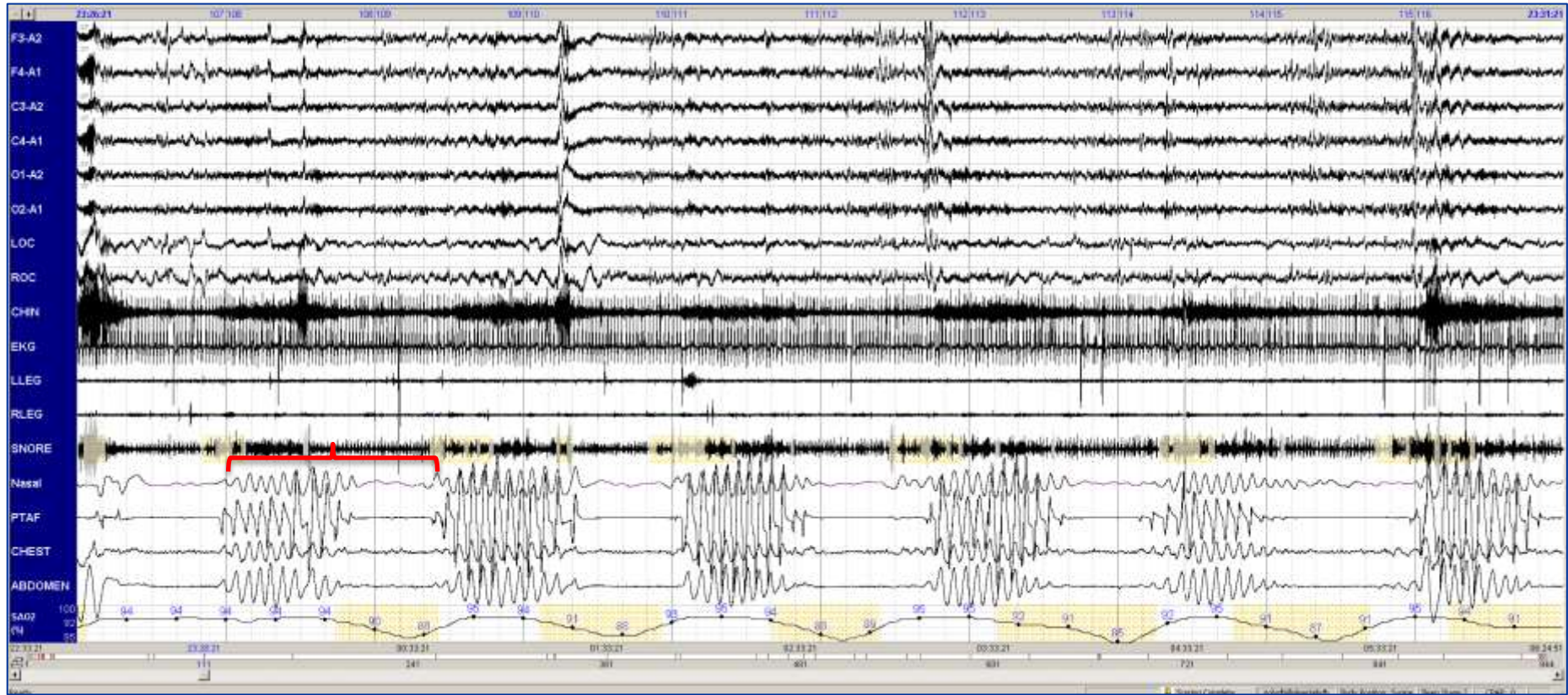
- Typically longer than other CSA syndromes
- Typically 45 to 90 seconds
- **Inversely proportional to systolic function (LVEF)**
- Proportional to circulation time

**Table 9**—Variation in cycle length in Cheyne-Stokes breathing with different severities of heart failure

	LVEF (%)				
	> 50	40-49	30-39	20-29	< 20
Cycle length	49.1 ± 17.4	58.9 ± 13.4	60.5 ± 10.5	73.9 ± 16.2	85.7 ± 23.1

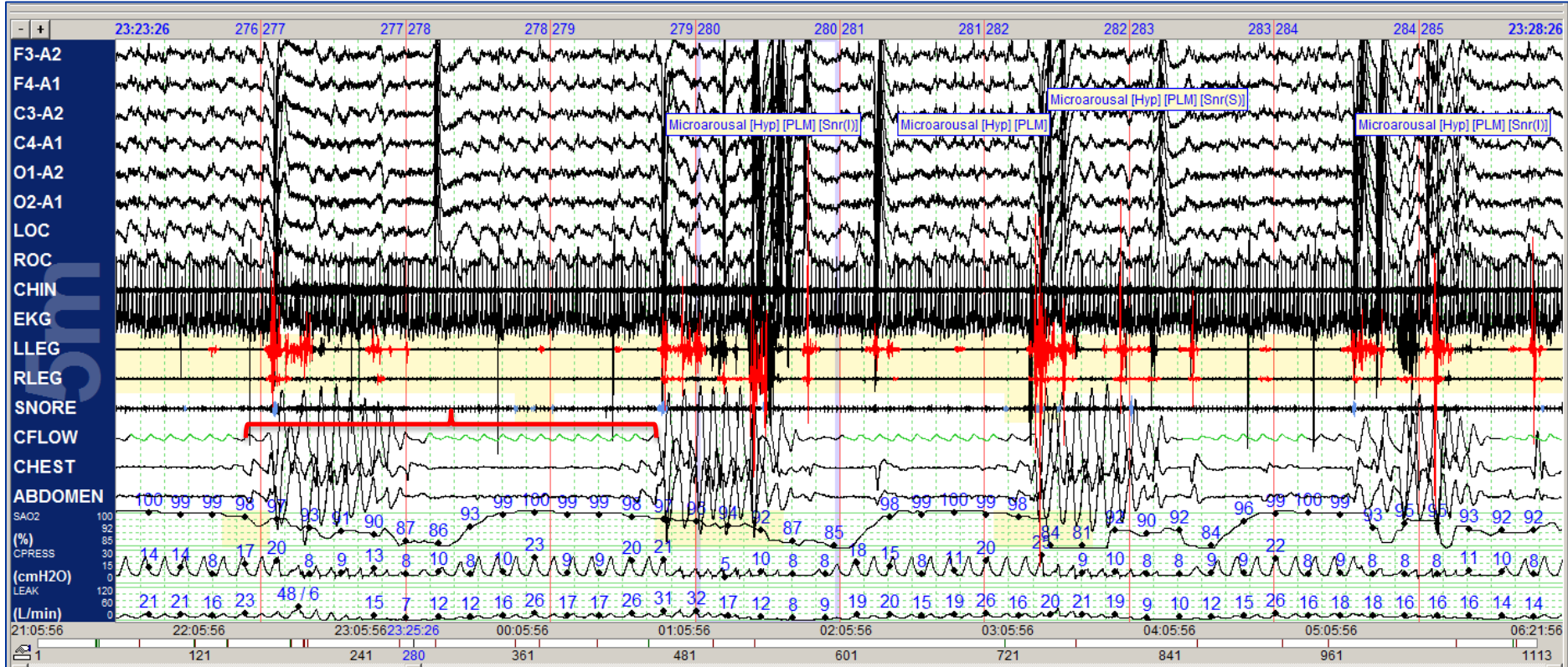
Data from Wedewardt et al.<sup>106</sup> Values are Mean ± SD in seconds. LVEF, left ventricular ejection fraction; SD, standard deviation.

# Patient A: Cycle Length $\approx 45$ Seconds



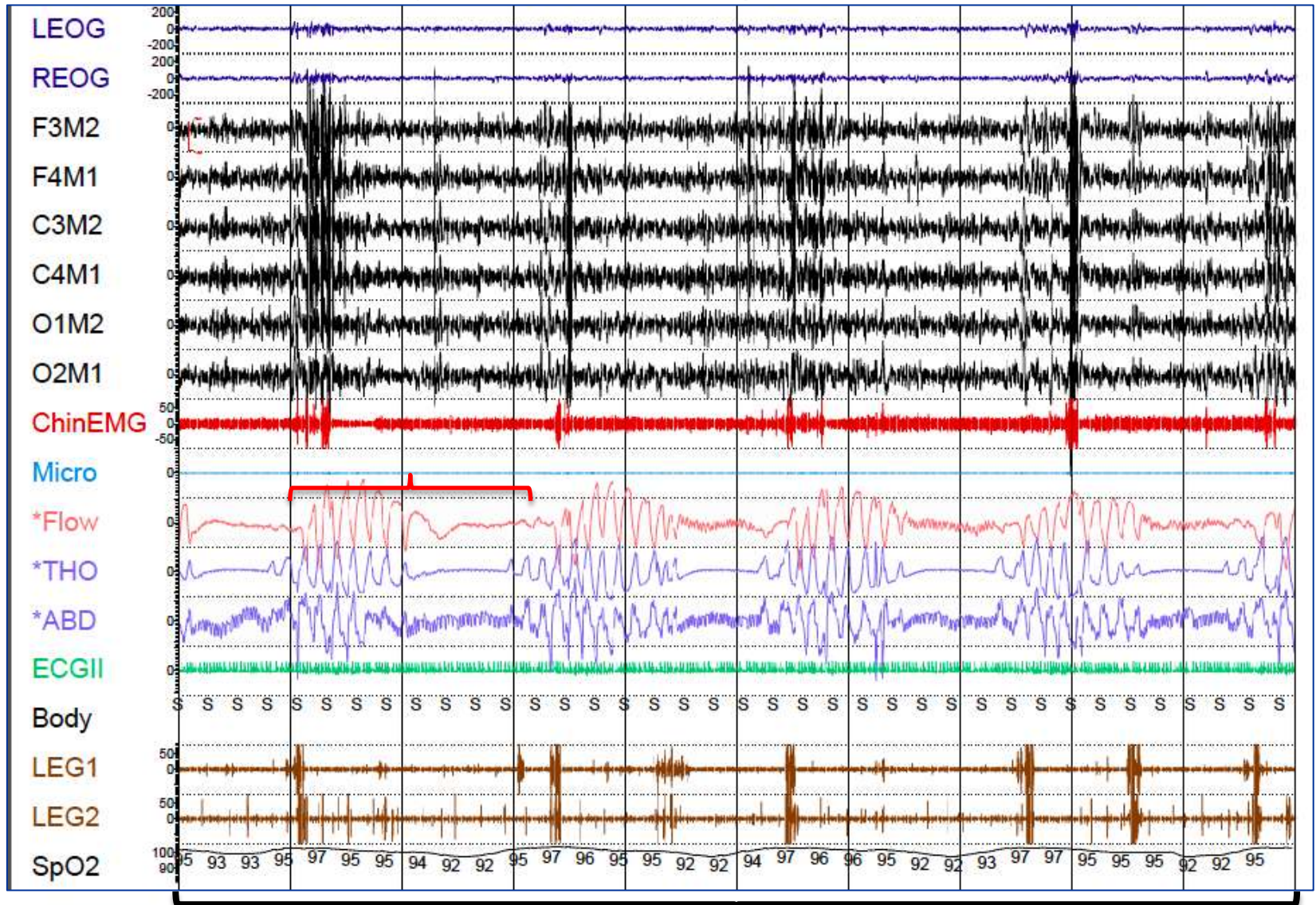
5 Minute Epoch

# Patient B: Cycle Length $\approx 85$ Seconds

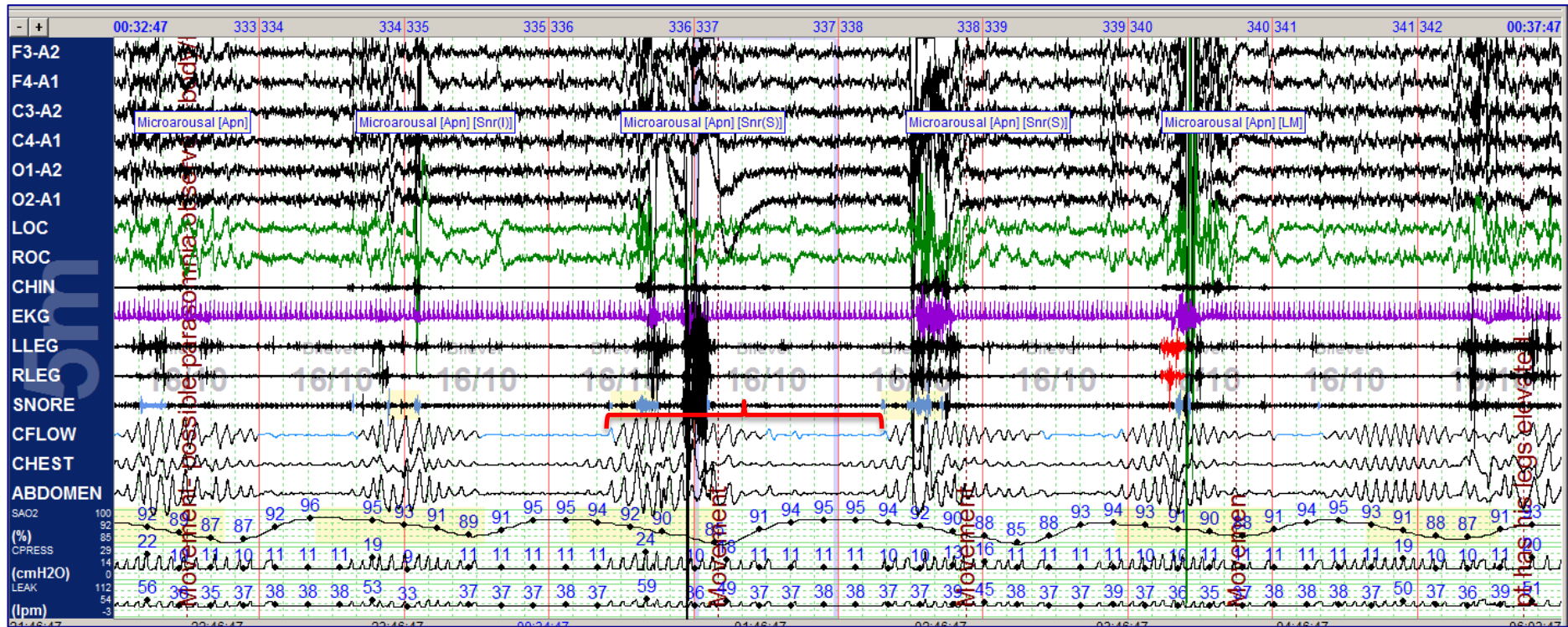


5 Minute Epoch

# Patient B: Cycle Length $\approx 65$ Seconds



# Patient D: Cycle Length $\approx$ 50 Seconds



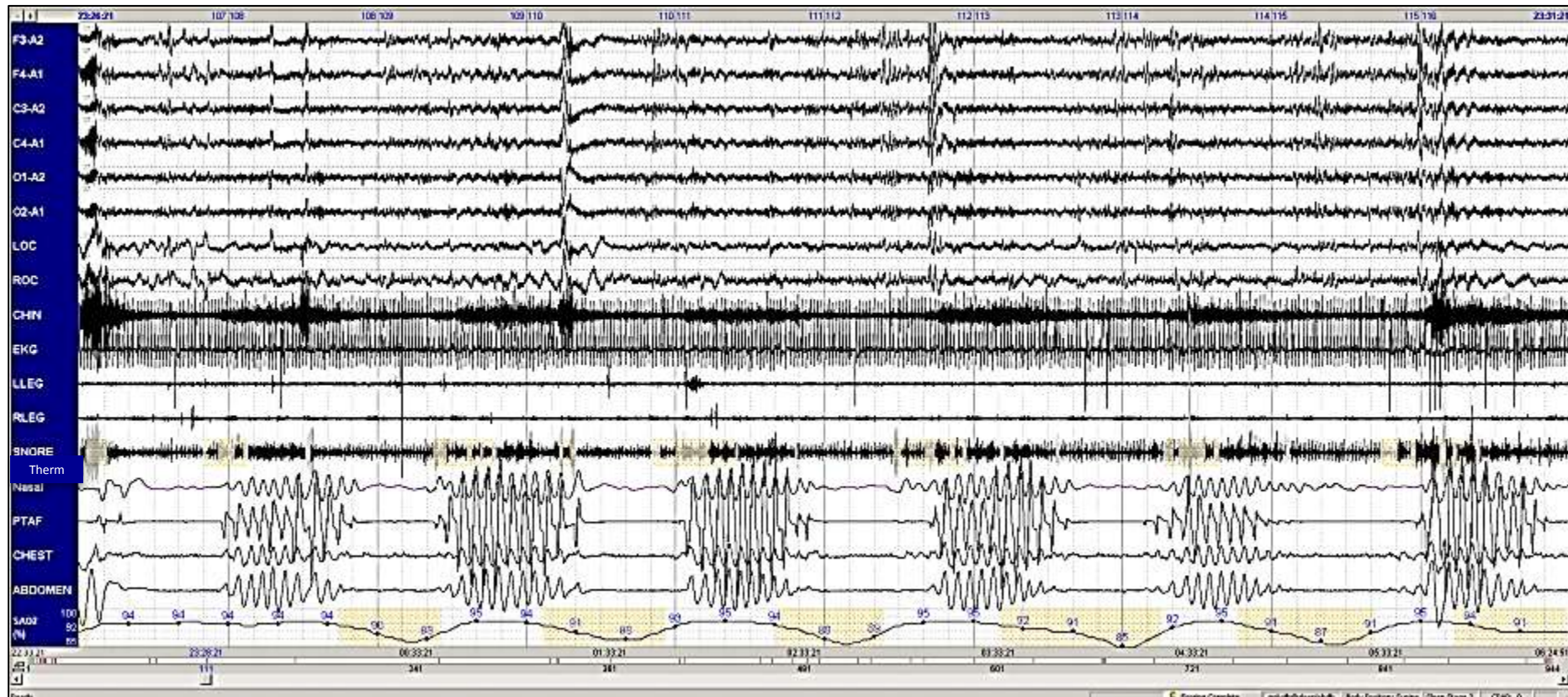
5 Minute Epoch



# Case

- A 55 year old male with a history of CHF (EF 35%) presents with symptoms of frequent awakenings and daytime sleepiness.
- His wife notes intermittent snoring and periods of apnea.
- Medications: Carvedilol, furosemide, digoxin, potassium
- Exam: No distress, mallampati score of 3, lungs clear and 1+ bilateral LE edema
- An overnight attended PSG demonstrated the following:

# Main PSG Finding: 5 Minute Epoch



# Question

Which of the following would be the best initial treatment for this patient?

- A) CPAP
- B) Oxygen
- C) Adaptive servo ventilation (ASV) Bilevel
- D) Ace inhibitor
- E) AutoCPAP

# Answer

Which of the following would be the best initial treatment for this patient?

A) CPAP

B) Oxygen

C) Adaptive servo ventilation (ASV) Bilevel

**D) Ace inhibitor**

E) AutoCPAP

# Cheyne Stokes Management in CHF



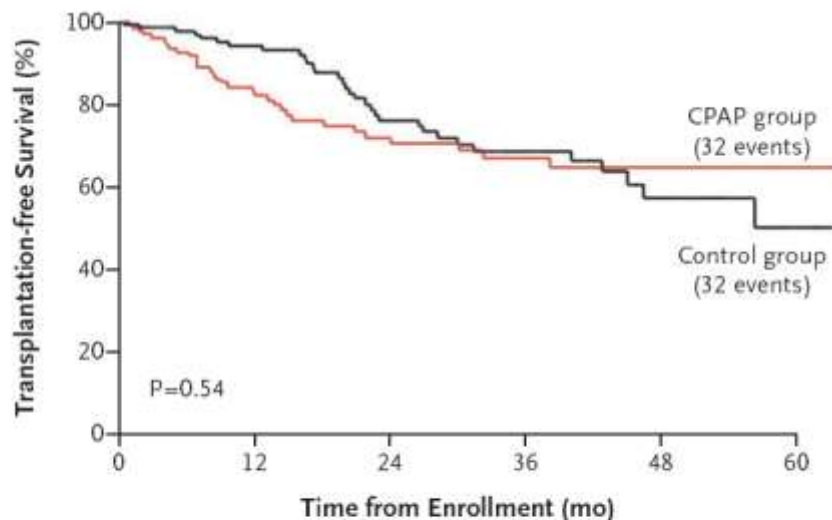
## Maximize Medical Therapy

- 1) Improves survival
- 2) Reduces hospitalizations
- 3) Improves LVEF

# The Treatment of CSA Syndromes in Adults: AASM Practice Parameters 2012

- Standards:
  - CPAP therapy targeted to normalize the AHI is indicated for the initial treatment of CSAS related to CHF
  - ASV targeted to normalize the AHI is indicated for the treatment of CSAS related to CHF
  - Nocturnal oxygen therapy is indicated for the treatment of CSAS related to CHF
- Options:
  - BPAP in ST mode targeted to normalize the AHI
    - May be considered only if there is no response to adequate trials of CPAP, ASV and oxygen therapies
  - Acetazolamide and theophylline
    - Limited supporting evidence

# CANPAP: CPAP Does Not Affect Survival In CHF with Predominantly Central Apneas



No. at Risk	
CPAP group	128 104 79 59 49 42 33 24 20 12 6
Control group	130 117 96 79 59 46 37 27 19 12 4

Figure 3. Heart-Transplantation-free Survival.

- Modest Improvements:
  - LVEF (2.2%)
  - Exercise tolerance
  - Catecholamines
- No affect on:
  - Hospitalizations
  - Transplant-free survival
- Limitations
- Post hoc analysis suggested benefits in CPAP responders

# Resmed SERVE HF Trial: ASV Contraindicated for CSA with CHF and LVEF $\leq 45\%$

- RCT to assess the role of ASV combined with medical management vs medical management alone in patients with symptomatic chronic CHF (NYHA class 2-4), LVEF  $\leq 45\%$  and predominantly moderate to severe CSA (AHI  $> 15$ )
- Results:
  - No change in unplanned hospitalizations
  - Increased all-cause-mortality in the ASV group
    - Relative 28% increase per year in the ASV
  - Increased cardiovascular mortality in the ASV group:
    - Absolute 2.5% increase per year in ASV group (7.5% vs 10%)
    - Relative 34% increase per year in ASV group
- Conclusions and recommendations:
  - ASV offers no meaningful benefits and is contraindicated in this group of patients



## Updated Adaptive Servo-Ventilation Recommendations for the 2012 AASM Guideline: “The Treatment of Central Sleep Apnea Syndromes in Adults: Practice Parameters with an Evidence-Based Literature Review and Meta-Analyses”

R. Nisha Aurora, MD, MHS<sup>1</sup>; Sabin R. Bista, MD<sup>2</sup>; Kenneth R. Casey, MD, MPH<sup>3</sup>; Susmita Chowdhuri, MD<sup>4</sup>; David A. Kristo, MD<sup>5</sup>; Jorge M. Mallea, MD<sup>6</sup>; Kannan Ramar, MD<sup>7</sup>; James A. Rowley, MD<sup>8</sup>; Rochelle S. Zak, MD<sup>9</sup>; Jonathan L. Heald, MA<sup>10</sup>

- **Standard** level recommendation against the use of use of ASV to treat CHF-associated CSAS in patients with an LVEF of  $\leq 45\%$  and moderate or severe CSAS
- **Option** level recommendation for the use of ASV in the treatment CHF-associated CSAS in patients with an LVEF  $> 45\%$  or mild CHF-related CSAS

# Other Attempted Treatments for CSA in CHF

- Oxygen
  - May decrease AHI and improve oxygen sats
  - High levels (100%) may worsen CHF
  - No long term follow data to prove efficacy on important outcomes
- Inhaled CO<sub>2</sub>
  - Findings inconsistent
- Theophylline
  - Short term studies show decrease in AHI
- Cardiac pacing
  - Atrial pacing not effective
  - Cardiac resynchronization therapy (CRT)
- Phrenic nerve pacing
  - May reduce AHI, though significant residual AHI
  - More data required, not FDA approved
- Transplant
  - Improves CSR, but may be delayed

# APAP Not Indicated for Central Apneas of Any Type

- APAP only indicated for:
  - Uncomplicated moderate to severe OSA
- Not indicated for:
  - CHF
  - Hypoventilation
  - COPD
  - Obesity hypoventilation
  - Non-snorers (s/p UPPP)

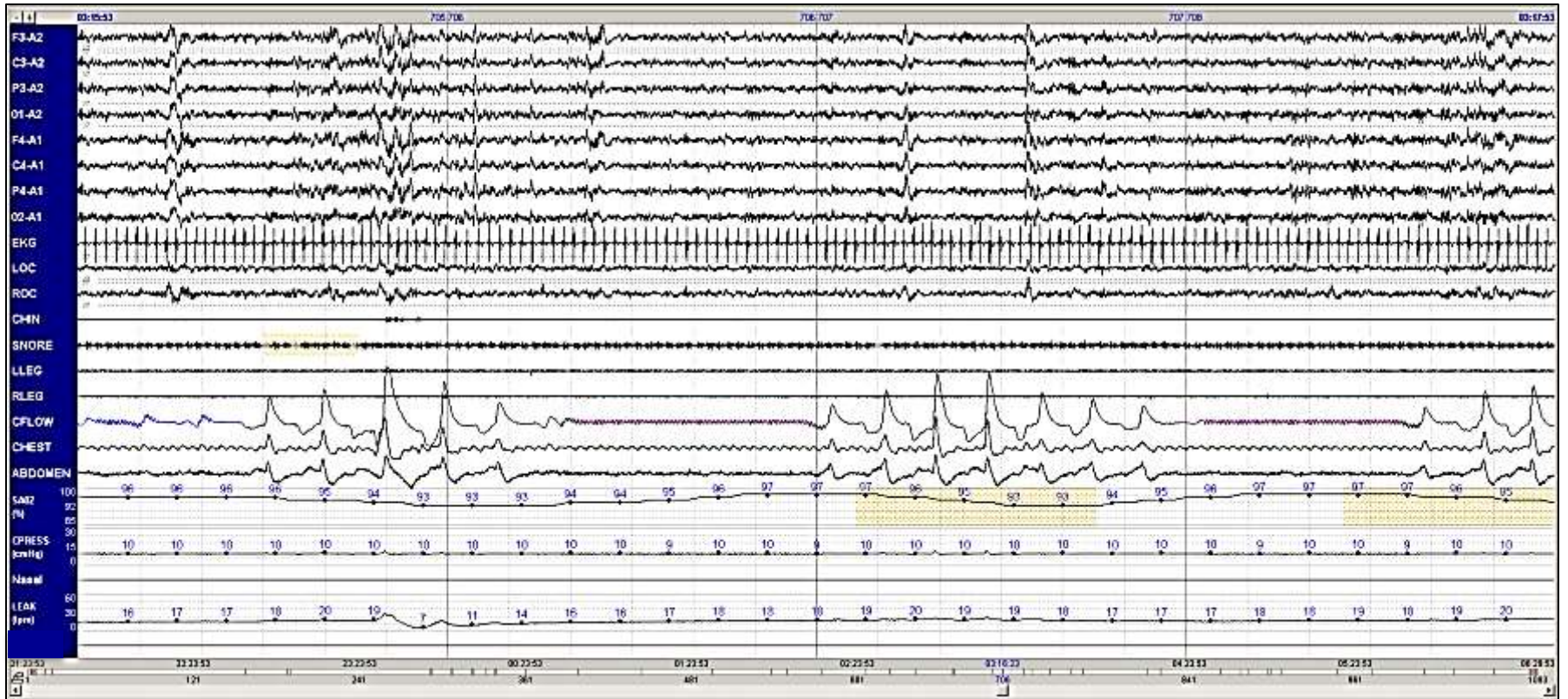
# The Bottom Line: Cheyne Stokes CSA

- Characteristics:
  - Cycle length:
    - Typically 60 to 90 secs
    - Proportional to circulation time
    - Inversely proportional to LVEF
  - Arousal during hyperpnea
  - Delayed desaturation
  - Common in NREM and resolves in REM sleep
- Mechanisms: High Loop Gain!
  - Increased chemoreceptor responsiveness to CO<sub>2</sub>
  - Increased ventilatory drive
  - Delayed circulatory time
- Associated with increased mortality in CHF with systolic dysfunction
- Initially managed with maximized medical therapy
- AASM Practice parameters recommend CPAP and oxygen as treatment options (Standards)
  - Little data to support these therapies in these patients at this time
- ASV therapy contraindicated in patients with CSA and CHF and LVEF < 45%

# Case

- 68 year old male presents with snoring, witnessed apneas and daytime sleepiness
- PMHx: HTN, atrial fibrillation, type 2 DM
- Medications: Valsartan, warfarin, metoprolol, metformin
- Aside from obesity, unremarkable exam
- Undergoes an attended split-night PSG which demonstrates severe OSA with an AHI of 42 events per hour and oxygen desaturation to a nadir of 75%
- During the CPAP titration portion of the study, the following is observed:

# CPAP PSG Titration Findings



2 Minute Epoch

# Question

Obstructive events are resolved in supine REM sleep at a CPAP pressure of 10 cm H<sub>2</sub>O, but the previous PSG findings persist in NREM sleep. Which one of the following therapies should you recommend for home treatment?

- A) APAP 8 to 12 cm H<sub>2</sub>O
- B) Bilevel PAP IPAP 12 cm H<sub>2</sub>O and EPAP 8 cm H<sub>2</sub>O
- C) CPAP at 10 cm H<sub>2</sub>O
- D) ASV bilevel PAP therapy
- E) I don't know

# Answer

Obstructive events are resolved in supine REM sleep at a CPAP pressure of 10 cm H<sub>2</sub>O, but the previous PSG findings persist in NREM sleep. Which one of the following therapies should you recommend for home treatment?

A) APAP 8 to 12 cm H<sub>2</sub>O

B) Bilevel PAP IPAP 12 cm H<sub>2</sub>O and EPAP 8 cm H<sub>2</sub>O

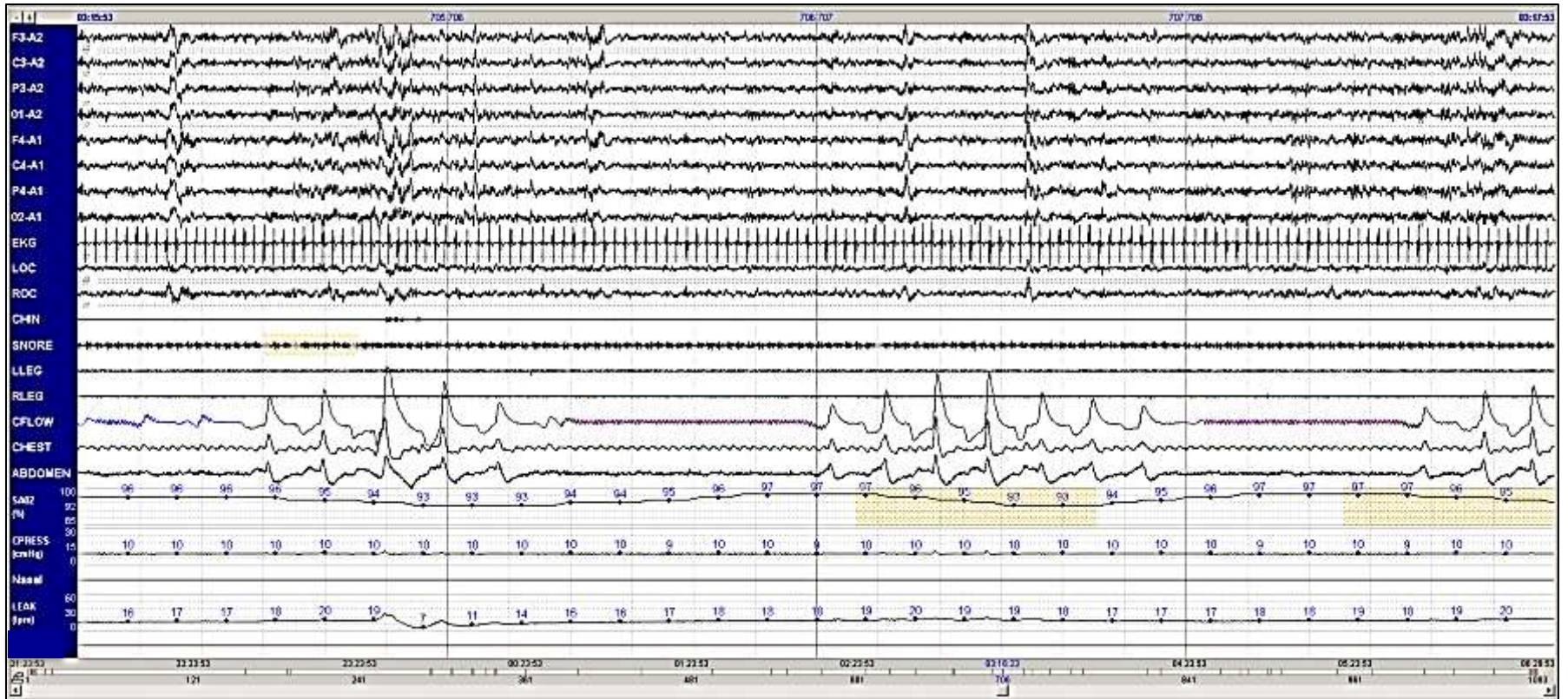
**C) CPAP at 10 cm H<sub>2</sub>O**

**D) ASV bilevel PAP therapy**

**E) I don't know**



# Treatment Emergent Central Sleep Apnea



2 Minute Epoch

# Treatment-Emergent Central Sleep Apnea

- ICSD 3 Diagnostic Criteria: Must meet all criteria
  - A) Diagnostic PSG shows five or more obstructive respiratory events per hour of sleep
  - B) PSG during use of PAP without a backup rate shows significant resolution of obstructive events and emergence or persistence of central apneas or central hypopneas with all of the following:
    - Central apnea-central hypopnea index (CAHI)  $\geq 5$  /hour
    - Number of central apneas and hypopneas is  $\geq 50\%$  of the total number of apneas and hypopneas
  - C) Central sleep apnea is not better explained by another CSA disorder
- Alternate name: Complex sleep apnea

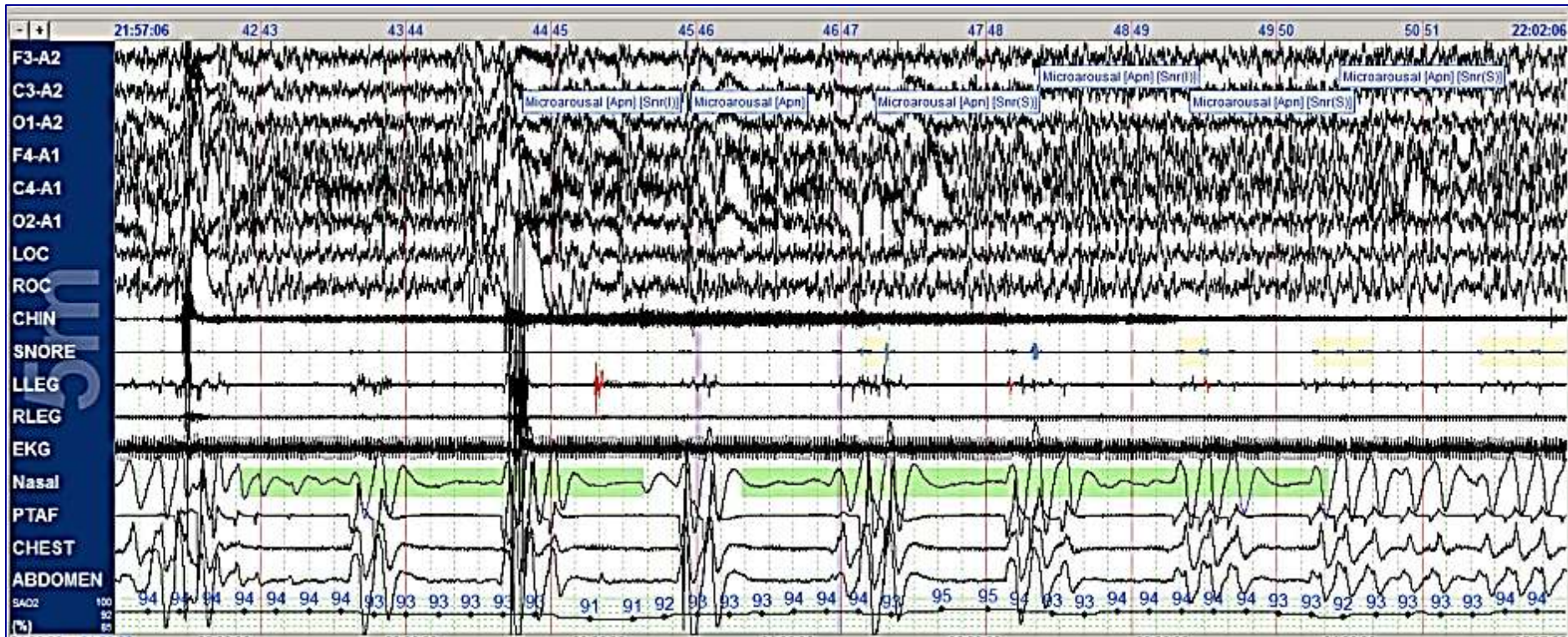
# Treatment-Emergent CSA: Summary

- Prevalence 5% to 20%
- Pathophysiology not well defined
- Associated conditions: Severe OSA, opioids, CHF, stroke, NMD
- Note: Not limited to PAP therapy
- Central events may persist on CPAP (AHI > 10) in up to 36%
  - Though most studies suggest central events resolve with time
- ASV bilevel therapy resolves central events and reduces the AHI better than CPAP
- No difference between ASV and CPAP in regards to important outcomes after 3 months of therapy
- Optimal treatment approach and role for ASV bilevel therapy not well defined. Consider a trial of ASV if:
  - Non-adherent to CPAP
  - Remain symptomatic on CPAP
  - Have persistent severe sleep apnea on CPAP

# Case

- A 20 year old female presents with fragmented sleep, witnessed apneas and daytime sleepiness.
- She also notes intermittent headaches and neck pain that are precipitated by coughing and sneezing.
- Physical Exam: Normal
- A PSG demonstrates the following

# PSG: 5 Minute Epoch



# Question

Based on the history and PSG findings, the best initial recommendation would be which one of the following?

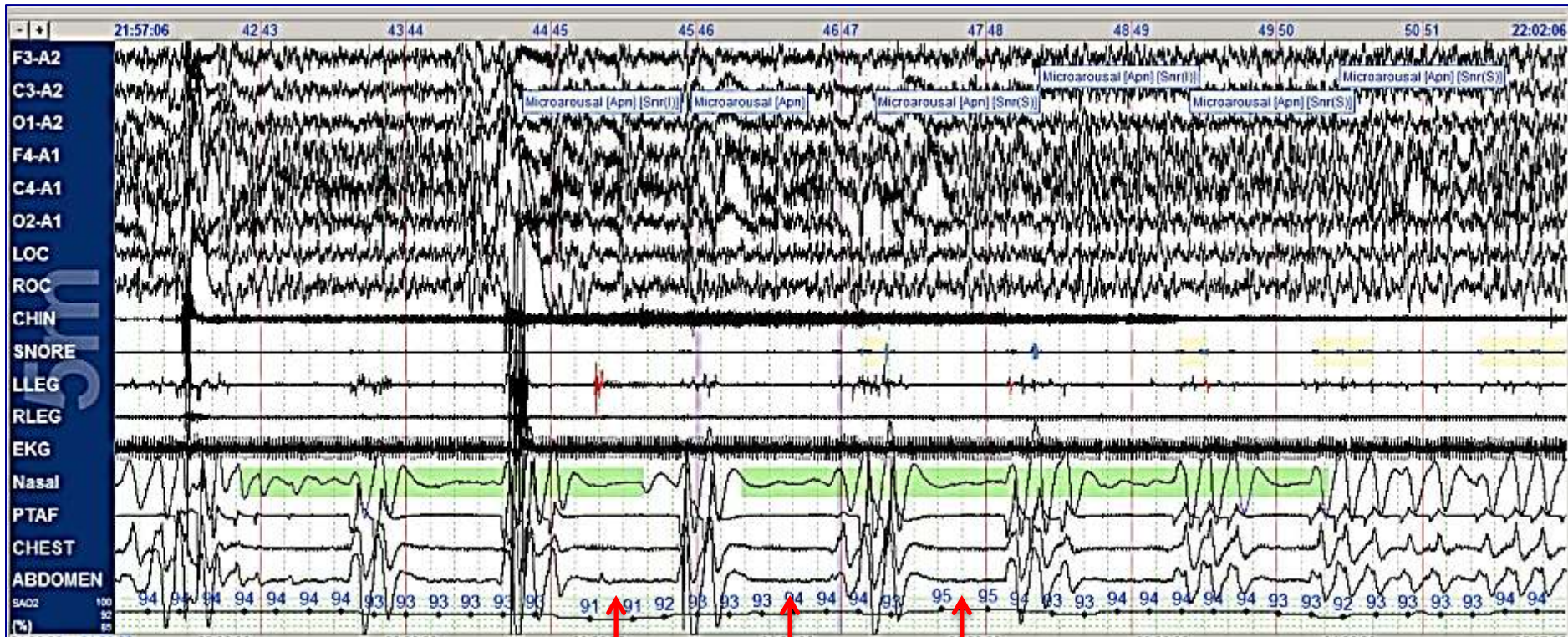
- A) CPAP
- B) ASV bilevel therapy
- C) MRI of the brain
- D) Lumbar puncture

# Answer

Based on the history and PSG findings, the best initial recommendation would be which one of the following?

- A) CPAP
- B) ASV bilevel therapy
- C) MRI of the brain**
- D) Lumbar puncture

# PSG: Central Sleep Apnea Without Cheyne Stokes Breathing



Recurrent Central Sleep Apnea



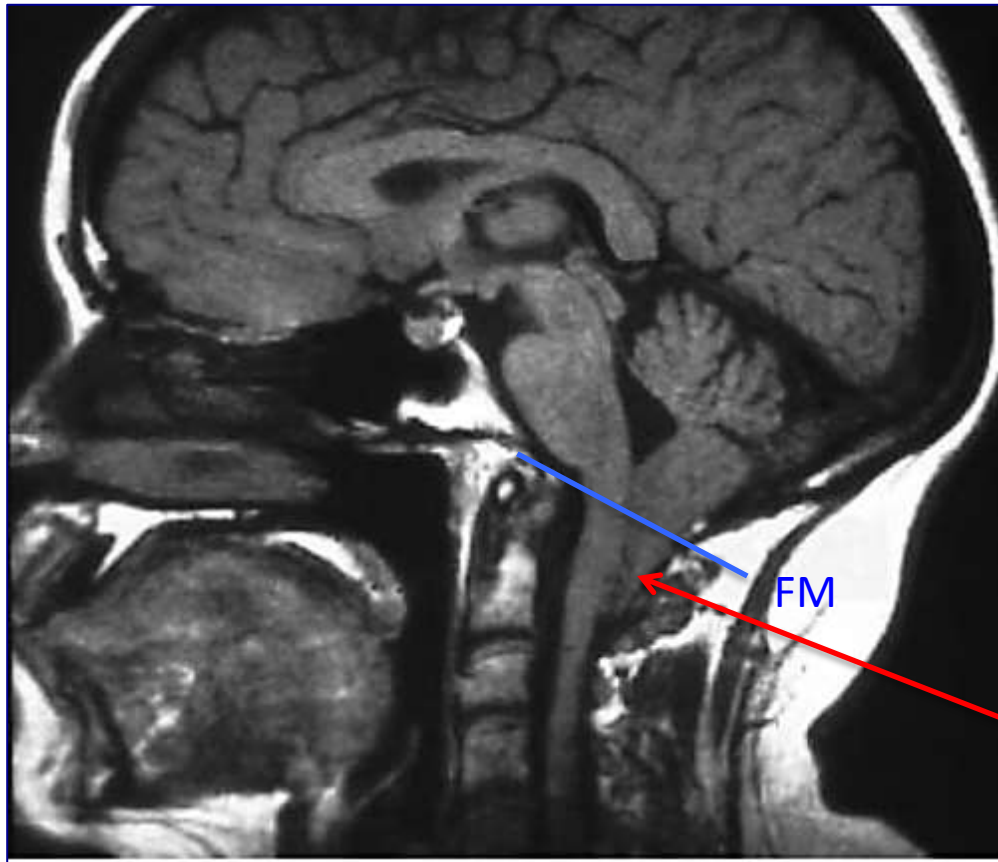
# CSA due to a Medical Disorder without Cheyne-Stokes Breathing: ICSD 3 Diagnostic Criteria

- The presence of 1 or more of the following:
  - Sleepiness
  - Difficulty initiating or maintaining sleep, frequent awakenings or nonrestorative sleep
  - Awakening short of breath
  - Snoring
  - Witnessed apneas
- PSG shows CSA fulfilling AASM scoring criteria without evidence of Cheyne Stokes breathing
- Occurs as a consequence of a medical or neurologic disorder but is not due to medication or substance use

# CSA due to a Medical Disorder without Cheyne-Stokes Breathing: Common Disorders

- Chiari 1 malformation
  - Age 20 to 40 presenting with daytime sleepiness and headaches
    - Also: Neck pain, vertigo, unsteady gait, dysphagia, dysphonia
  - PSG demonstrates CSA +/- OSA
    - Can exhibit CSA, OSA and or mixed apneas
  - Diagnosis: Brain MRI
  - Treatment: Base of skull surgery
- Post stroke
  - May resolve or improve over time
- Brain stem damage due to trauma, increased ICP or tumor

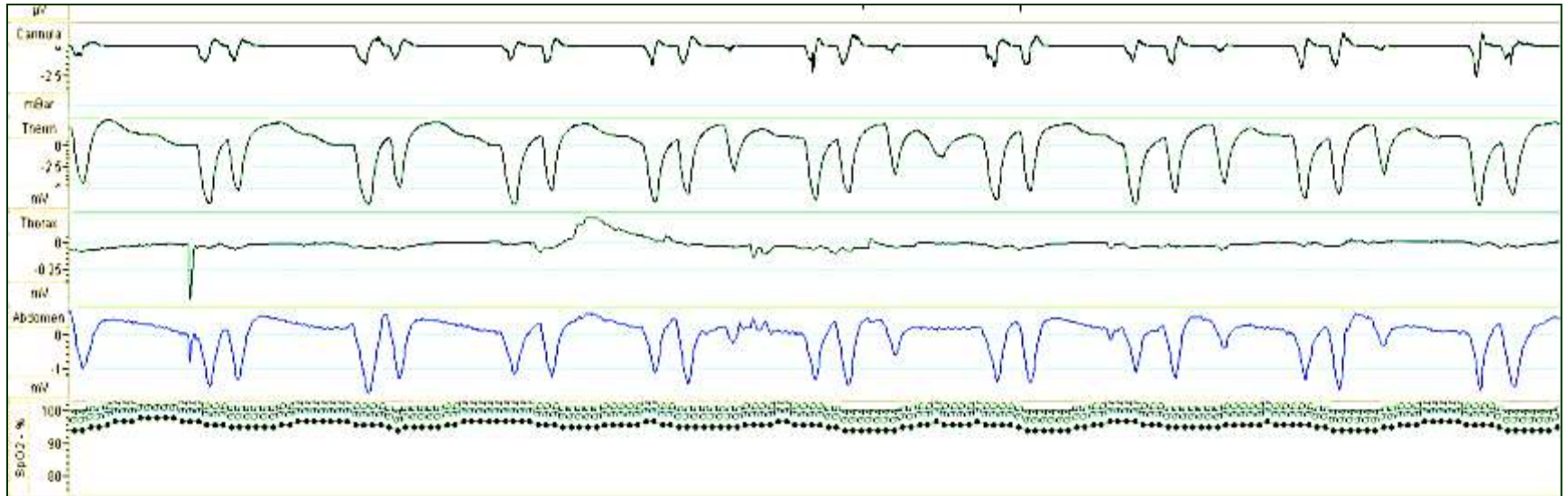
# Chiari 1 Malformation



- Definition:
  - Abnormally shaped cerebellar tonsils that are displaced below the level of the foramen magnum (FM)
    - ( $\geq 5$  mm below the FM)

Cerebellar tonsil below the FM

# Biot's Pattern



- Also referred to as **clustered respiration**:
  - Characterized by groups of quick, shallow inspirations followed by regular or irregular periods of apnea
- Other associations:
  - Brain stem damage due to strokes, trauma or increased ICP

# Question

Which one of the following statements regarding high altitude periodic breathing is correct?

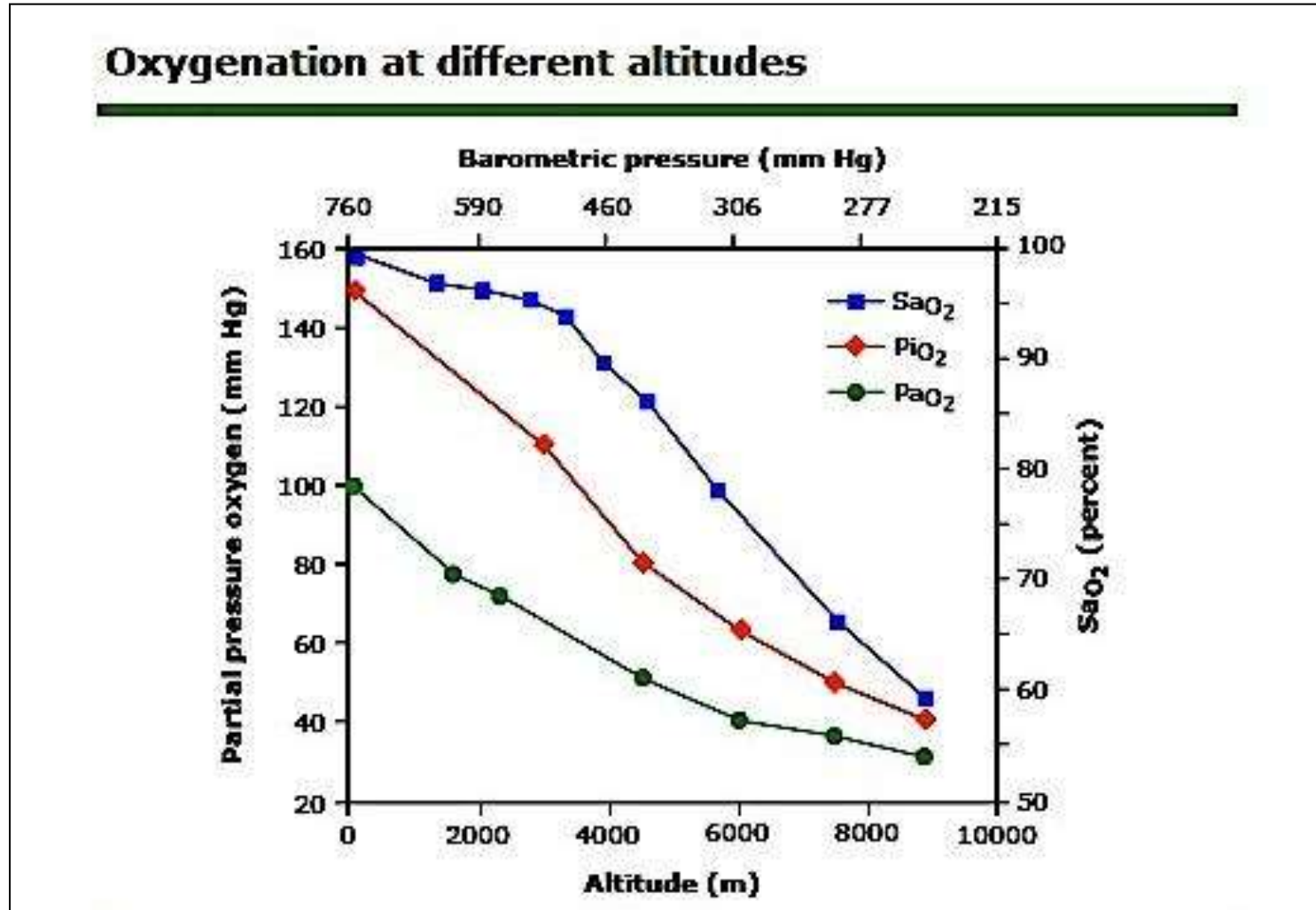
- A) Occurs predominantly in REM sleep
- B) Typical cycle length is  $> 60$  seconds
- C) Primary mechanism is hypoxemia
- D) CPAP is the best treatment

# Answer

Which one of the following statements regarding high altitude periodic breathing is correct?

- A) Occurs predominantly in REM sleep
- B) Typical cycle length is  $> 60$  seconds
- C) Primary mechanism is hypoxemia**
- D) CPAP is the best treatment

# CSA due to High Altitude Periodic Breathing



# Altitude CSA/Periodic Breathing

- NREM associated CSA
- Associated symptoms required for ICSD 3 diagnosis
- Typically does not occur below 2500 meters
  - Increased prevalence at higher altitudes
  - May occur at lower altitudes with comorbidities
- Mechanism:
  - Hypoxemia
  - More common in patients with enhanced ventilatory responses to hypoxemia
- Shorter cycle duration than Cheyne Stokes
  - Commonly < 40 seconds and typically < 20 seconds
- Treatment:
  - Oxygen
  - Acetazolamide



# Central Sleep Apnea: The Bottom Line

- Typically occurs in NREM sleep and resolves in REM sleep
- Clinical significance beyond sleep disruption and associated symptoms not clear
  - Affect on cardiovascular disease or other outcomes not known
- Pathophysiology depends on the CSA syndrome
  - Cheyne Stokes:
    - Increased chemoreceptor responsiveness to CO<sub>2</sub>
    - Increased ventilatory drive
    - Delayed signal transport to central CO<sub>2</sub> and peripheral oxygen receptors
  - High altitude CSA
    - Hypoxemia during sleep
  - Medication and medical problem related
    - Altered signal perception and respiratory drive
- Treatment is syndrome dependent
  - Best treatments not clear for most syndromes

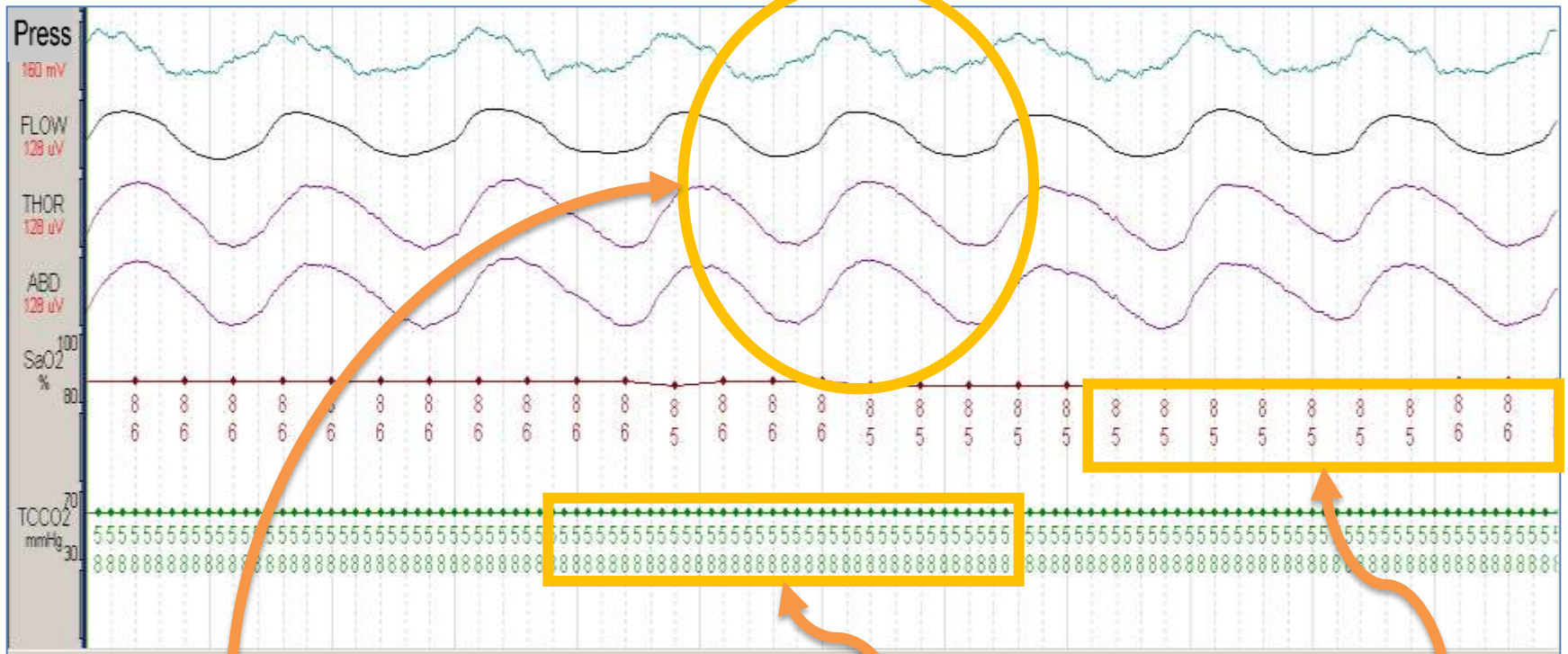
# Sleep Related Hypoventilation Disorders: ICSD 3

- Obesity hypoventilation syndrome
- Congenital central alveolar hypoventilation syndrome
- Late-onset central hypoventilation with hypothalamic dysfunction
- Idiopathic central alveolar hypoventilation
- Sleep related hypoventilation due to medication or substance
- Sleep related hypoventilation due to a medical disorder

# Hypoventilation: AASM Scoring Manual

- Monitoring hypoventilation is optional
- If monitoring for hypoventilation, recommended monitoring includes:
  - Diagnostic study: Arterial PCO<sub>2</sub>, transcutaneous or end-tidal CO<sub>2</sub>
  - PAP titration: Arterial PCO<sub>2</sub> or transcutaneous CO<sub>2</sub>
  - \*\*\*\***DON'T USE ETCO<sub>2</sub> with PAP**\*\*\*\*
  - Best monitoring device or method (ETCO<sub>2</sub>, etc..) not clear
- Hypoventilation is scored if either of the following occur:
  - Increase of PCO<sub>2</sub> or surrogate to value > 55 mm Hg for ≥ 10 minutes or
  - Increase of PCO<sub>2</sub> or surrogate during sleep (in comparison to awake supine value) to a value > 50 mm HG for ≥ 10 minutes
- Other things to know:
  - Persistent oxygen desaturation alone is not sufficient
  - Duration of event not defined

# Hypoventilation

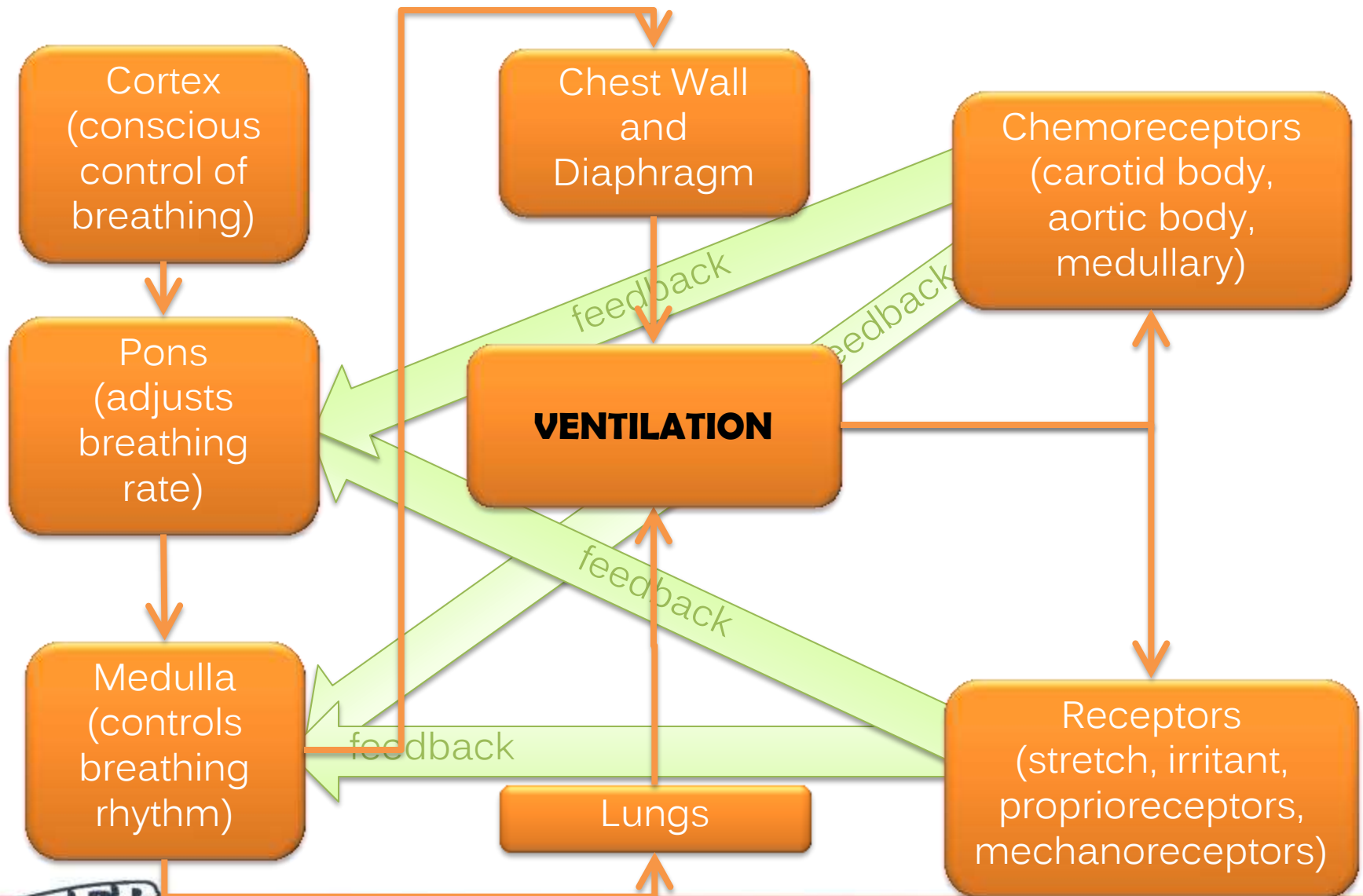


Breathing is regular – no apnea, hypopnea, RERA or flattening of nasal pressure.

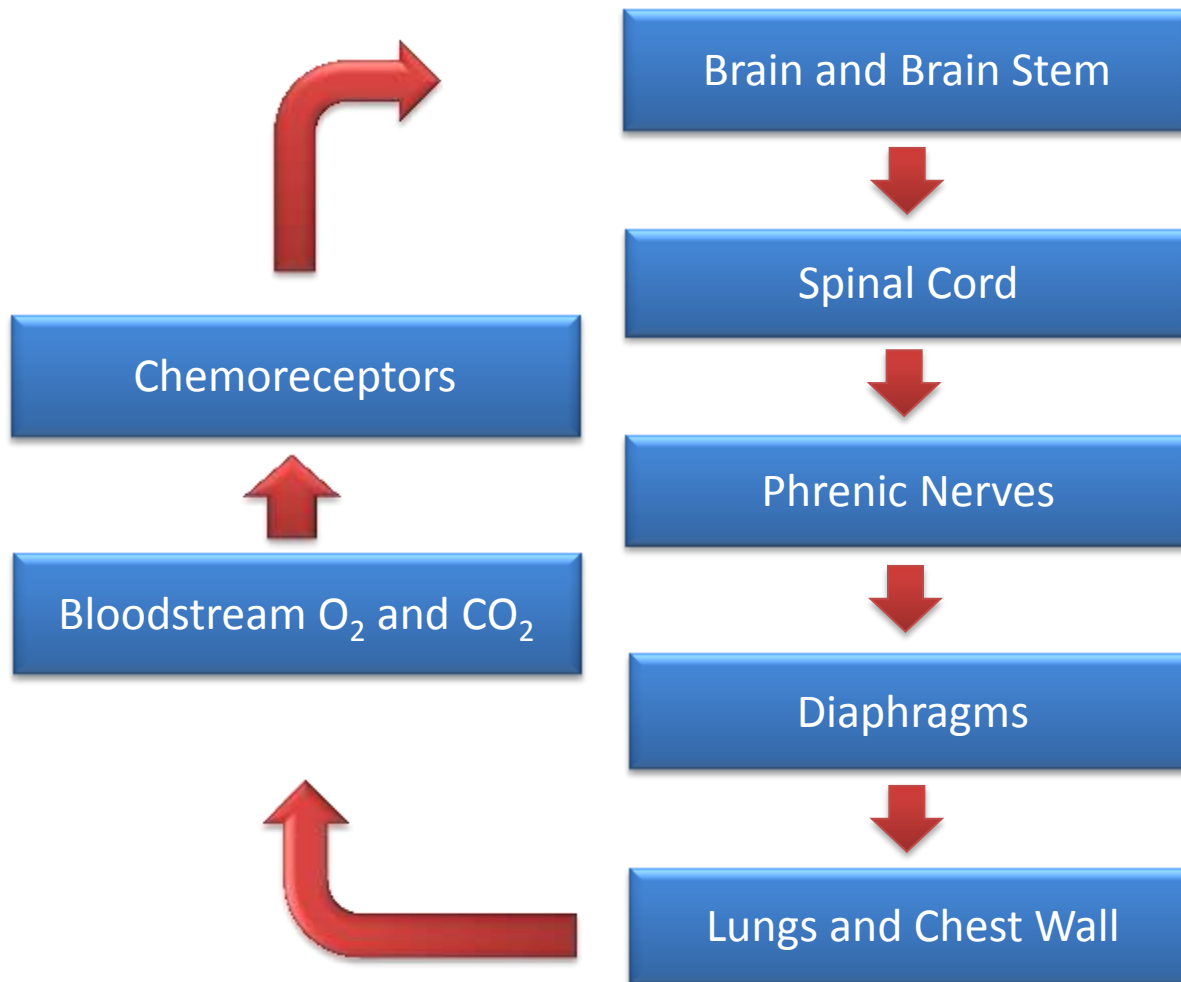
The event is scored based on high TCCO<sub>2</sub> level. Lying down during waking, the patient was at 32 mm Hg. The reading of 58 mm Hg is abnormally high.

Oxygen saturation is low at 85%.

# A “Simple” Diagram of the Control of Breathing



# Ventilation Pump System and Control of Breathing

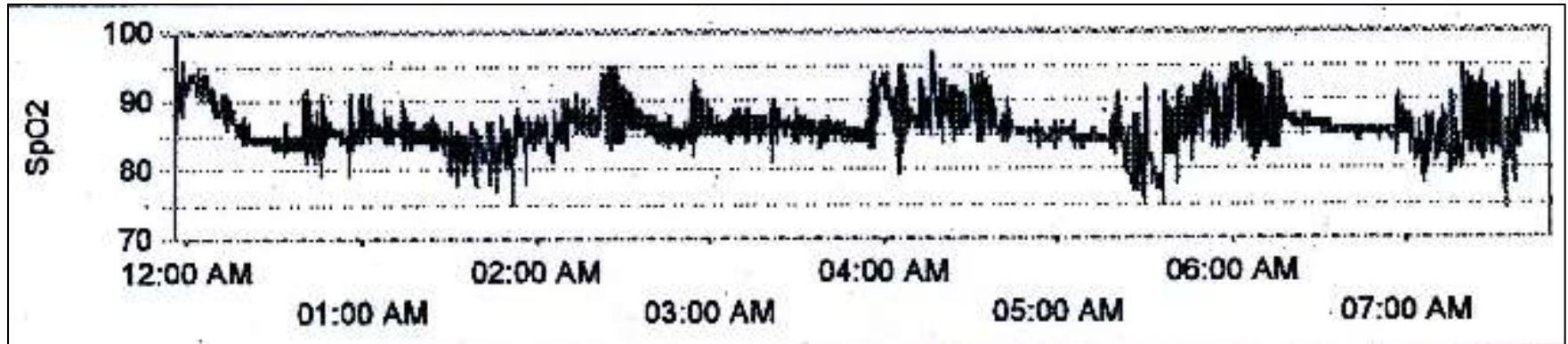


# Case

- 65 year old morbidly obese female with symptoms of intermittent awakenings, daytime sleepiness and LE edema.
- PMHx: Morbid obesity, HTN, IDDM. Denies COPD
- SHx: Nonsmoker
- Exam: BMI 46 kg/m<sup>2</sup>, Normal vitals, Pulse ox 91% on RA
  - Lungs clear, normal cardiac exam, 1+ LE edema bilaterally
- Labs: Hb = 18 g/dL and HCO<sub>3</sub> = 34 mmol/L
- Spirometry: FVC 55% without obstruction, FEV1 60%

# Overnight Oximetry and Daytime ABG

## Overnight Oximetry on Room Air



## Room Air Awake ABG

Ph	7.34
PCO <sub>2</sub> (mm Hg)	60
PO <sub>2</sub> (mm Hg)	62
HCO <sub>3</sub> (mmol/L)	34



# Question

Based on the history, exam and data presented, the best initial recommendation should be:

- A) Add oxygen at 2 l/min at night
- B) HST with home APAP trial
- C) PSG with CPAP titration
- D) PSG with ASV titration

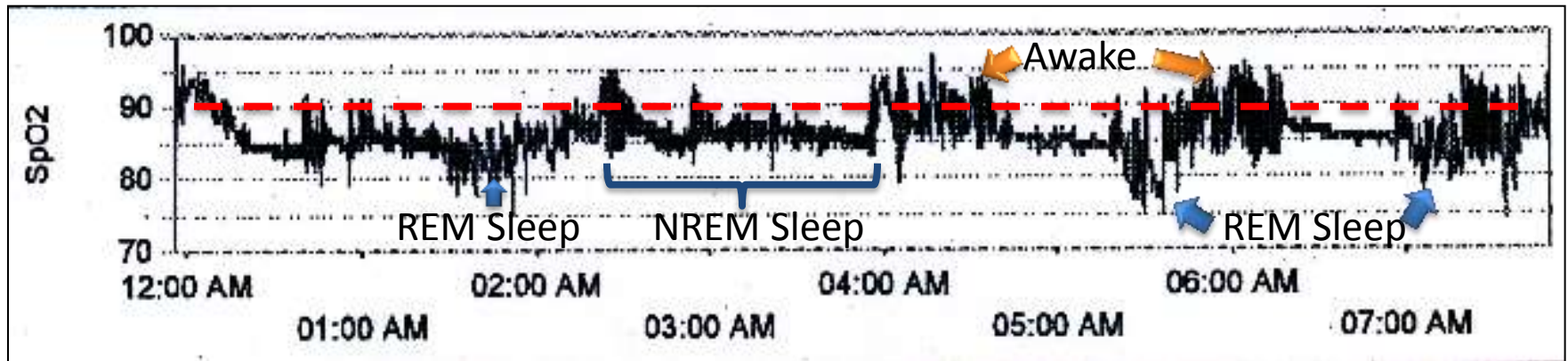
# Answer

Based on the history, exam and data presented, the best initial recommendation should be:

- A) Add oxygen at 2 l/min at night
- B) HST with home APAP trial
- C) PSG with CPAP titration**
- D) PSG with ASV titration

# Oximetry and ABG Consistent with Hypoventilation with Hypercapnea

Oximetry Suggestive of Hypoventilation



Room Air ABG Consistent with Chronic Hypercapnea

Ph	7.34	Low
PCO <sub>2</sub> (mm Hg)	60	High
PO <sub>2</sub> (mm Hg)	62	Low
HCO <sub>3</sub> (mmol/L)	34	High

# Obesity Hypoventilation Syndrome (OHS)

## Diagnostic Criteria: ICSD 3

- Diagnostic Criteria: All must be met
  - Presence of hypoventilation during wakefulness ( $\text{PaCO}_2 > 45$  mm Hg) as measured by arterial  $\text{PCO}_2$ , end-tidal  $\text{PCO}_2$  or transcutaneous  $\text{PCO}_2$
  - Presence of obesity
    - BMI  $> 30$  kg/m<sup>2</sup>
    - $> 95$  percentile for age and sex for children
  - Hypoventilation is not primarily due to other etiologies
    - Lung disease, chest wall disorders (other than mass loading from obesity), medication use, neurologic disorders, muscle weakness, congenital disease, or idiopathic central alveolar hypoventilation syndrome
- Alternate names:
  - Hypercapnic sleep apnea
  - Sleep related hypoventilation associated with obesity

# Obesity Hypoventilation Syndrome (OHS)

- Most patients have coexisting OSA
  - 90% with AHI  $\geq 5$
  - 10% pure sleep related hypoventilation
- Actual prevalence not well defined
- Increased risk for cardiovascular morbidity, hospitalizations and death vs eucapnic OSA or eucapnic obese
- Risk factors for hypercapnea:
  - More severe OSA (AHI, % of TST  $< 90\%$ )
  - Greater lung restriction
  - Greater BMI
    - $\approx 50\%$  of individuals with a BMI  $> 50$  m/kg<sup>2</sup> have OHS

# OHS Summary

- OHS is under-recognized and is a diagnosis of exclusion
- ABG currently required for diagnosis and initiation of bilevel PAP therapy with a backup rate
- $\text{HCO}_3^- > 27$  mmol/L may be helpful in identifying patients with chronic hypercapnea
- HST not indicated for diagnosis
- Best PAP treatment is not clear:
  - May be effectively treated with CPAP, bilevel therapy with or without a back up rate or AVAPS
  - APAP and ASV therapy have no role
- Oxygen should not be primary therapy for OHS and should only be used after PAP therapy maximized
  - Reevaluate need for oxygen based on clinical improvement

# Case

- 72 year old female presents with complaints of dyspnea on exertion and a longstanding morning cough productive of sputum.
- She notes intermittent awakenings as well as some sleep maintenance insomnia.
- She denies snoring, witnessed apneas or RLS symptoms.
- Epworth = 11
- PMHx: HTN
- MEDS: Metoprolol QD and albuterol prn

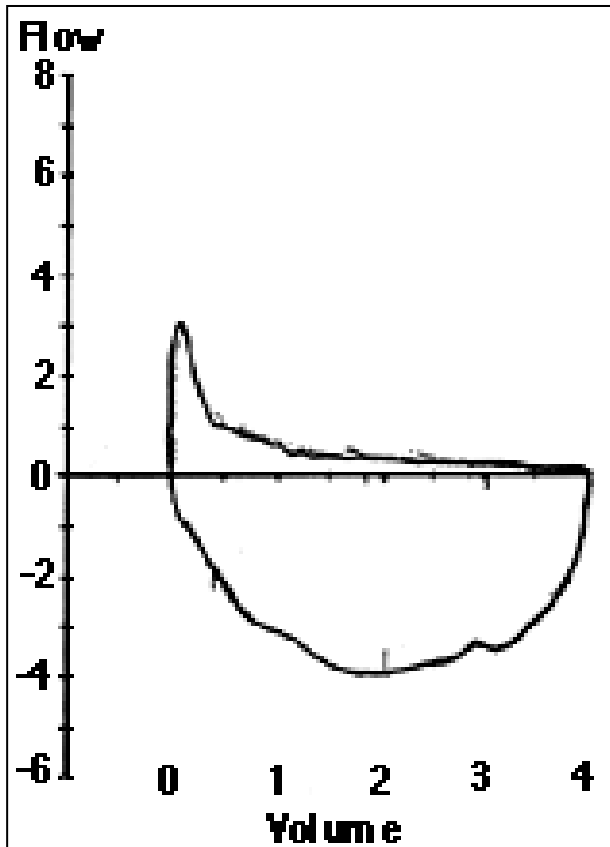
# Case

- Physical exam:
  - BMI 24 kg/m<sup>2</sup>
  - Resting room air pulse ox 92%
  - Diminished breath sounds bilaterally
  - Distant heart sounds
  - 1+ LE edema bilaterally
  - Remainder of exam normal



# Case

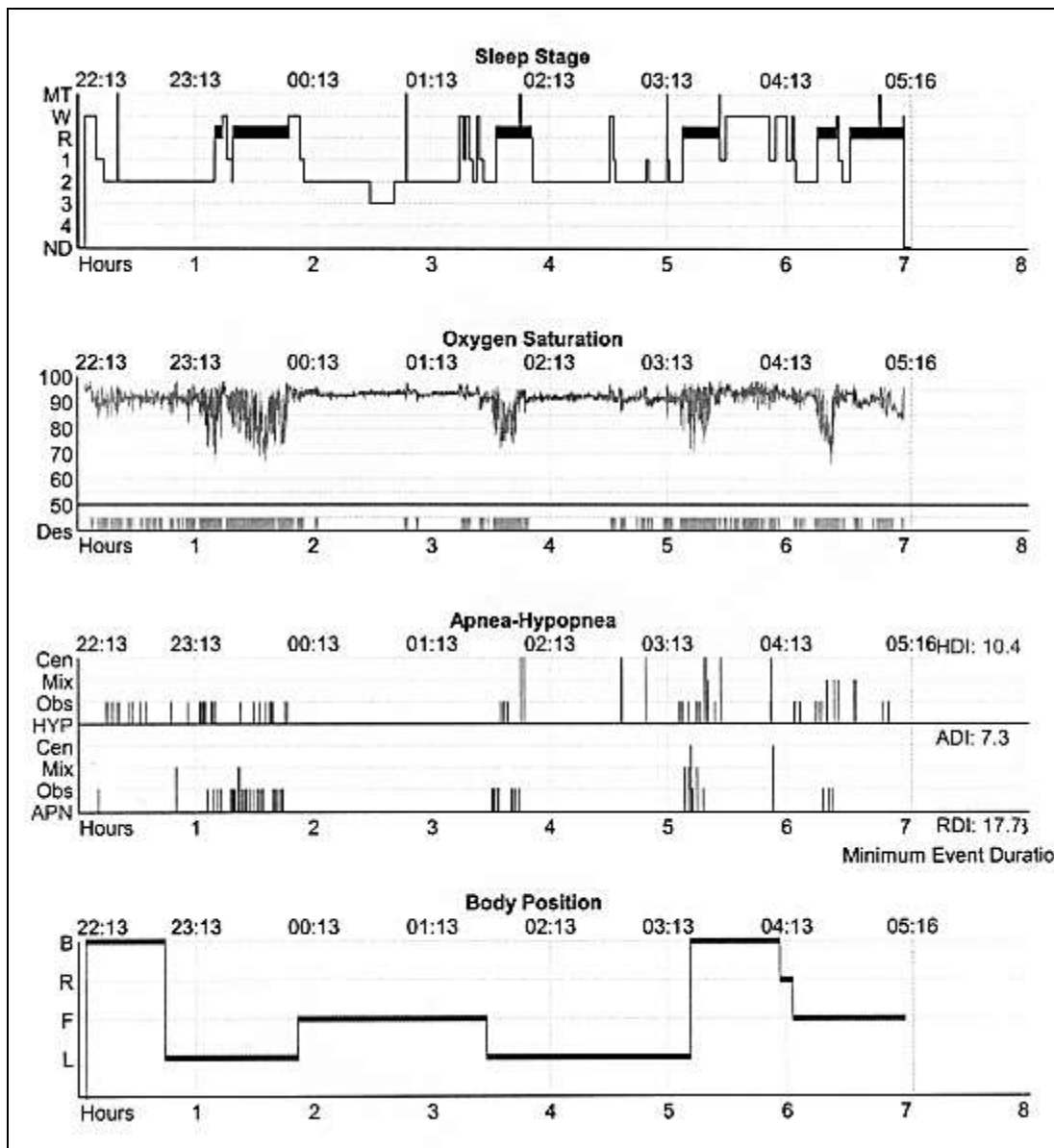
Flow Volume Loop



Chest Xray



ABG (RA): Ph 7.40,  $PCO_2$  43,  $PO_2$  68,  $HCO_3$  25



PSG AHI = 17

# Question

Based on the information provided, which one of the following treatments has been associated with improved survival?

- A) Bilevel S
- B) Oxygen
- C) CPAP
- D) Bilevel VAPS

# Answer

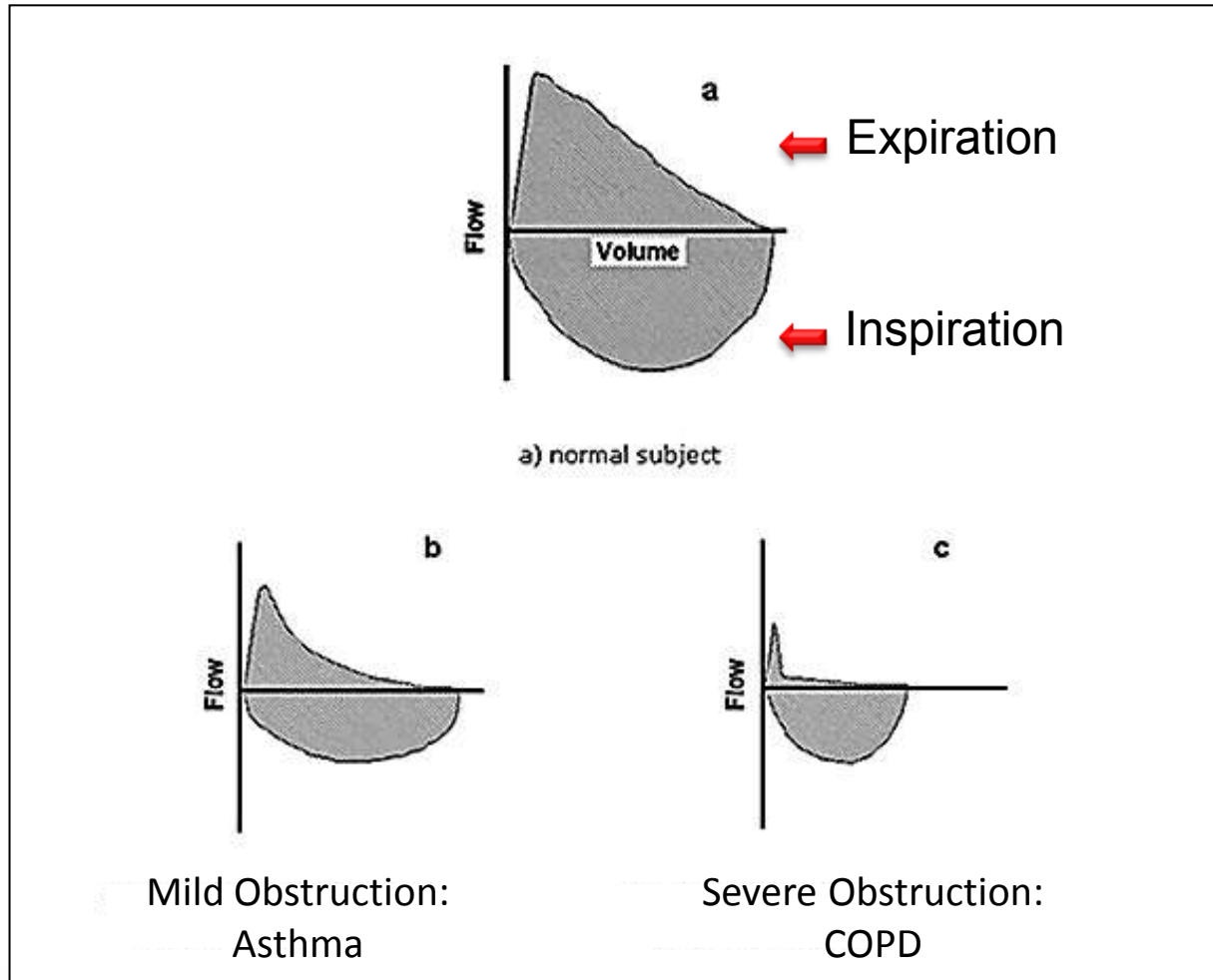
Based on the information provided, which one of the following treatments has been associated with improved survival?

- A) Bilevel S
- B) Oxygen
- C) CPAP**
- D) Bilevel VAPS

# Chronic Obstructive Pulmonary Disease (COPD)

- Definition:
  - Chronic obstructive lung disease
  - Post bronchodilator FEV1/FVC < 70%
- Chronic bronchitis:
  - Chronic productive cough for three months in each of two successive years in a patient in whom other causes of chronic cough have been excluded
- Emphysema:
  - Emphysema is defined by abnormal and permanent enlargement of the airspaces that are distal to the terminal bronchioles. This is accompanied by destruction of the airspace walls, without obvious fibrosis
- Asthma (chronic obstructive)

# Flow Volume Loops: Normal and Degrees of Obstruction



# COPD Treatment

## Reduce Symptoms:

Inhalers  
Oral agents  
Pulmonary rehab  
Oxygen  
LVRS  
Transplant

## Reduce Risk:

Vaccines  
Tobacco cessation  
Pulmonary rehab  
Antibiotics/oral steroids PRN  
Oxygen  
CPAP with OSA  
? NIV: Bilevel or VAPS

# COPD Treatment: Oxygen

- Improves survival in patients with resting hypoxemia (PaO<sub>2</sub> ≤ 60 mm Hg)
  - More (duration) = Better outcomes
    - Nocturnal Oxygen Therapy Trial (NOTT)
    - Medical Research Council (MRC) Trial
- No data to support improved survival in patients with normal resting oxygen saturations
  - Ambulatory oxygen:
    - Improves post exercise dyspnea and fatigue domain of QOL
    - No evidence that ambulatory oxygen improves exercise capacity or survival
  - Nocturnal oxygen:
    - Role unclear
    - No data to support improved survival



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## A Randomized Trial of Long-Term Oxygen for COPD with Moderate Desaturation

The Long-Term Oxygen Treatment Trial Research Group\*

- In patients (n = 738) with stable COPD and resting (89% to 93%) or exercise-induced moderate desaturation ( $\geq 80\%$  to  $< 90\%$ ), the prescription of long-term supplemental oxygen:
  - Did **not** result in a longer time to death or first hospitalization than no long-term supplemental oxygen
  - Nor did it provide sustained benefit with regard to any of the other measured outcomes (QOL, depression, 6 MW, COPD exacerbations)

# Overlap Syndrome

- Coexistence of COPD and OSA
- Prevalence of OSA similar to general population
- Associated with lower nocturnal mean oxygen saturations and lower oxygen desaturations compared to COPD patients without OSA
- Increased risk of death and severe COPD exacerbation leading to hospitalization if OSA untreated compared to group without concomitant OSA
- Risks of death or hospitalization reduced with CPAP treatment
  - Outcomes no different than COPD group alone
  - Improved CPAP adherence = Better survival
  - Older age associated with reduced survival

# Patients with COPD are Not Candidates for APAP

- **Clear Candidates**

- Uncomplicated moderate to severe OSAS

- **Unclear Groups**

- REM-related OSAS
- Position dependent
- High pressures (>10)
- CPAP intolerant

- **Not APAP Candidates**  
(AASM Standard)

- Congestive heart failure
- COPD and chronic lung disease
- Obesity hypoventilation syndrome
- Other hypoventilation syndromes
- Lack of snoring

# Is There A Role for Nocturnal NIPPV in Patients with COPD?

# Does Nocturnal NIPPV Improve Meaningful Outcomes in Stable COPD?

- Cochrane Database Review (2013): Limited data suggest no benefit on meaningful outcomes:
  - 7 RCTs with 245 patients used for  $\geq 3$  months in hypercapnic patients with stable COPD
    - Gas exchange
    - Exercise tolerance (6MWD)
    - HRQOL
    - Lung function or respiratory muscle strength
    - Sleep efficiency
  - 2 studies with up to 12 month follow up demonstrated similar outcomes

# Nocturnal NIPPV May Improve Survival in Stable Hypercapnic COPD

- **Methods:**
  - 195 patients with stable GOLD stage IV,
  - $\text{PCO}_2 > 52$  mm Hg and  $\text{pH} > 7.35$
  - NIV targeted to reduce  $\text{PCO}_2$  by 20% or  $< 48$  mm Hg
  - Randomized to NIPPV or medical therapy
  - Outcome: 12 month all-cause mortality
- **Results: Mortality reduced in NIPPV group**
  - Mortality 12% in NIPPV group vs 33% in control group
  - HR = 0.24 ( $p = 0.0004$ )
- **Conclusions:**
  - The addition of long-term NIPPV to standard treatment improves survival of patients with hypercapnic, stable COPD when NIPPV is targeted to reduce hypercapnia

# Effect of Home Noninvasive Ventilation With Oxygen Therapy vs Oxygen Therapy Alone on Hospital Readmission or Death After an Acute COPD Exacerbation

- Methods:
  - RCT of pts with COPD with persistent hypercapnea ( $\text{PaCO}_2 > 53$  mm Hg, resting hypoxemia and a  $\text{pH} > 7.30$ ), 2 to 4 weeks after an acute exacerbation of COPD
  - Randomized 1:1 NIV with  $\text{O}_2$  vs  $\text{O}_2$  alone
- Results:
  - N = 116 (2021 screen/1905 excluded)
    - 53% female, FEV1 = 0.6L,  $\text{PaCO}_2 = 59$  mm Hg
  - Median NIV settings (IPAP 24, EPAP 5, rate 14)
  - 12 month risk of readmission or death was 63.4% in NIV vs 80% in  $\text{O}_2$
  - Deaths: 16 in NIV +  $\text{O}_2$  vs 19 in  $\text{O}_2$  alone
- Conclusions:
  - NIV plus oxygen may prolong the time to readmission or death within 12 months of a COPD exacerbation with in patients with persistent hypercapnea

# Is There A Role for Nocturnal NIPPV in Patients with Stable COPD?

- No role for patients without hypercapnea
- Role for patients with stable COPD and hypercapnea unclear:
  - Conflicting results based on limited data
  - NIPPV targeted to reduced PaCO<sub>2</sub> may improve survival
  - Role of VAPs vs Bilevel S or ST unclear



# Answer

Based on the information provided, which one of the following treatments has been associated with improved survival?

- A) Bilevel S
- B) Oxygen
- C) CPAP**
- D) Bilevel VAPS

# Treating COPD and Outcomes

- Most treatments improve symptoms and functional capacity, but not mortality
- Treatments:
  - Oxygen improves survival in COPD with resting hypoxemia
  - CPAP improves survival and decreases exacerbations and hospitalizations in those with the overlap syndrome
    - Better outcomes associated with greater CPAP adherence
  - NIPPV targeted to reduce PaCO<sub>2</sub> may improve survival in patients with stable COPD and hypercapnea (PaCO<sub>2</sub> > 52 mm Hg)
  - Current data does not support the use of chronic NIPPV in patients with COPD to reduce readmissions or improve survival after an acute episode of acute respiratory failure

# Case

- A 10 year old previously healthy female has recently undergone an outpatient surgery with general anesthesia.
- Post operatively she is difficult to extubate due to persistent hypoxemia and hypercapnea.
- After extubation she demonstrates recurrent sleep related hypoventilation requiring nocturnal ventilatory support.
- FH: Her younger brother requires ventilatory support at night.
- PE: Normal BMI and neurologic exam

# Question

Which one of the following is the most likely etiology for patients respiratory abnormalities?

- A) Late onset hypoventilation with hypothalamic dysfunction
- B) Idiopathic central alveolar hypoventilation
- C) Congenital central alveolar hypoventilation syndrome
- D) Sleep related hypoventilation due to substance or drug

# Answer

Which one of the following is the most likely etiology for patients respiratory abnormalities?

- A) Late onset hypoventilation with hypothalamic dysfunction
- B) Idiopathic central alveolar hypoventilation
- C) Congenital central alveolar hypoventilation syndrome**
- D) Sleep related hypoventilation due to substance or drug

# Congenital Central Alveolar Hypoventilation Syndrome (CCHS)

- ICSD 3 diagnostic criteria:
  - Presence of sleep related hypoventilation
  - Mutation of the *PHOX2B* gene is present
    - Autosomal dominant
    - Poly-alanine repeat expansion mutations (PARMs)
- Also known as congenital central hypoventilation syndrome
  - Previously known as Ondine's curse
- Other:
  - Daytime CO<sub>2</sub> may be elevated or normal
  - Hypoventilation most severe in NREM > REM > Wake

# Congenital Central Alveolar Hypoventilation Syndrome for the Boards

- Typically presents at birth or in early childhood with sleep related hypoventilation
- Severity of illness determined by *PHOX2B* mutation type:
  - More poly-alanine repeats = More severe disease
- May present in adulthood with respiratory failure after anesthesia or a respiratory illness
  - Typically due to a mild mutation of the *PHOX2B* gene
- Associated with Hirschspung's disease, neural crest tumors and autonomic dysfunction
- Increased risk for neuroblastoma
- Treatment:
  - Ventilatory support at night
  - Case reports of diaphragmatic pacing

# Late-Onset Central Hypoventilation with Hypothalamic Dysfunction

- Diagnostic Criteria:
  - Presence of sleep related hypoventilation
  - Symptoms absent during the first few years of life
  - At least 2 of the following:
    - Obesity
    - Endocrine abnormalities of hypothalamic origin
    - Severe emotional and behavioral disturbances
    - Tumor of neural origin
      - 40% with neural crest tumors
  - Mutation of *PHOX2B* is not present
  - Not better explained by other disorders
- Also known as ROHHAD:
  - Rapid-onset obesity with hypothalamic dysfunction, hypoventilation, and autonomic dysregulation
- Treatment: Ventilatory support



# Rationale

- CHHS is the most likely etiology given the + family history of sleep related hypoventilation and onset with exposure to anesthesia
- Late onset central hypoventilation (ROHHAD) is unlikely given the absence of obesity and endocrine dysfunction.
- Idiopathic central alveolar hypoventilation is possible, but is less likely
- Sleep related hypoventilation due to drug or substance is unlikely given the persistence of symptoms after the exposure to anesthesia has been completed

Thank You and Good Luck

# Question

Which of the following is true of narcotic-induced central apnea?

- A) Independent of dose of narcotic
- B) Generally resolves with ongoing narcotic therapy
- C) ASV bilevel therapy is the treatment of choice
- D) Most commonly associated with methadone

# Answer

Which of the following is true of narcotic-induced central apnea?

- A) Independent of dose of narcotic
- B) Generally resolves with ongoing narcotic therapy
- C) ASV bilevel therapy is the treatment of choice
- D) Most commonly associated with methadone**

# CSA due to Medication or Substance: ICSD 3 Diagnostic Criteria

- The patient is taking an opioid or other respiratory depressant
- The presence of 1 or more of the following:
  - Sleepiness
  - Difficulty initiating or maintaining sleep, frequent awakenings or non-restorative sleep
  - Awakening short of breath
  - Snoring
  - Witnessed apneas
- PSG shows CSA fulfilling AASM scoring criteria without evidence of Cheyne Stokes breathing
- Occurs as a consequence of an opioid or other respiratory suppressant
- Not better explained by another sleep disorder

# CSA due to Medication or Substance

- Opioid related sleep disordered breathing:
  - Central apneas including Biot's pattern
  - Prolonged obstructive hypoventilation
  - Obstructive apneas and hypopneas
  - Mixed pattern of sleep disordered breathing
- Most commonly associated with long acting opioids, methadone most common
- Dose dependent relationship with narcotics
- Typically does not resolve spontaneously
  - Natural history not well defined
- Optimal treatment not clear
  - May respond best to a reduction in dose of opioids
  - ASV bilevel treatment data inconsistent

# Case

An 85 year old male presents to the ER with altered mental status and an oxygen saturation of 86% on room air. His room air (RA) ABG demonstrates the following:

- Ph: 7.24
- PCO<sub>2</sub>: 60 mm Hg
- PaO<sub>2</sub>: 58 mm Hg
- HCO<sub>3</sub>: 24 mmol/L

# Question

Which one of the following is the most likely etiology that explains the patient's hypoxemia?

- A) Aspiration pneumonia
- B) Congestive heart failure
- C) Narcotic overdose
- D) Pulmonary embolism



# Answer

Which one of the following is the most likely etiology that explains the patient's hypoxemia?

- A) Aspiration pneumonia
- B) Congestive heart failure
- C) Narcotic overdose**
- D) Pulmonary embolism

# Calculate A – a Gradient

- A – a (alveolar to arterial) oxygen gradient
  - A- a gradient =  $PAO_2 - PaO_2$ 
    - $PaO_2$  measured by blood gas
    - $PAO_2$  is calculated using the alveolar gas equation
    - Simplified alveolar gas equation for the sleep boards:
      - $PAO_2 = FiO_2 (713) - PCO_2/0.8$
      - On room air with a  $PCO_2$  of 40,  $PAO_2$  should = 100 mm Hg
        - »  $.21(713) - 40/0.8 = 150 - 50 = 100$
- Normal A-a gradient:
  - Age dependent and increases with age
  - Normal 5 -10 mm Hg in young adults
  - Increases by 1 mm Hg for each decade of life

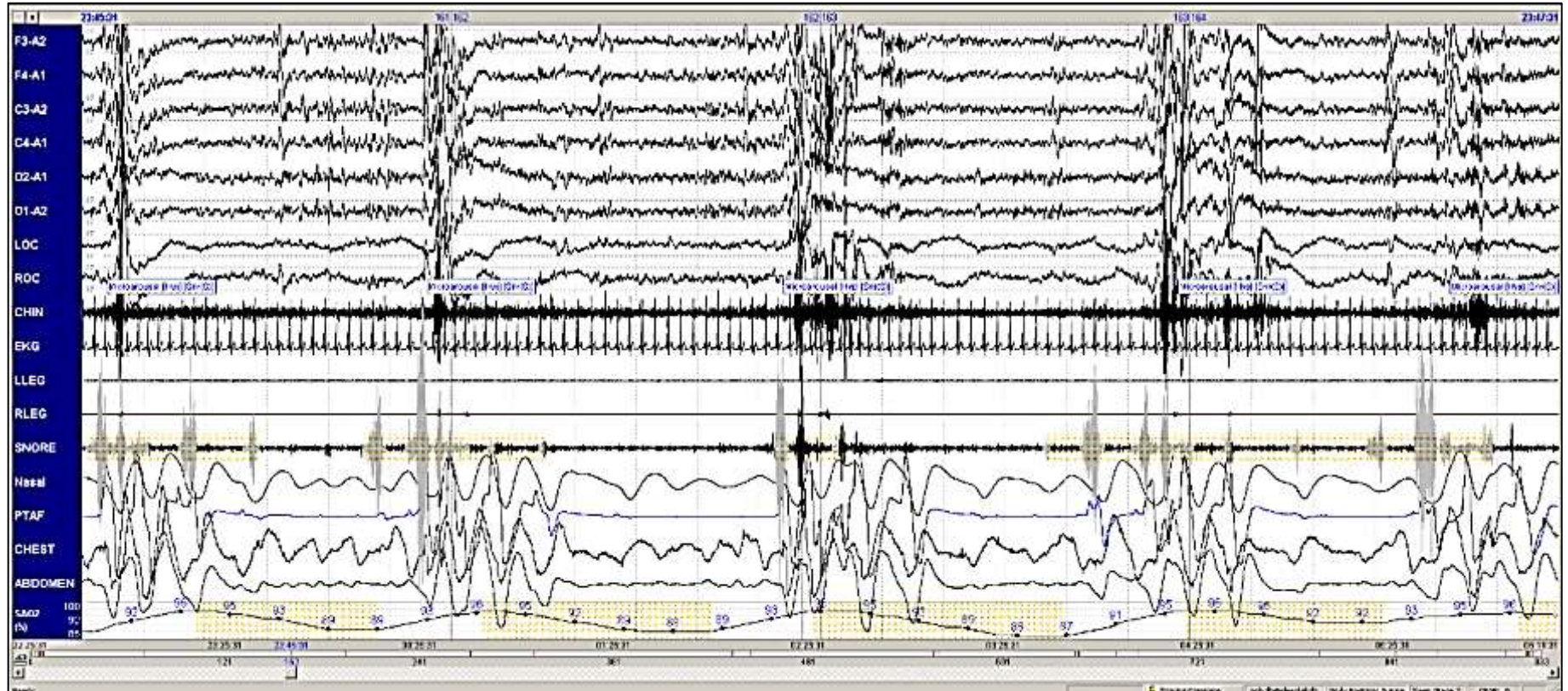
# Case Rationale

- Alveolar gas equation:
- Calculate A – a gradient:
  - $PAO_2: FiO_2 (713) - PCO_2/0.8$ 
    - $PAO_2 = 150 - 75 = 75$  mm Hg
  - $PaO_2 = 58$  mm Hg
  - $PAO_2 - PaO_2 = 17$  mm Hg (Relatively normal for age)
- A – a gradient is relatively normal for age, thus a narcotic overdose leading to hypoventilation is the most likely etiology

# Case

- A 55 year old male with a history of CHF (EF 35%) presents with symptoms of frequent awakenings and daytime sleepiness.
- His wife notes intermittent snoring and periods of apnea.
- Medications: Carvedilol, valsartan, furosemide, digoxin, potassium
- Exam: No distress, mallampati score of 3, lungs clear and 1+ bilateral LE edema
- An overnight attended PSG demonstrated the following:

# Now the PSG Demonstrates the Following:



2 Minute Epoch

# Question

Which of the following would be the best initial treatment for this patient?

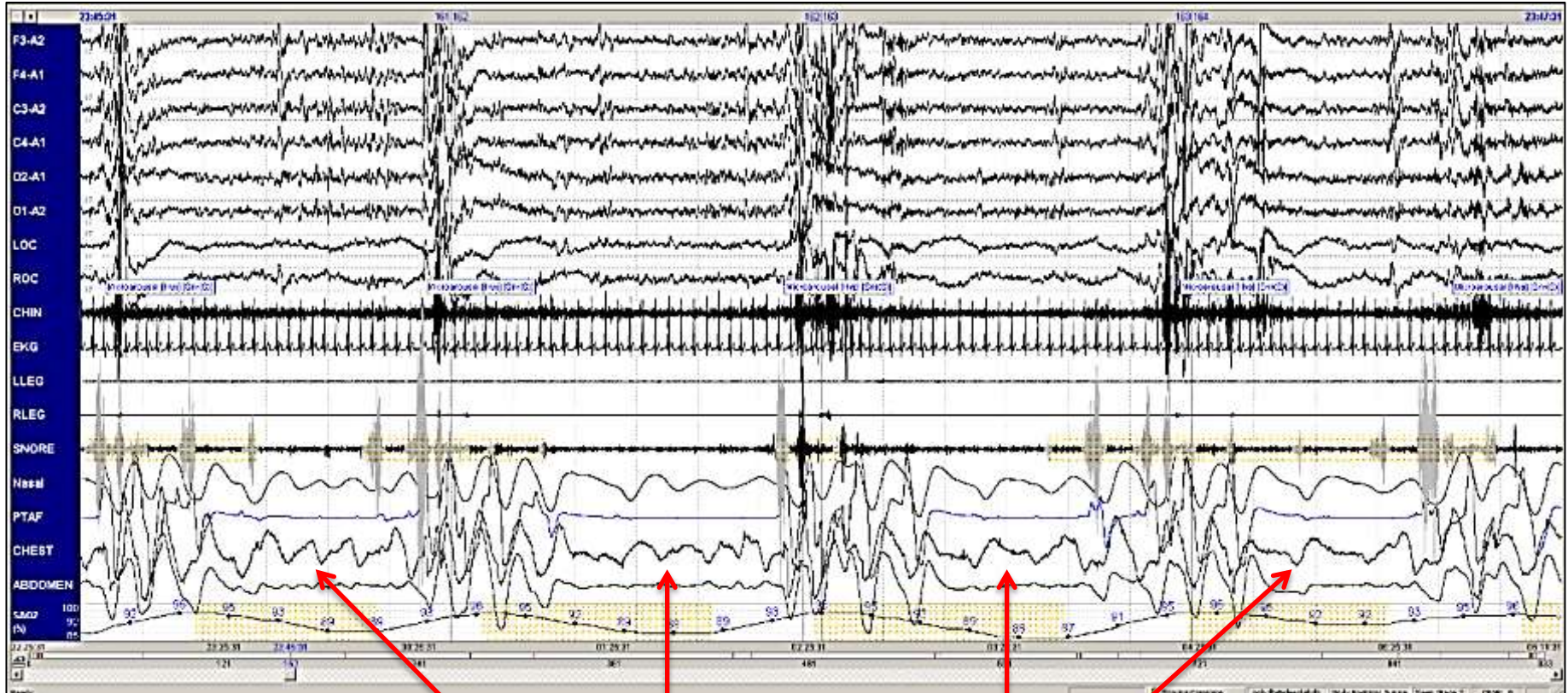
- A) CPAP
- B) Oxygen
- C) Adaptive servo ventilation (ASV) Bilevel
- D) Ace inhibitor

# Answer

Which of the following would be the best initial treatment for this patient?

- A) CPAP**
- B) Oxygen
- C) Adaptive servo ventilation (ASV) Bilevel
- D) Ace inhibitor

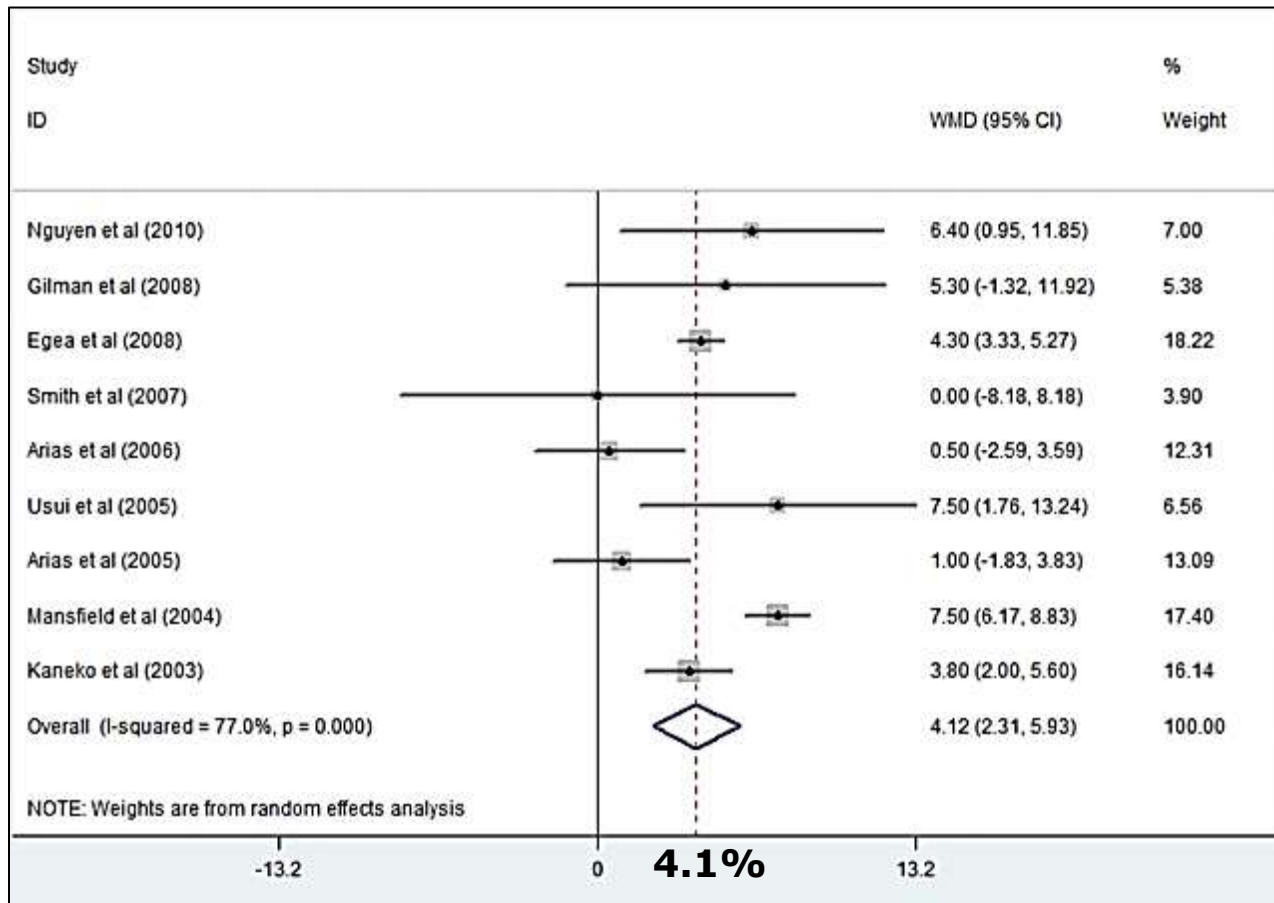
# The PSG Demonstrates OSA



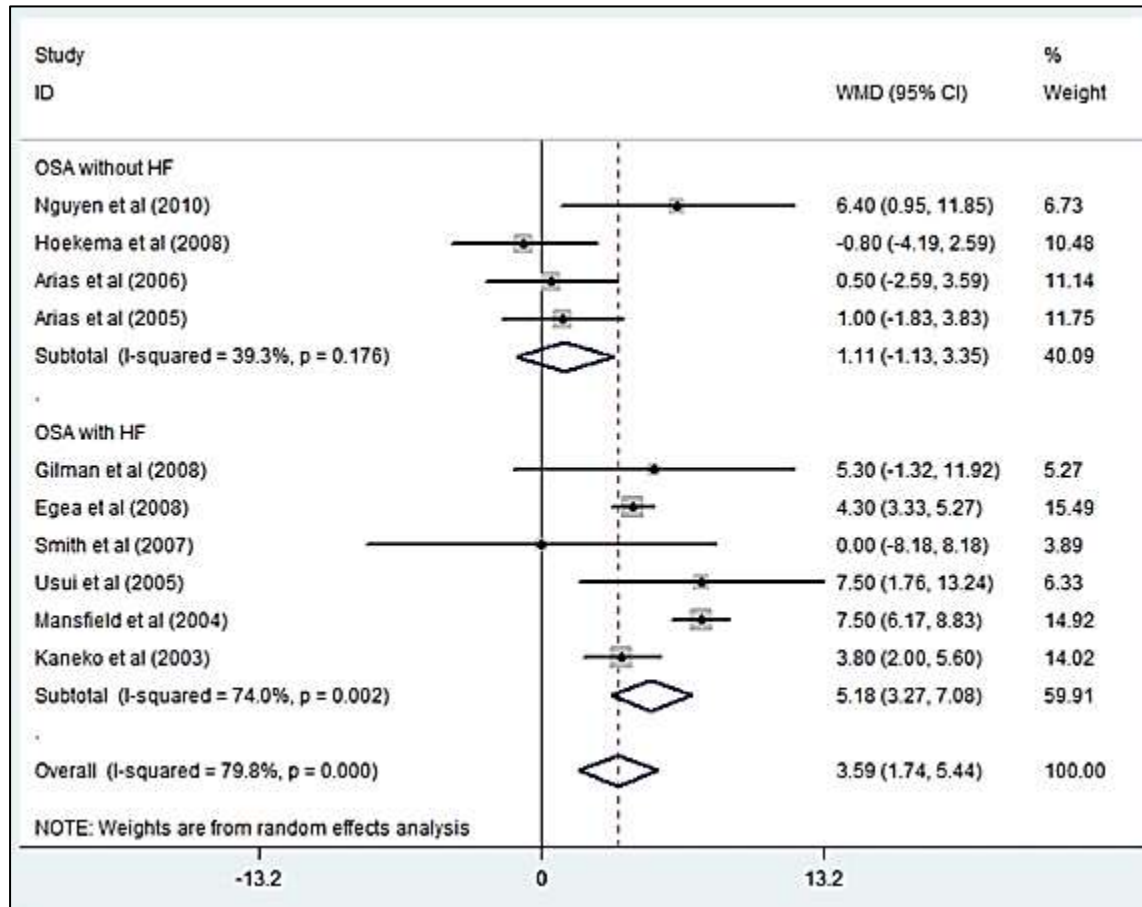
Recurrent Obstructive Hypopneas



# CPAP Improves LVEF in Patients with CHF & OSA



# LVEF Improvements Most Pronounced in Patients with Reduced LVEF at Baseline



Diastolic CHF:  
1% improvement in LVEF with CPAP

Systolic CHF:  
5% improvement in LVEF with CPAP

\*\*\*CPAP not associated with reductions in LVEF



# Preparing for Sleep Medicine Certification 2017

**Part I:** Everything you need to know about sleep apnea

– Neil Freedman MD

**Part II:** Everything else

– Teofilo Lee-Chiong MD

SLEEP 2017  
Boston, MA  
June 6, 2017

# Nearly Everything else in one hour

Teofilo Lee-Chiong MD  
Professor of Medicine  
National Jewish Health  
Professor of Medicine  
University of Colorado  
Chief Medical Liaison  
Philips SRC

# Disclosure



**Research funding:** Philips Respironics

**Consulting:** Elsevier, CareCore National

**Chief Medical Liaison:** Philips Respironics

**Royalties:** Oxford, Lippincott, Elsevier, Wiley, CreateSpace

I will not be discussing off-label uses

**B**iology

**P**hysiology

**T**esting

**P**opulations

**M**edications

**D**isorders

# The **story** of wake neurons



# Main characters

Glutamate

Dopamine

Hypocretin

Norepinephrine

Serotonin

Histamine

Acetylcholine

The **story** of wake  
neurons

# Main characters

# The **story** of wake neurons

Glutamate

Main CNS excitatory  
neurotransmitter

Dopamine

Hypocretin

Norepinephrine

Serotonin

Histamine

Acetylcholine

# Main characters

# The **story** of wake neurons

Glutamate

Main CNS excitatory  
neurotransmitter

Dopamine

**“Going-to-mate” is exciting**

Hypocretin

Norepinephrine

Serotonin

Histamine

Acetylcholine

# Main characters

# The **story** of wake neurons

Glutamate

**Dopamine** Dope to get high

**Hypocretin**

Norepinephrine

Serotonin

Histamine

Acetylcholine

# Main characters

Glutamate

Dopamine

Hypocretin

**Norepinephrine**

**Serotonin**

**Histamine**

Acetylcholine

The **story** of wake  
neurons

**N**ever **s**leep when **h**ungry

# Main characters

Glutamate

Dopamine

Hypocretin

Norepinephrine

Serotonin

Histamine

**A**cetylcholine Or **a**ngry

The **story** of wake  
neurons

# Main roles

## Motor activation

Acetylcholine  
Dopamine

## Alertness and attention

Acetylcholine  
Histamine  
Norepinephrine

## Emotional arousal

Dopamine  
Norepinephrine  
Serotonin

# Main locations

Glutamate Ascending reticular formation  
Excitatory = ascending



# Main locations

Glutamate Ascending reticular formation  
Excitatory = ascending

Dopamine Substantia nigra, vPAG  
Dope = substance

# Main locations

Glutamate    Ascending reticular formation  
Excitatory = ascending

Dopamine    Substantia nigra  
Dope = substance

Hypocretin    Hypothalamus (perifornical)  
Hypocretin = hypothalamus

# Main locations

Norepinephrine    Locus ceruleus  
No loco

# Main locations

Norepinephrine    Locus ceruleus  
No loco

Serotonin    Raphe nuclei  
Se raphim

# Main locations

Norepinephrine    Locus ceruleus  
No loco

Serotonin    Raphe nuclei  
Se raphim

Histamine    Tuberomammillary nucleus  
His mama

# The story

His mama sent an  
excited ascending  
seraphim so that he  
will do  
no loco such as  
dope substance  
to get high-high

# Wake neurotransmitters: clinical correlates

Dopamine	Agonists increase wakefulness – amphetamine Antagonists promote sleep – haloperidol
Histamine	
Catecholamine	
Stimulants	

# Wake neurotransmitters: clinical correlates

Dopamine	
Histamine	Receptor blockers cause sedation – diphenhydramine, low dose doxepin, low dose mirtazapine
Catecholamine	
Stimulants	



# Wake neurotransmitters: clinical correlates

Dopamine	
Histamine	
Catecholamine	Agonists increase arousal and wakefulness – isoproterenol
Stimulants	

# Wake neurotransmitters: clinical correlates

Dopamine	
Histamine	
Catecholamine	
Stimulants	Increase in dopamine and norepinephrine – amphetamine, cocaine and methylphenidate Increase in hypocretin and dopamine – modafinil and armodafinil

# The **photo** of sleep neurons

# The **photo** of sleep neurons

**G**A  
**A**denosine  
**G**lycine  
**A**cetylcholine



<http://veryverychic.typepad.com>

**G**AABA

Basal forebrain

**A**denosine

Basal forebrain

**G**lycine

**A**cetylcholine

Basal forebrain

GABA

Adenosine

Glycine

Acetylcholine

Basal forebrain, VLPO

Basal forebrain

Spinal cord

Basal forebrain, PPT/LDT

# Sleep neurotransmitters: clinical correlates

<b>GABA</b>	GABA-A receptor agonists cause sleepiness – benzodiazepines Alcohol facilitates GABA and inhibits glutamate – sedating at high doses
<b>Adenosine</b>	
<b>Acetylcholine</b>	

# Sleep neurotransmitters: clinical correlates

GABA	
Adenosine	Receptor blockers increase wakefulness and decrease EEG SWA – caffeine
Acetylcholine	



# Sleep neurotransmitters: clinical correlates

GABA	
Adenosine	
Acetylcholine	Agonists increase REM sleep – physostigmine Antagonists decrease REM sleep – tricyclic antidepressants

**Glutamate** Main CNS excitatory neurotransmitter

Glutamate Main CNS excitatory neurotransmitter

**GABA** Main CNS inhibitory neurotransmitter  
Main NREM neurotransmitter

Glutamate Main CNS excitatory neurotransmitter

GABA Main CNS inhibitory neurotransmitter  
Main NREM neurotransmitter

**Acetylcholine** Main REM sleep neurotransmitter

Glutamate Main CNS excitatory neurotransmitter

GABA Main CNS inhibitory neurotransmitter  
Main NREM neurotransmitter

Acetylcholine Main REM sleep neurotransmitter

**Glycine** Main spinal cord inhibitory  
neurotransmitter  
Responsible for REM muscle atonia

Glutamate Main CNS excitatory neurotransmitter

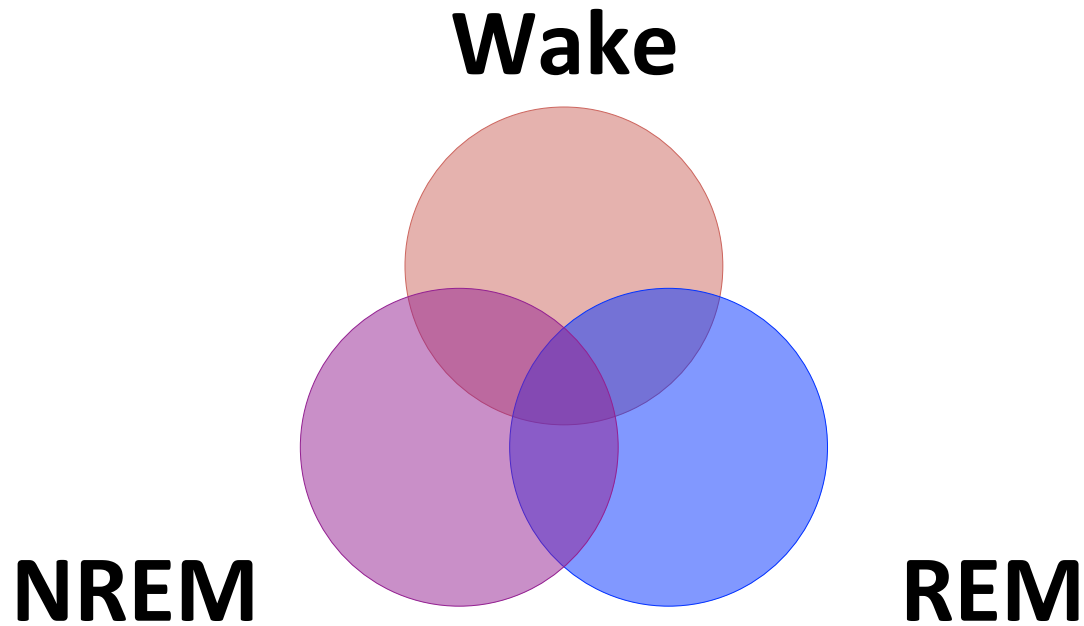
GABA Main CNS inhibitory neurotransmitter  
Main NREM neurotransmitter

Acetylcholine Main REM sleep neurotransmitter

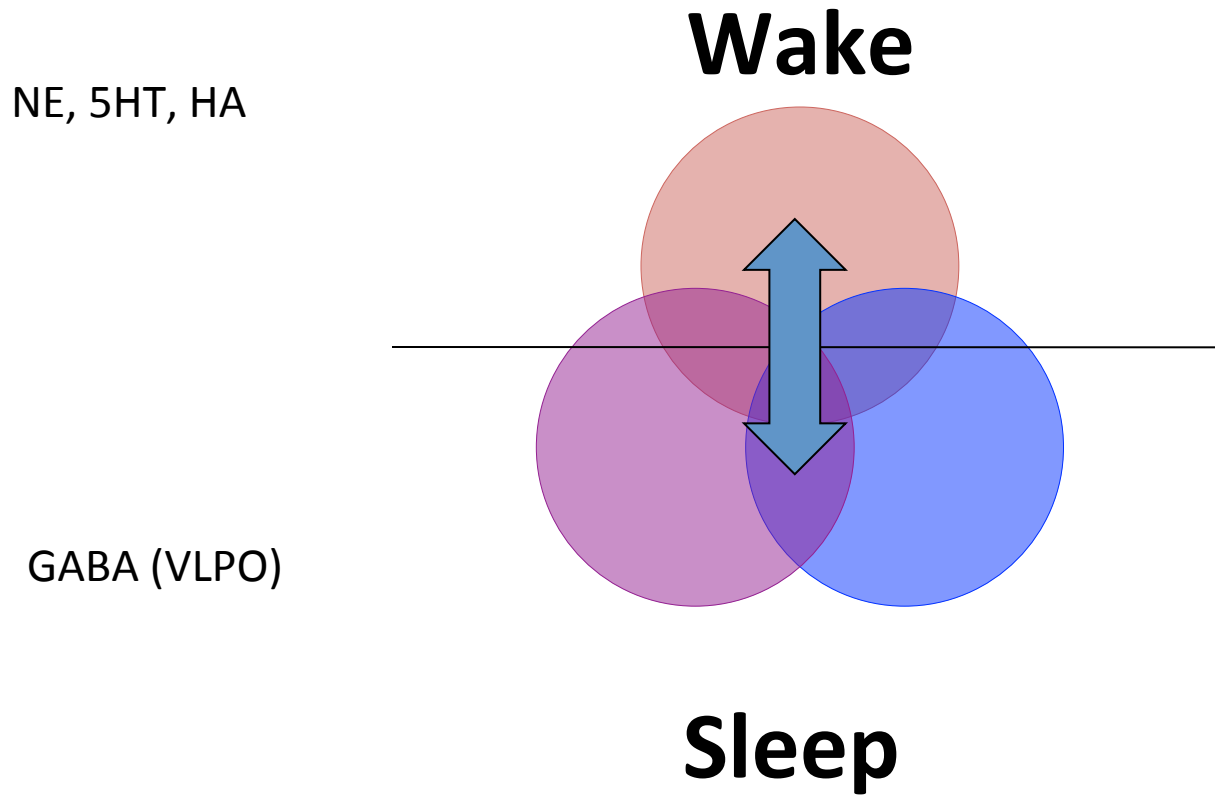
Glycine Main spinal cord inhibitory  
neurotransmitter  
Responsible for REM muscle atonia

**Adenosine** Responsible for homeostatic sleep drive

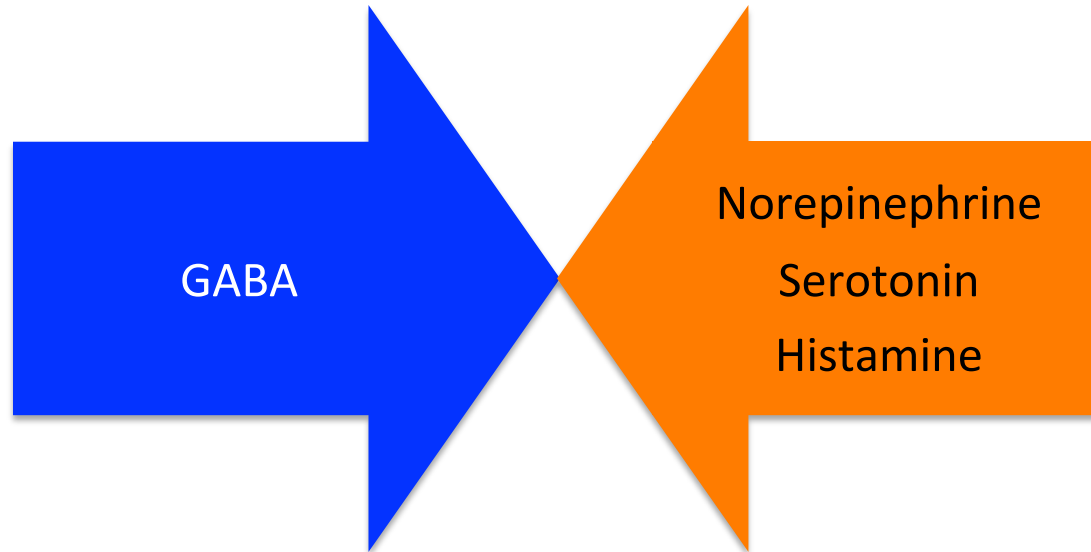
# Neurobiology of sleep



# Wake-Sleep Switch

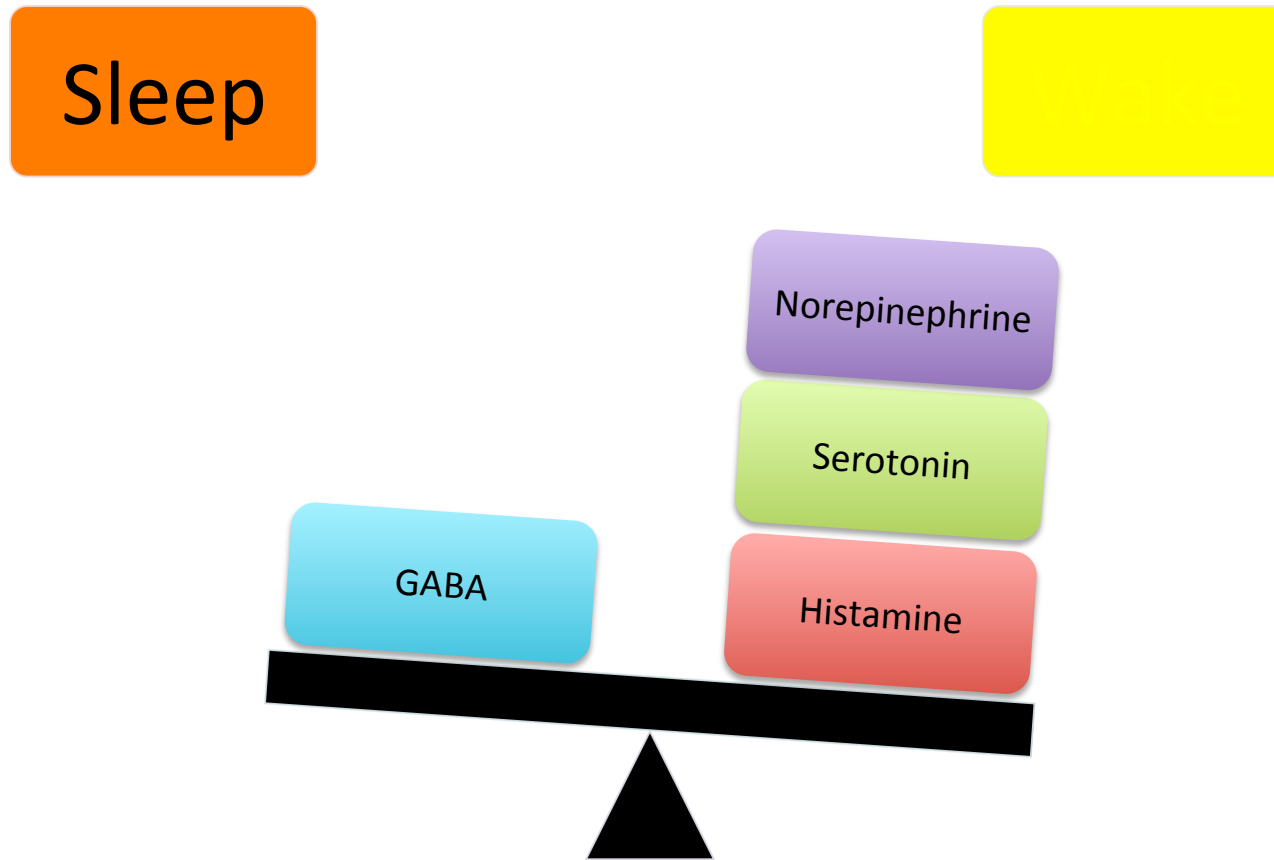






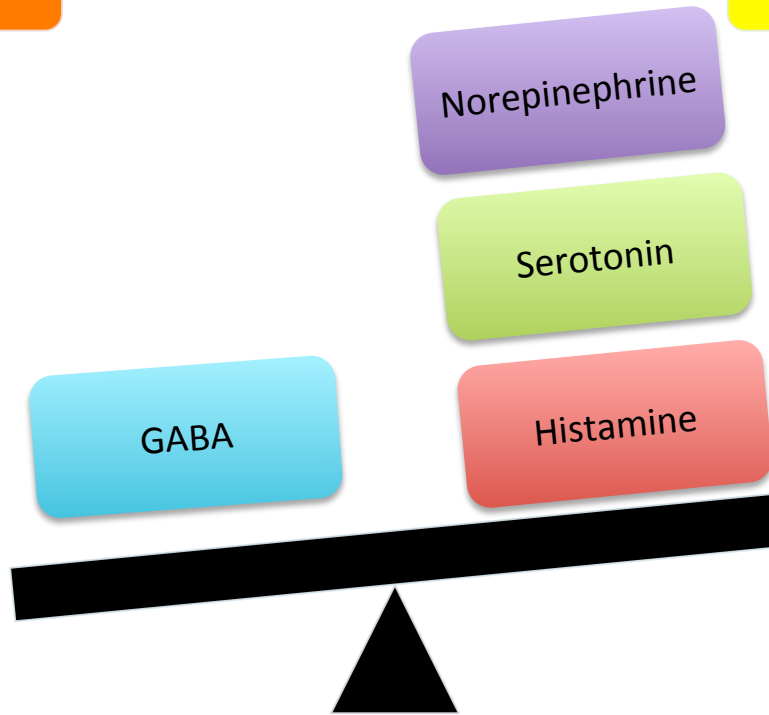
Bidirectional reciprocal inhibitory interactions  
between GABA (sleep) and N-S-H (wake)

# Activation of GABA neurons produces coordinated inhibition of arousal systems

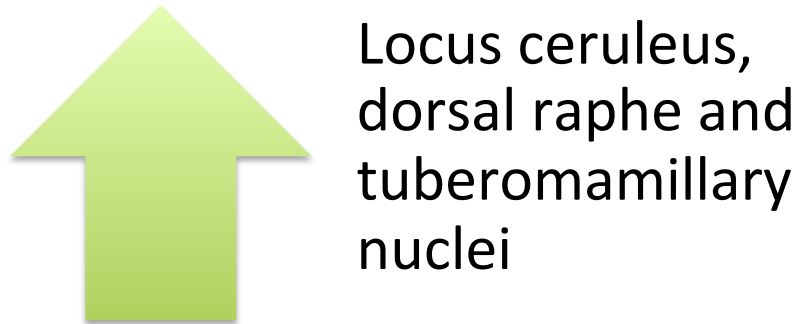
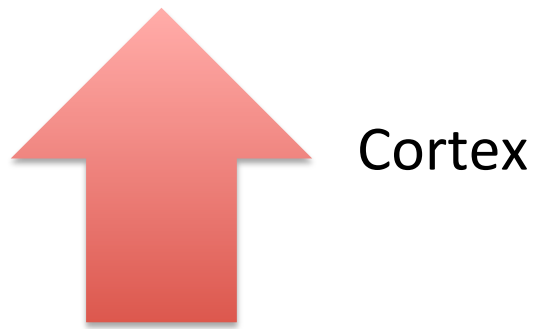


Sleep

Wake



Hypocretin neurons  
are active  
during wake





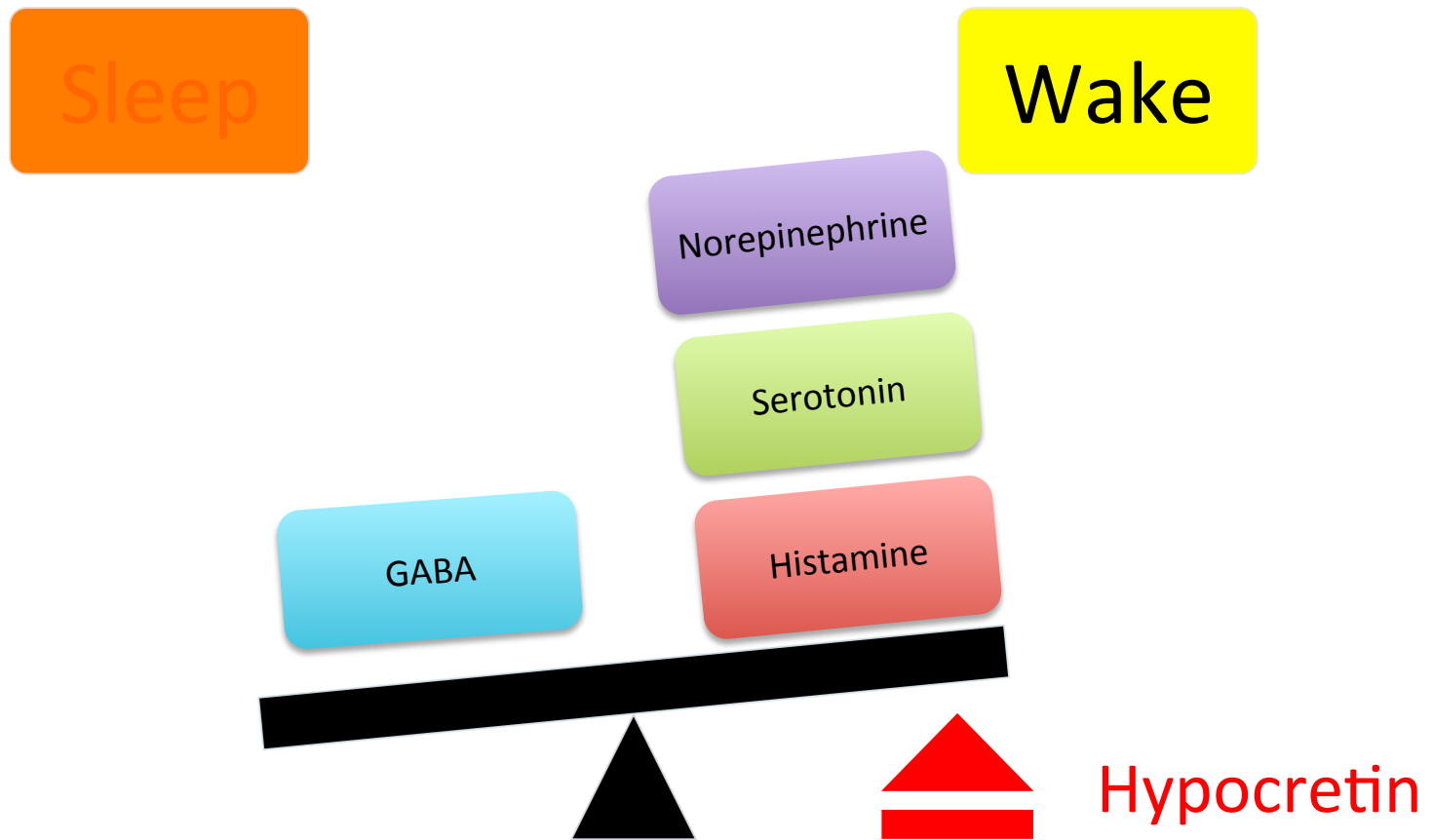
Cortex



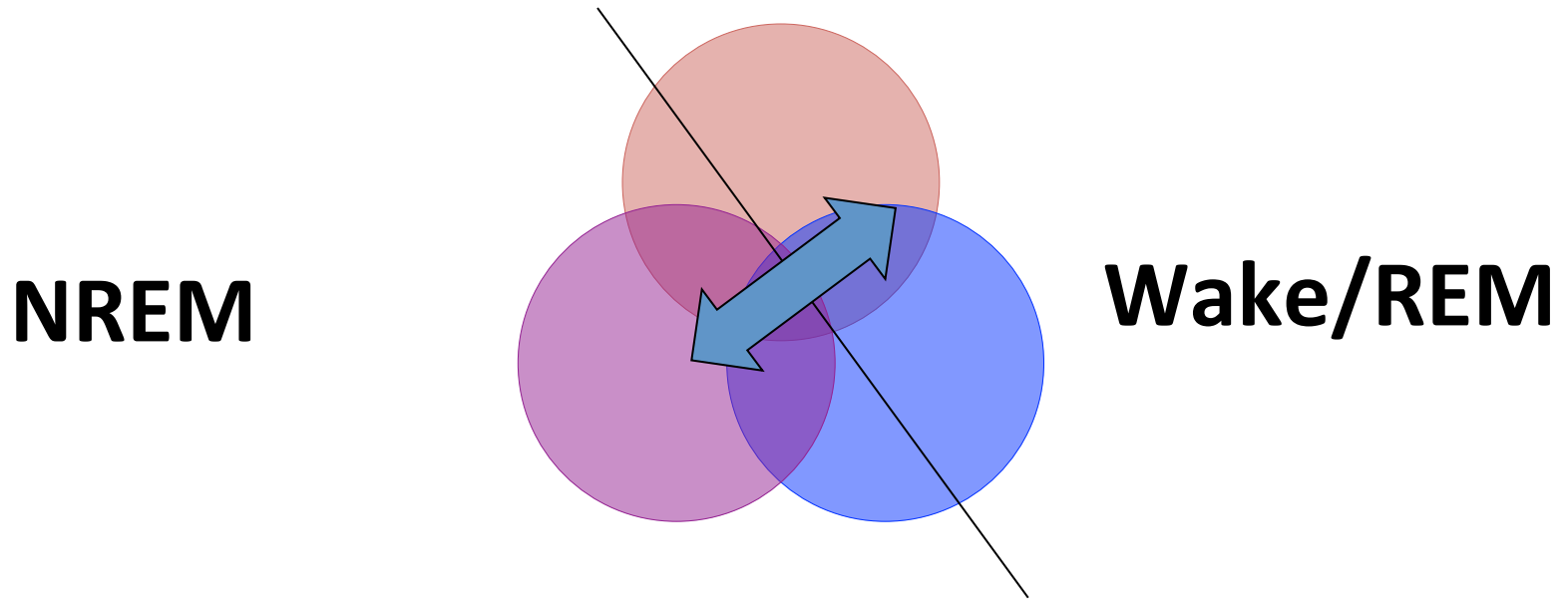
Locus ceruleus,  
dorsal raphe and  
tuberomamillary  
nuclei

Hypocretin neurons  
suppress REM sleep

# Hypocretin stabilizes the sleep-wake switch.



# Thalamocortical circuit switch



# Wake/REM sleep

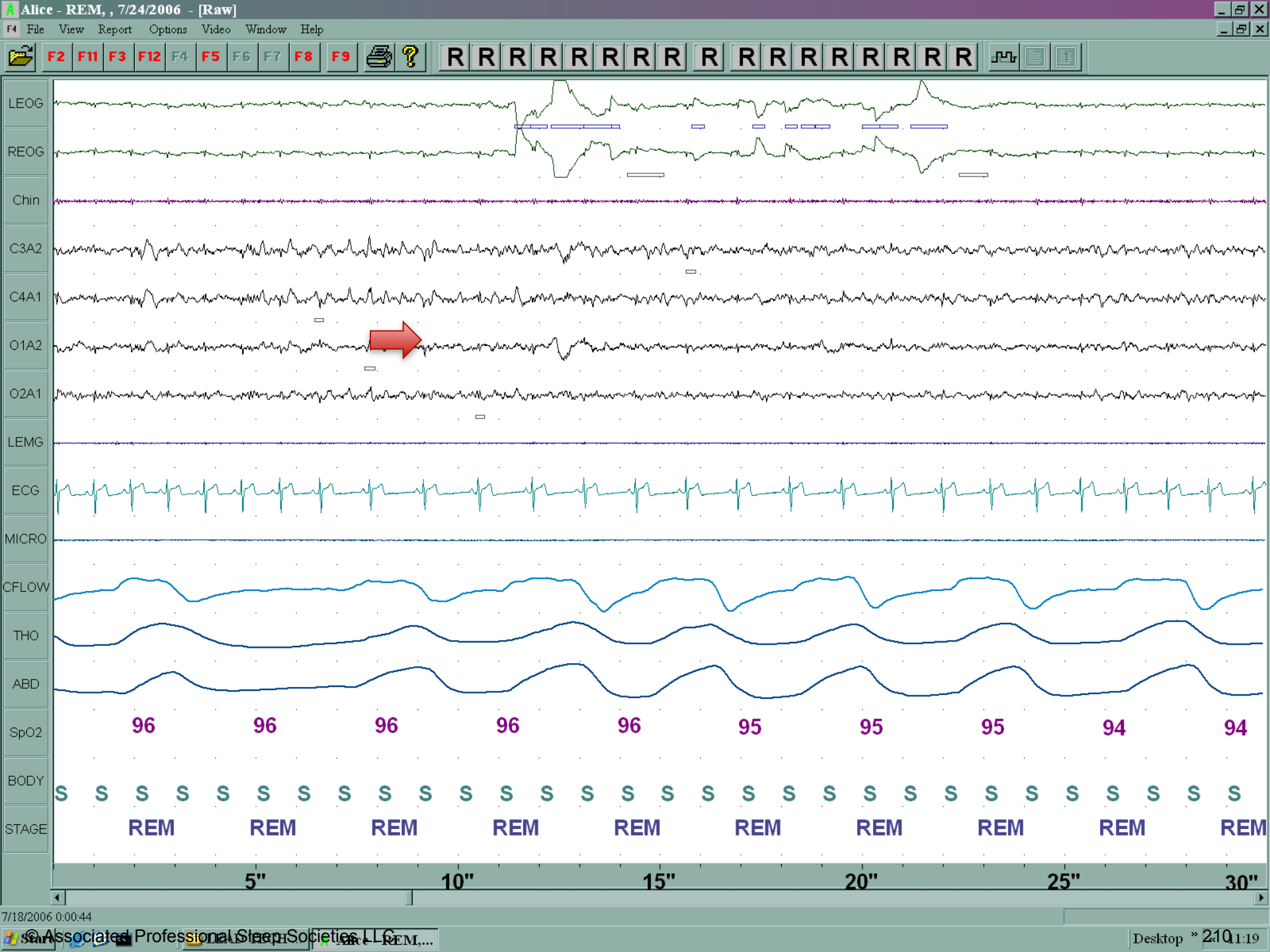
Presence  
of excitatory inputs  
(Ach, etc.)



**De**polarization  
(excitation) of  
thalamocortical  
neurons







Wake/REM sleep

Presence  
of excitatory inputs  
(Ach, etc.)



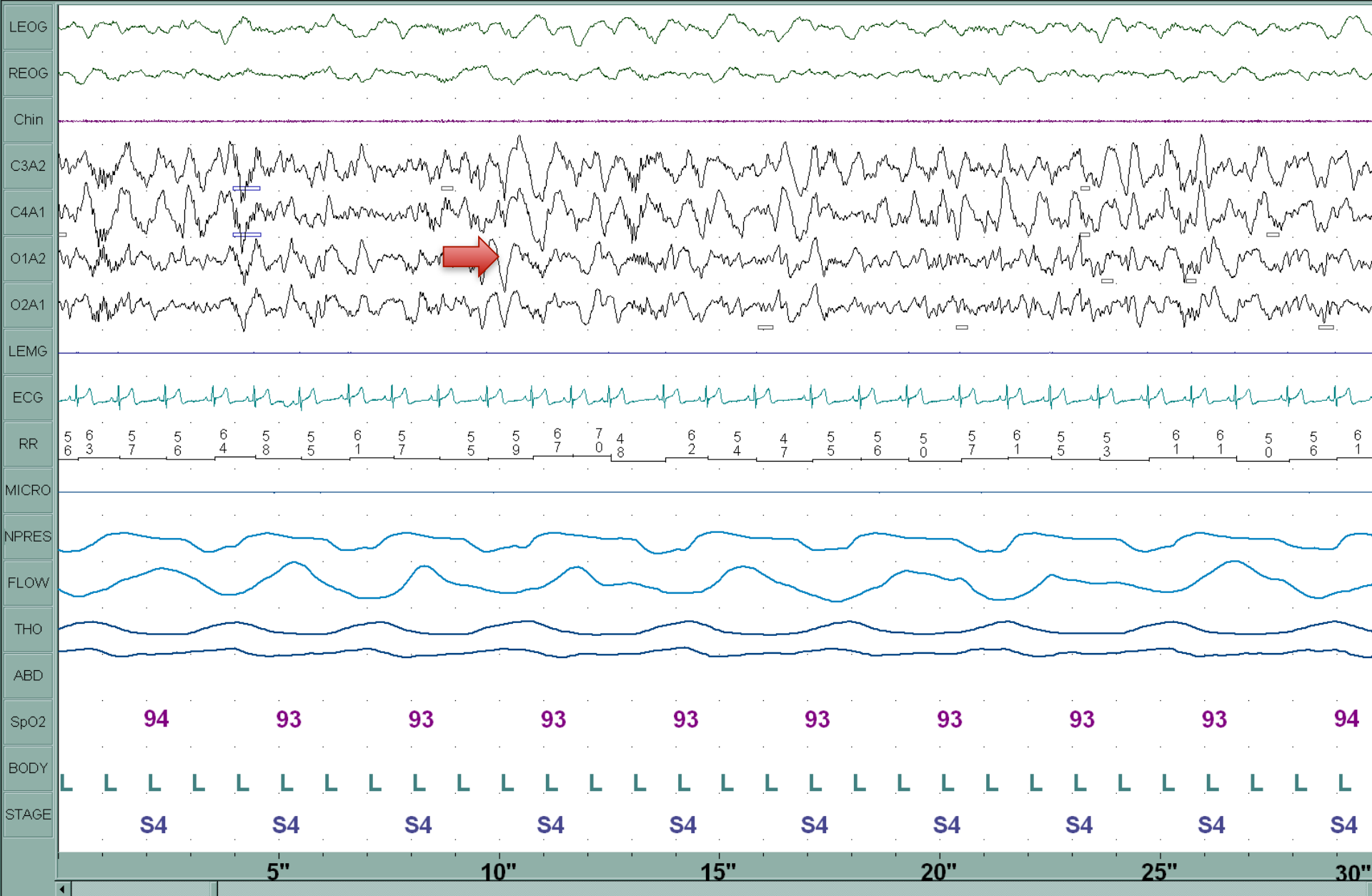
Depolarization  
(excitation) of  
thalamocortical  
neurons

**NREM sleep**

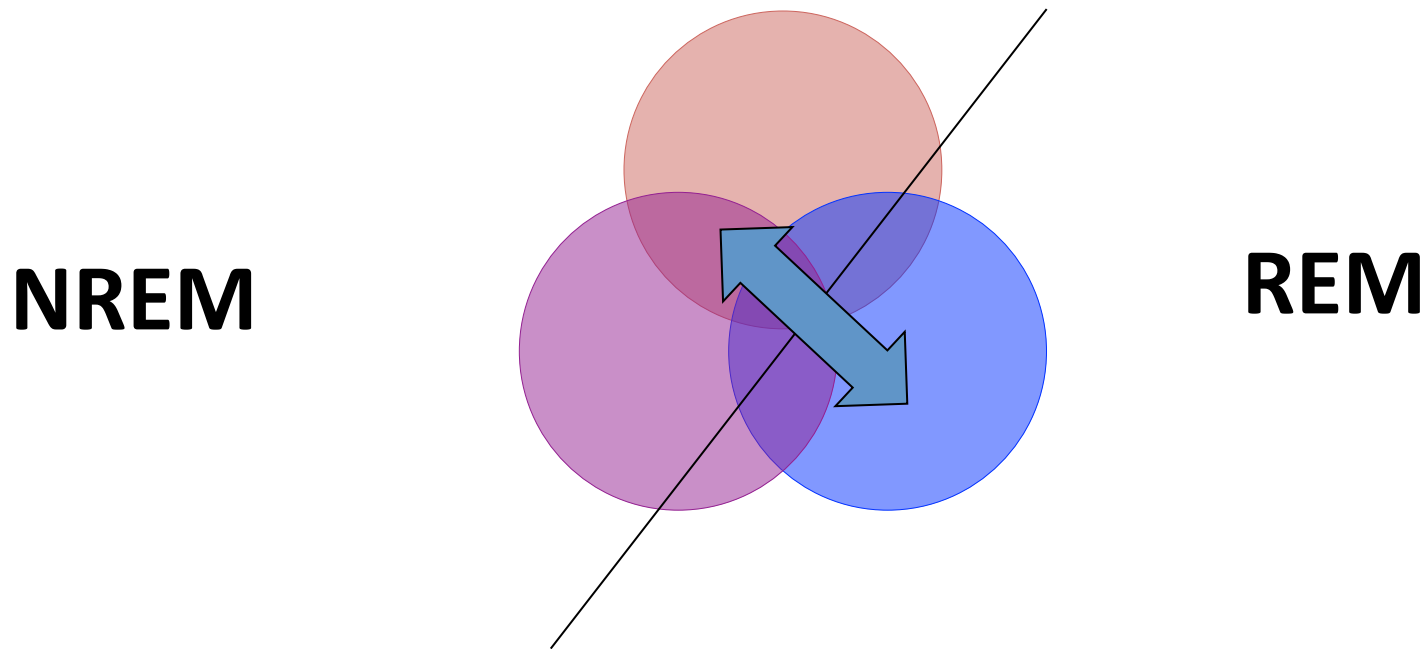
Removal  
of excitatory inputs by  
GABA



**Hyper**polarization  
(inhibition) of  
thalamocortical  
neurons

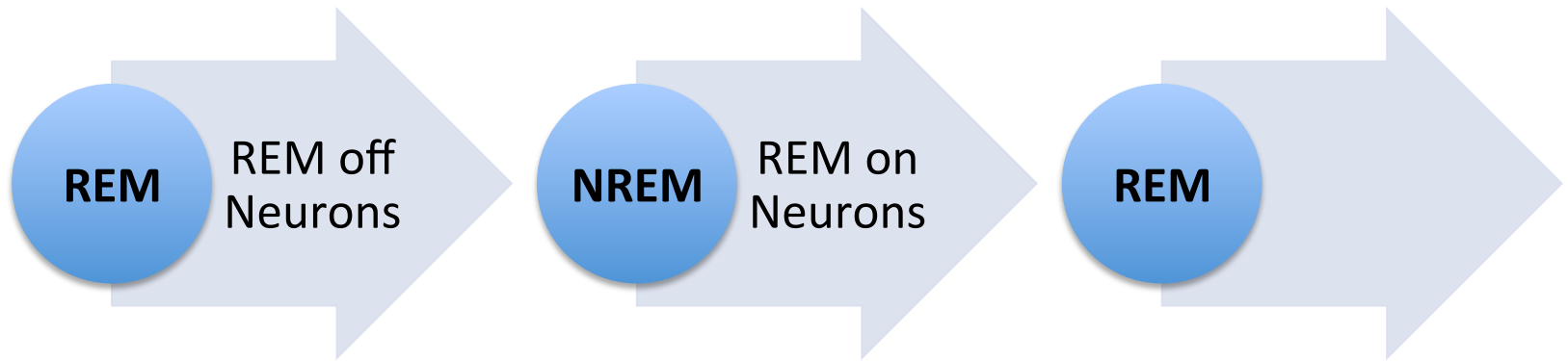


# REM-on/REM-off switch



“REM-on” neurons – acetylcholine

“REM-off” neurons – N-S-H



REM-on neurons are inhibited by REM-off neurons

# Bottom Line: Neurotransmitters

	Wake	NREM	REM
Acetylcholine	↑↑	X	↑
Glutamate	↑	X	↑

# Bottom Line: Neurotransmitters

	Wake	NREM	REM
Norepinephrine	↑	X	X
Serotonin	↑	X	X
Histamine	↑	X	X
Hypocretin	↑	X	X

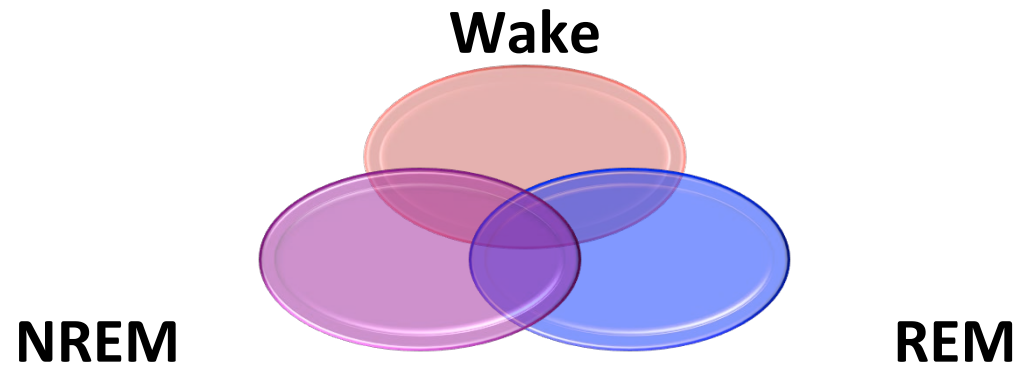


# Bottom Line: Neurotransmitters

	Wake	NREM	REM
GABA	X	↑	↑

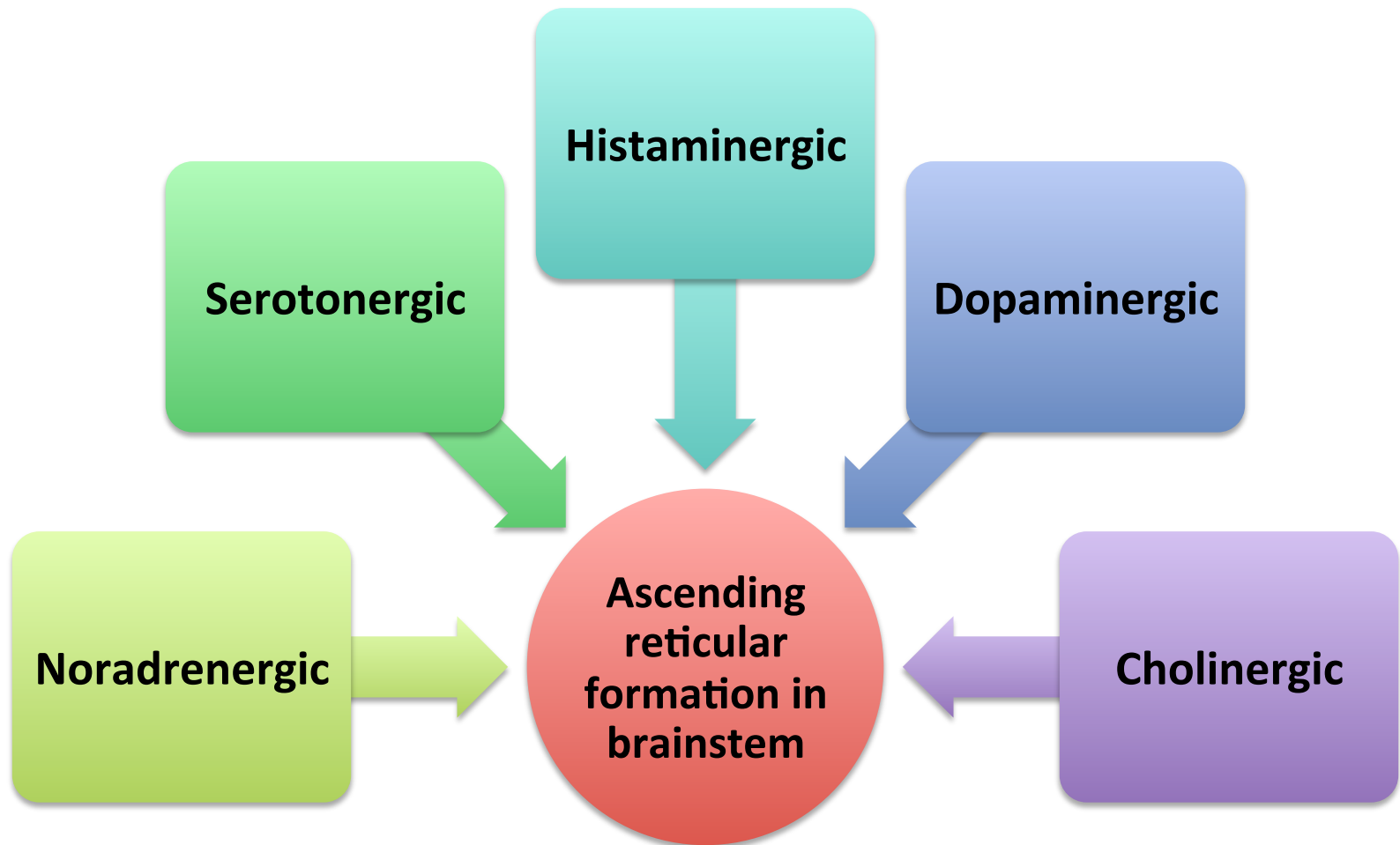
# Bottom Line: Neurotransmitters

	Wake	NREM	REM
Acetylcholine	↑↑	X	↑
Glutamate	↑	X	↑
Norepinephrine	↑	X	X
Serotonin	↑	X	X
Histamine	↑	X	X
Hypocretin	↑	X	X
GABA	X	↑	↑



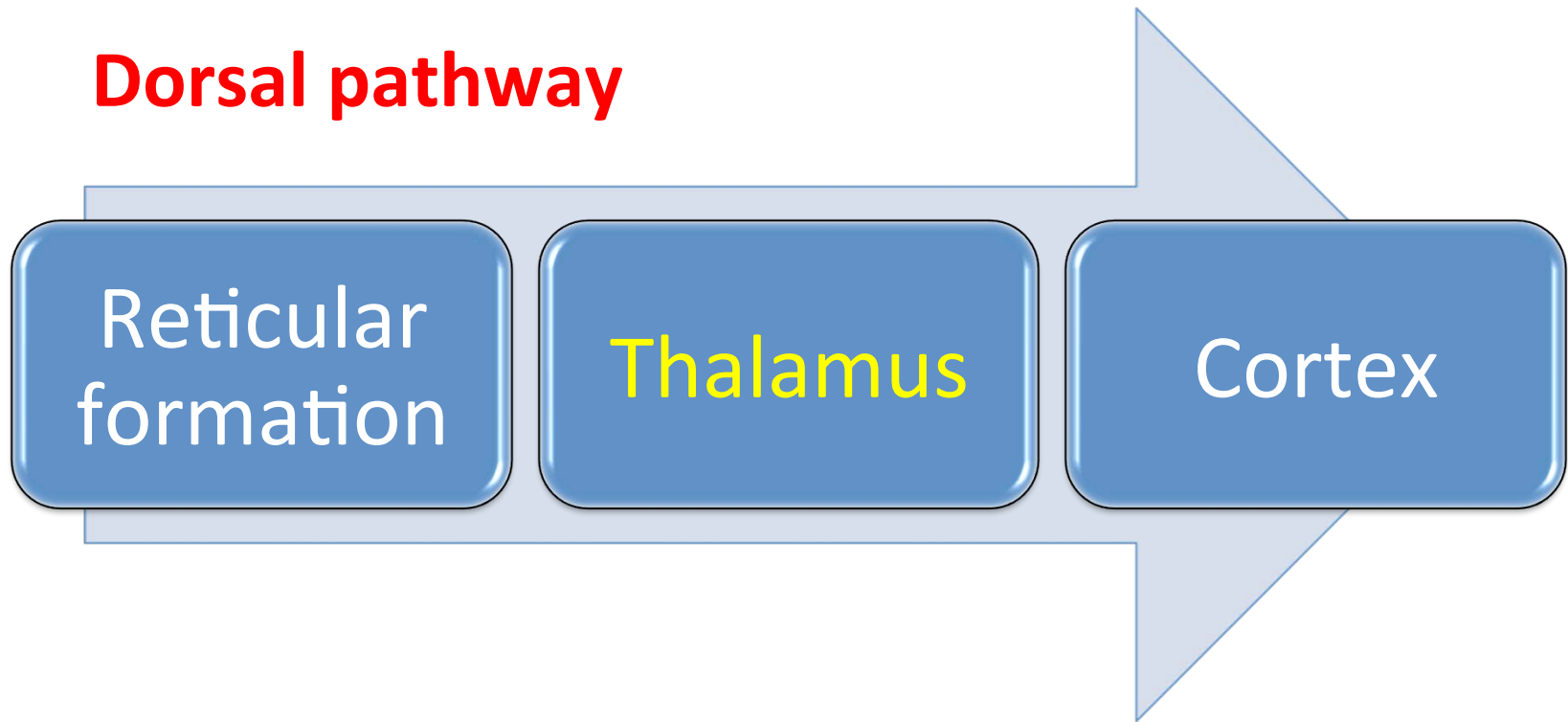
≥ 7 transmitters to form the stages  
3 switches to control the changes  
In the land of sleep  
Where the shadows lie

# Neural regulation of waking



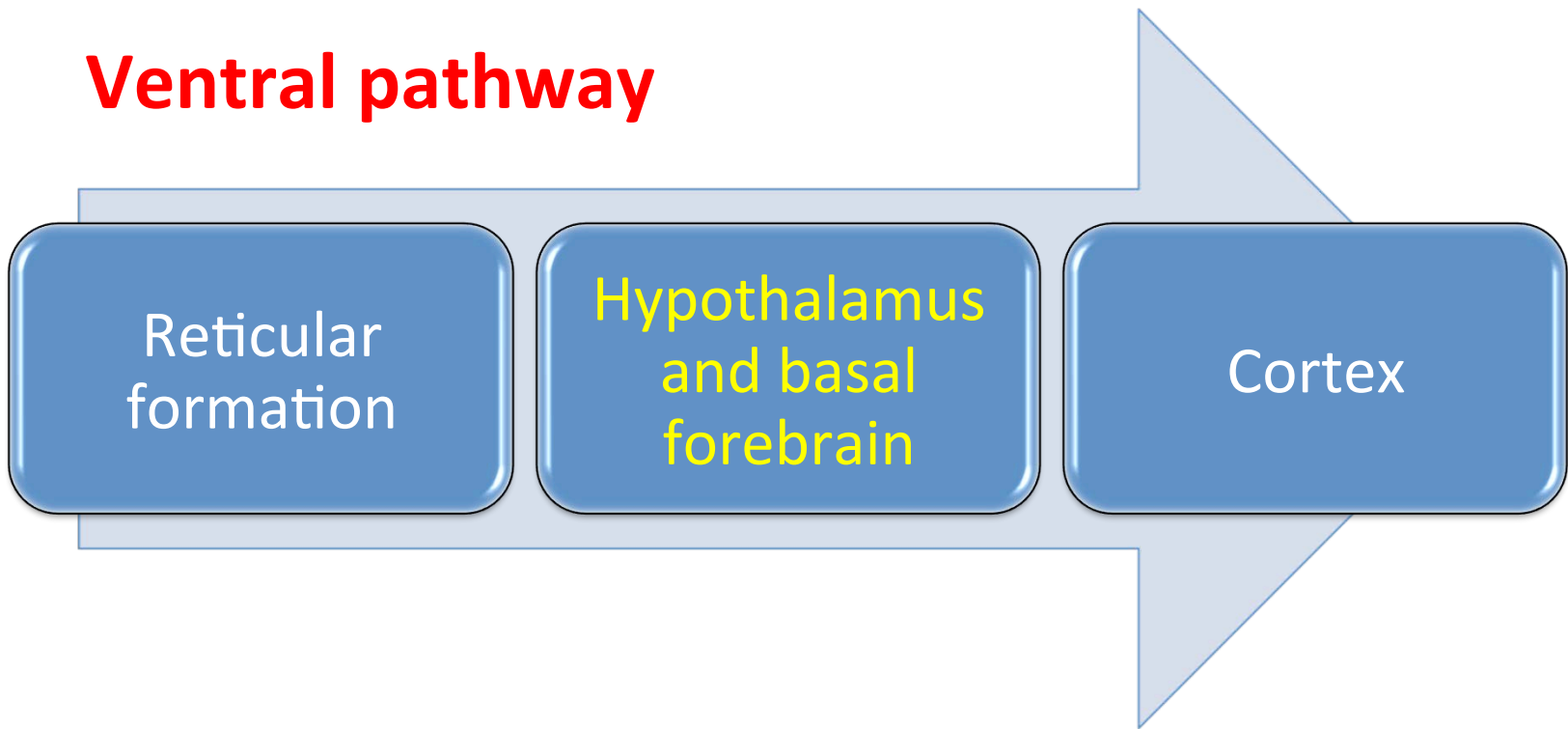
# RF: Ascending Pathways

## Dorsal pathway

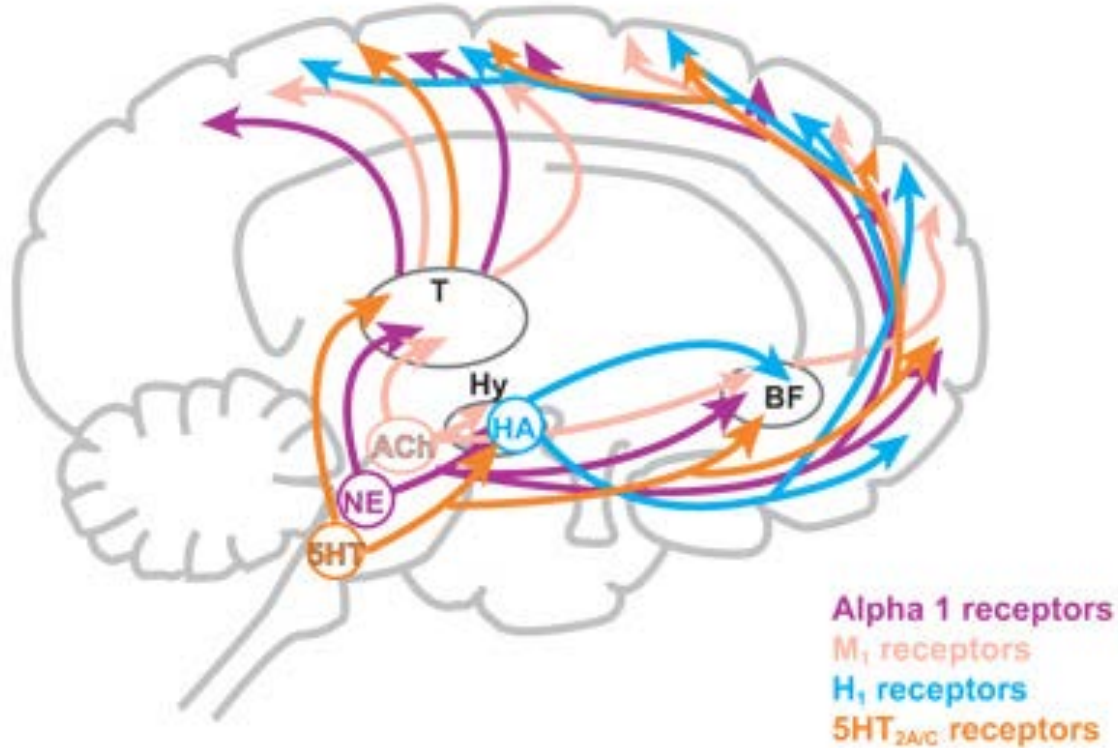


# RF: Ascending Pathways

## Ventral pathway



# FIGURE 1. Cortical Arousal



T=thalamus; Hy=hypothalamus; ACh=acetylcholine; HA=histamine; BF=basal forebrain; NE=norepinephrine; 5-HT=serotonin; M=muscarinic; H=histamine.

Stahl SM. *CNS Spectr.* Vol 13, No 12. 2008.

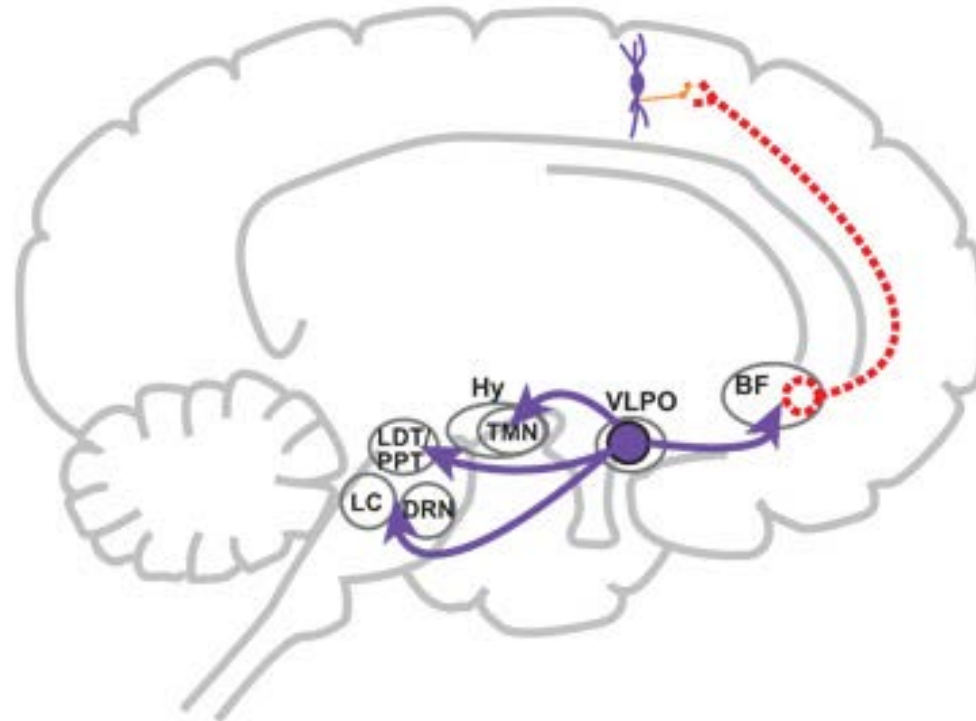
# Neural regulation of sleep

VLPO – GABA NREM  
REM

PPT/LDT – Ach REM



**FIGURE 2.**  
**Sleep-promoting GABA system**

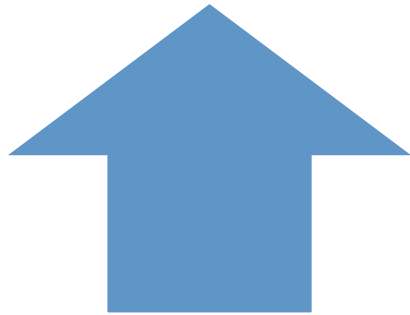


GABA= $\gamma$ -aminobutyric acid; Hy=hypothalamus; BF=basal forebrain; LDT=laterodorsal tegmentum; PPT=peduncolopontine tegmentum; TMN=tuberomammillary nucleus; VLPO=ventrolateral preoptic nucleus; LC=locus coeruleus; DRN=dorsal raphe nucleus.

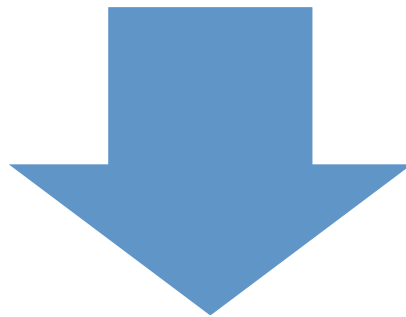
Stahl SM. *CNS Spectr.* Vol 13, No 12. 2008.

# Pontine LDT/PPT

REM-on neurons



Ascending: produce  
EEG desynchrony



Descending: produce  
muscle atonia

**Pons** (perilocus coeruleus of the pontine tegmentum)



Lateral tegmento-reticular tract



Medullary **magnocellularis** neurons



Ventrolateral reticulospinal tract



Motor neurons of the anterior horn cells of the **spinal cord**  
(neurotransmitter: glycine)

Two  
processes  
control the  
timing of  
sleep and  
wake

**Sleep homeostasis** -  
dependent on the  
sleep-wake cycle

**Circadian rhythm** -  
independent of the  
sleep-wake cycle

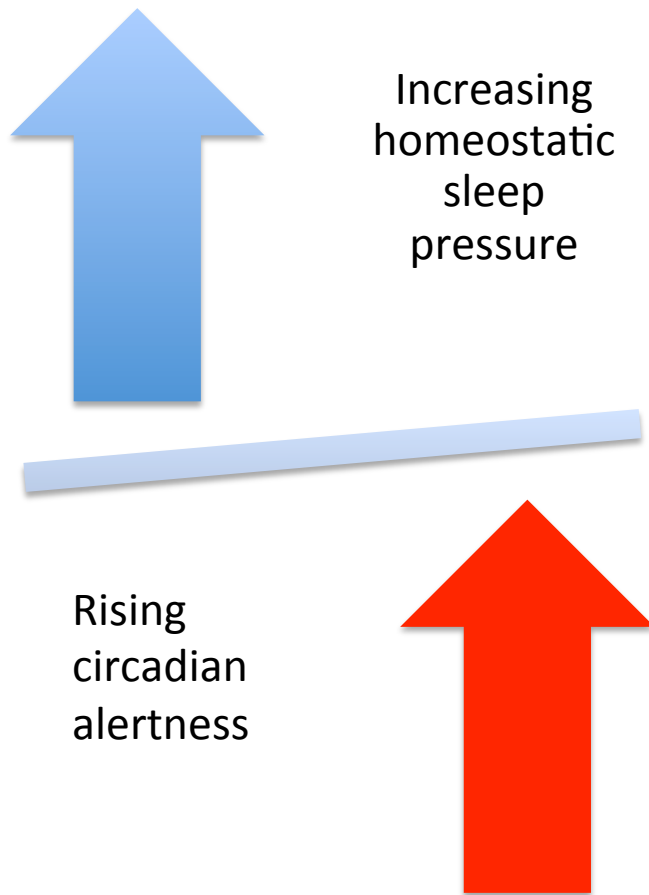
Sleep pressure that increases with prior wakefulness and declines with sleep

Sleep homeostasis

Main role is to promote wakefulness

Circadian rhythm

# Waking period



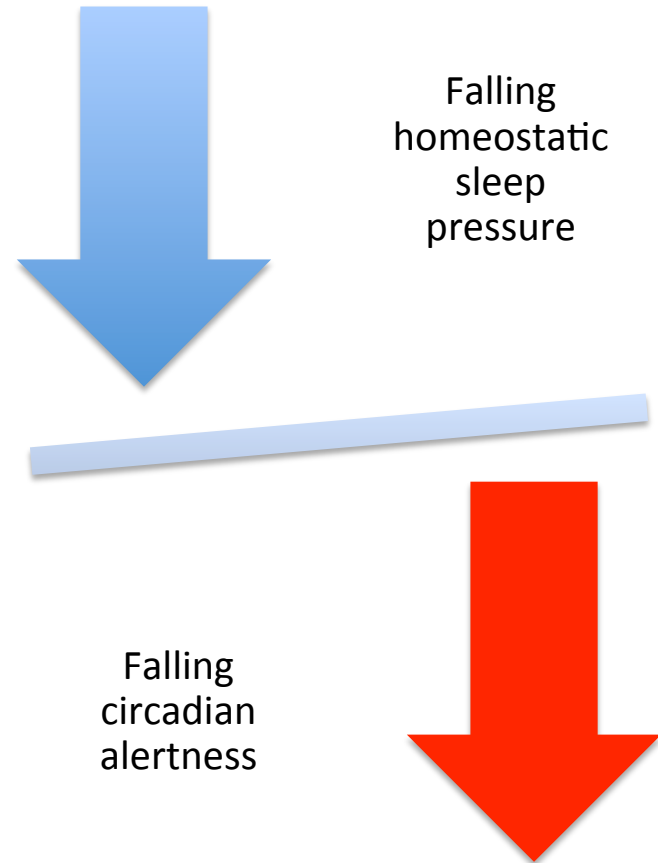
## Constant alertness throughout the waking period

- Increase in homeostatic sleep pressure is opposed by rising circadian alertness

# Sleep period

## Constant sleepiness throughout the sleep period

- Decreasing homeostatic sleep pressure is opposed by falling circadian alerting tendency



# Free running

Circadian rhythms free-run at a genetically-determined frequency in the absence of environmental time cues  
(*tau*)

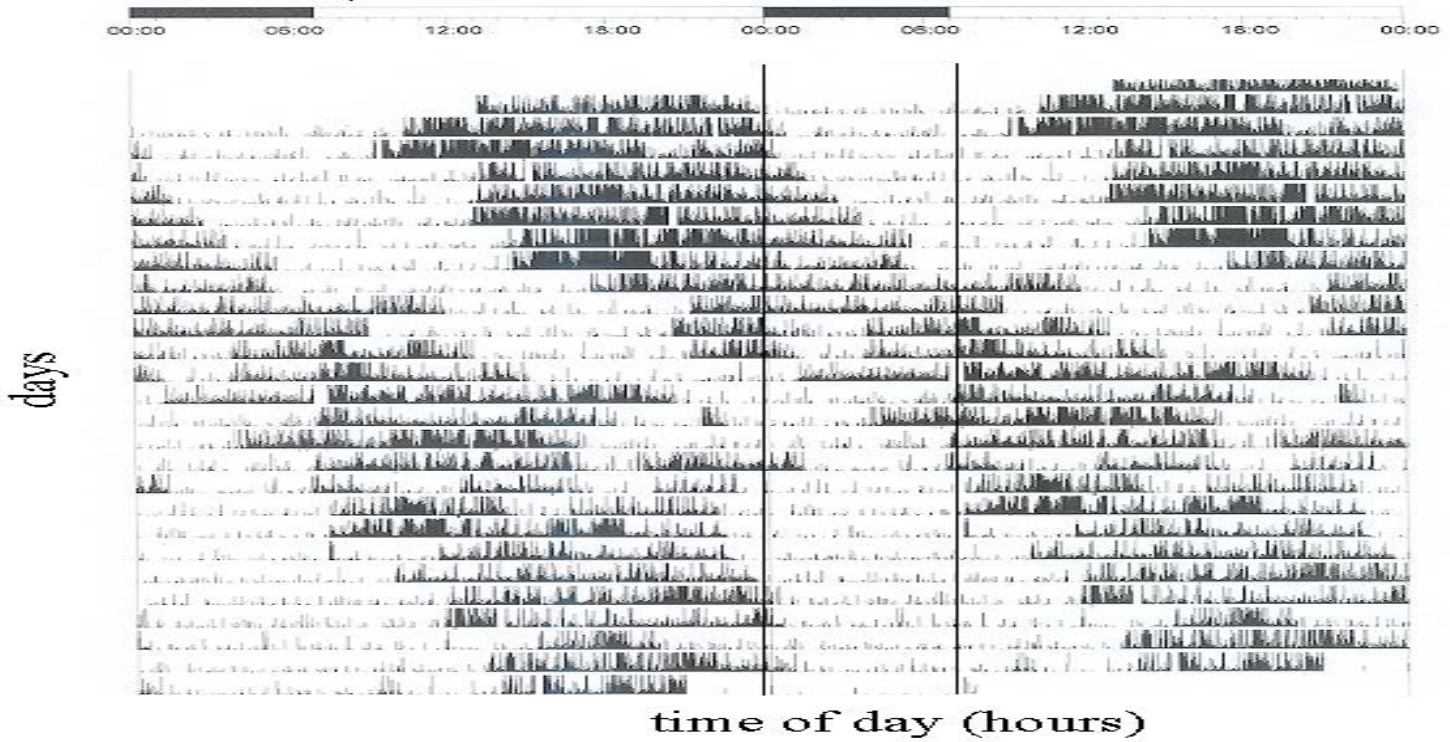


# Free running

Most human  
circadian rhythms  
are not exactly 24  
hours

Commonly about

*tau* 24.2 hours



*Moore R et al. Sleep: A comprehensive handbook. Wiley 2006*

# Entrainment

Process by which external cues adjust the phase of the intrinsic circadian rhythms

Synchronizes the intrinsic circadian cycle to the environmental 24-hour period

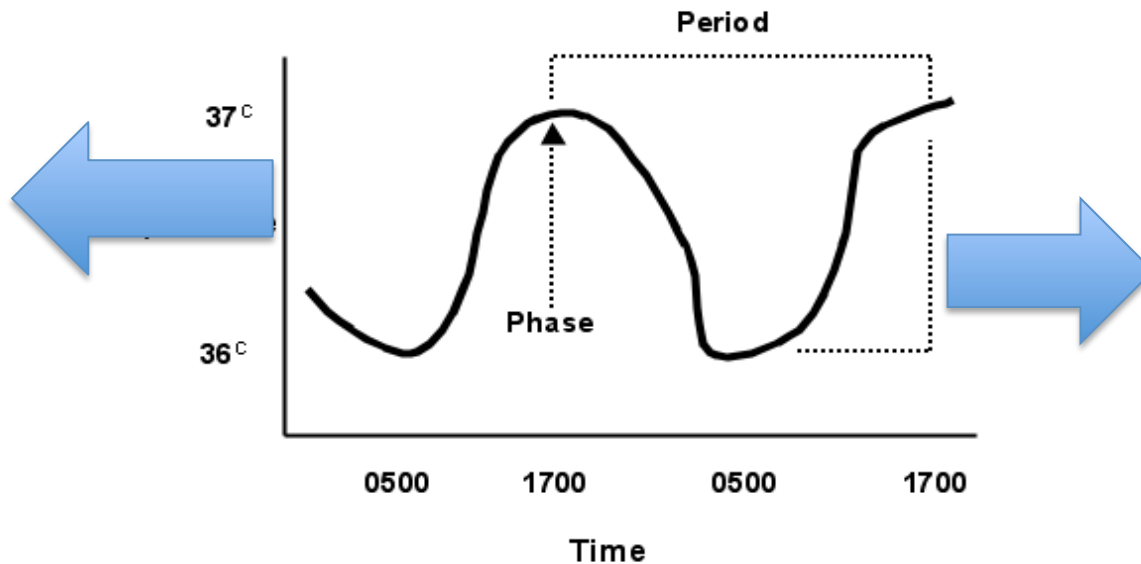
# Entrainment

Process by which external cues adjust the phase of the intrinsic circadian rhythms

Synchronizes the intrinsic circadian cycle to the environmental 24-hour period

Forward or backward

**Phase** is the cycle's position in time relative to an external measurement, such as clock time.



**Phase advancement**

**Phase delay**

*Sleep: a comprehensive handbook, 2006*

	Maximum	Minimum
Gastric acid secretion	Between 10 PM and 2 AM	Between 5 AM and 11 AM
Cortisol	8-9 AM	12 AM
Thyroid stimulating hormone	Between 9 PM and 6 AM	Between 10 AM and 7 PM

# Circadian timing systems

**Suprachiasmatic  
nucleus**

is the master circadian  
rhythm generator in  
mammals

# Circadian timing systems

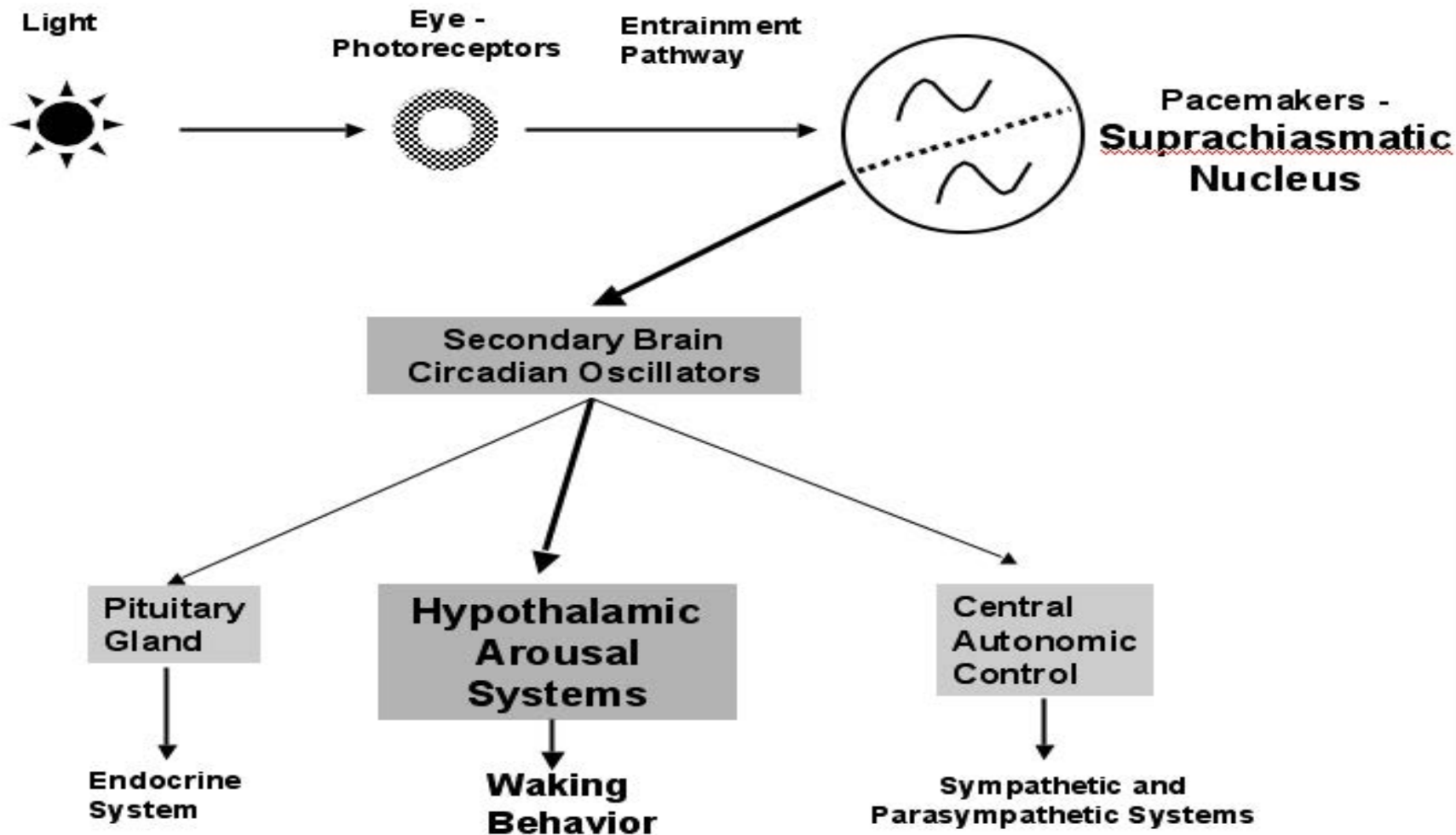
## Suprachiasmatic nucleus

is the master circadian  
rhythm generator in  
mammals

Promotes wakefulness  
during the day

Consolidates sleep  
during the night





*Sleep: a comprehensive handbook, 2006*

# Afferent SCN pathways

## Glutamatergic

Retina ganglion cells with

**melanopsin**

(most sensitive to

**blue to blue-green light**)



**Retinohypothalamic tract**



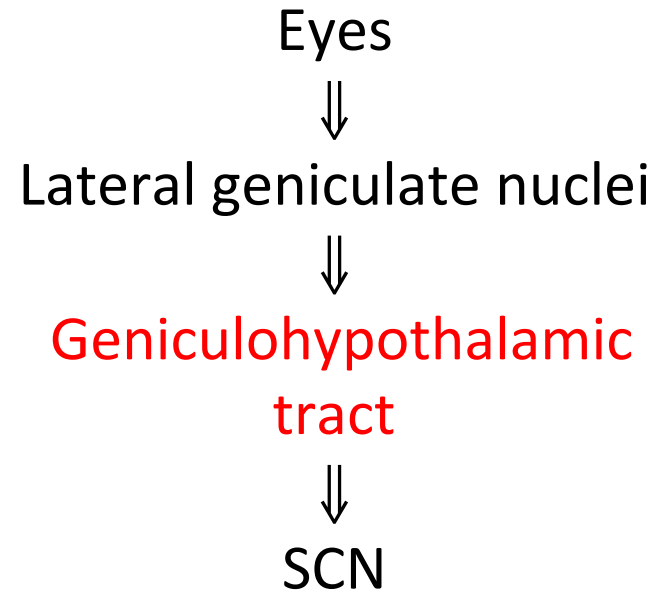
SCN

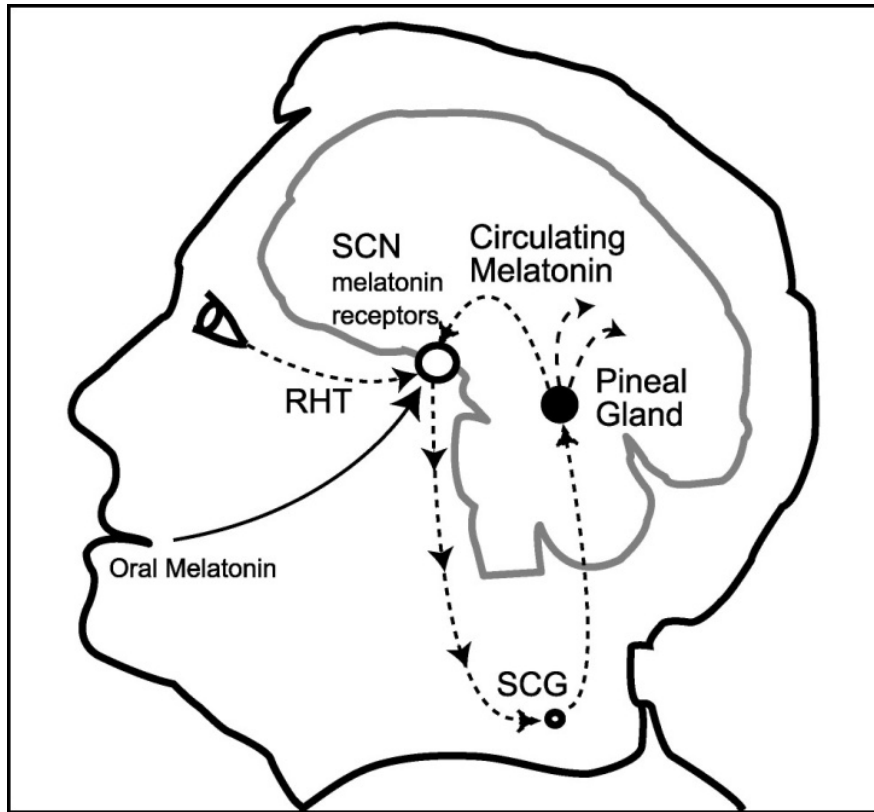
## Alternate

# Afferent SCN pathways

## Glutamatergic

## Alternate





## Suprachiasmatic nucleus



Hypothalamus (para/  
subventricular nuclei)



Medial forebrain bundle



Spinal cord (intermediolateral  
gray column neurons)



Superior cervical ganglion



**Pineal gland**

*Sleep: a comprehensive handbook, 2006*

# Biological markers

**DLMO**   **CTmin**

Dim light   Minimum core  
melatonin onset   body temperature

2-3 hours   2-3 hours  
before bedtime   before wake time

# Biological markers

DLMO CTmin

before bedtime before wake time

# Melatonin

Synthesized and  
released by the  
pineal gland

# Melatonin

Greatest secretion at  
night

Secretion suppression  
by light exposure



# Melatonin

Two melatonin  
receptors

MT1

Inhibits firing of SCN

MT2

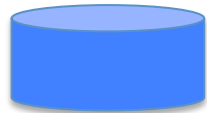
Phase-shifting action

\*Also possess mild  
hypnotic properties

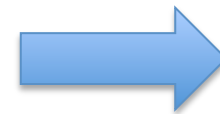
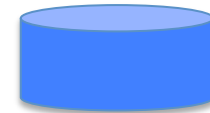
# Melatonin

Taken in the evening  $\Rightarrow$   
phase advances circadian  
rhythms

Taken in the morning  $\Rightarrow$   
phase delays circadian  
rhythms



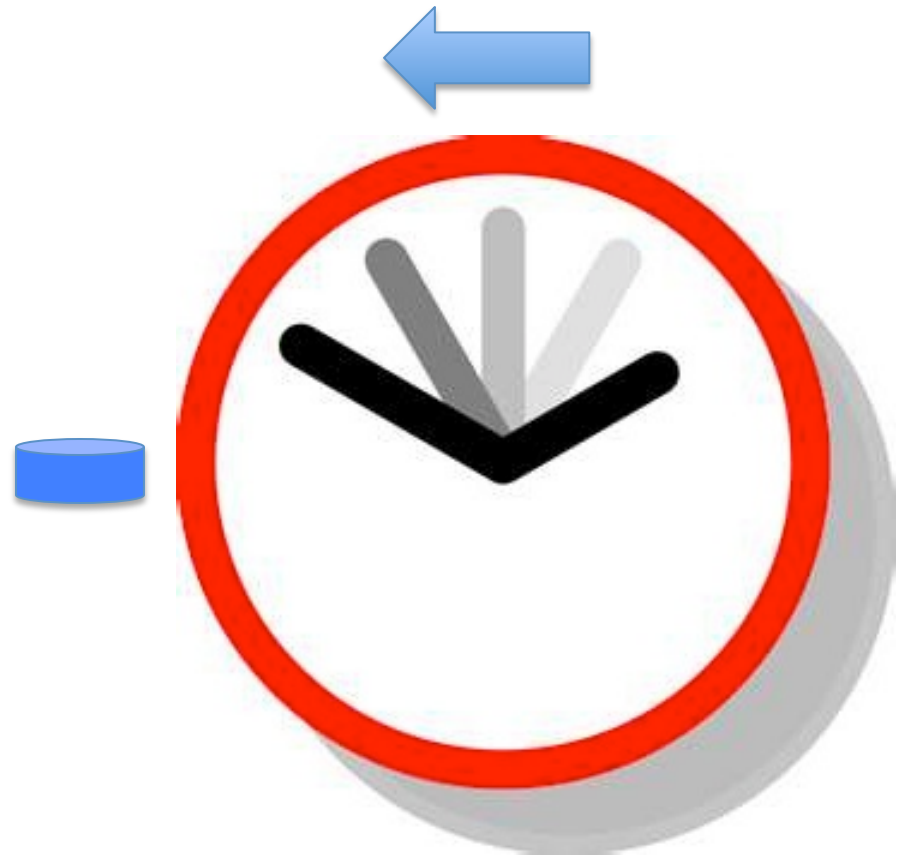
Biological  
night



# Melatonin

Evening melatonin  
phase advances  
circadian sleep-wake  
rhythms

Melatonin = Magnet  
(pulls sleep towards it)



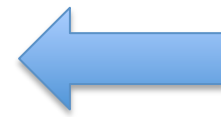
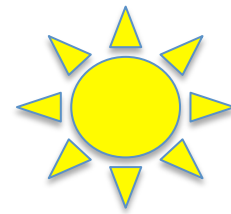
# Light exposure

Before CTmin  $\Rightarrow$   
phase delays  
circadian rhythms

After CTmin  $\Rightarrow$   
phase advances  
circadian rhythms



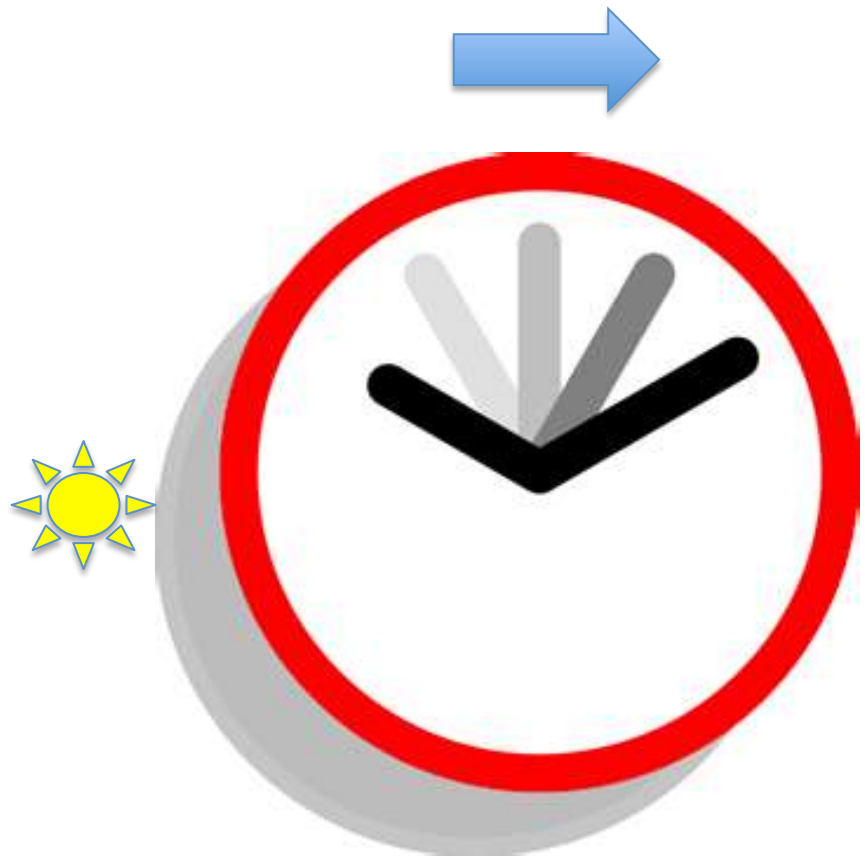
2-3 hours  
before  
wake time



Light exposure

**Evening** light exposure  
(before CTmin)  $\Rightarrow$   
phase **delays** circadian  
rhythms

**Ph**ototherapy = **ph**ush  
(pushes sleep away  
from it)



Evening light

# Sleep physiology

# What goes down during sleep

## Respiratory system

PaO<sub>2</sub> and SaO<sub>2</sub>

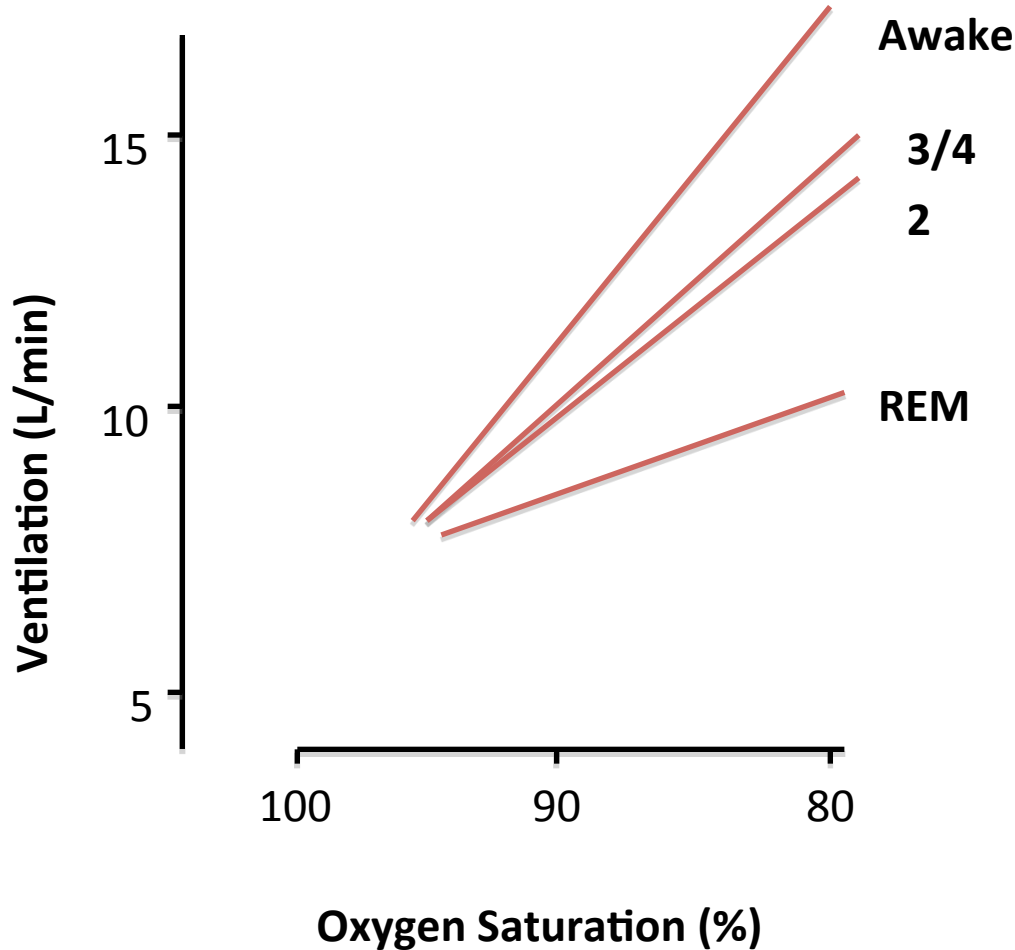
Tidal volume and minute  
ventilation

UA dilator muscle tone

Activity of accessory muscles  
of respiration

Hypoxic and hypercapnic  
ventilatory responses

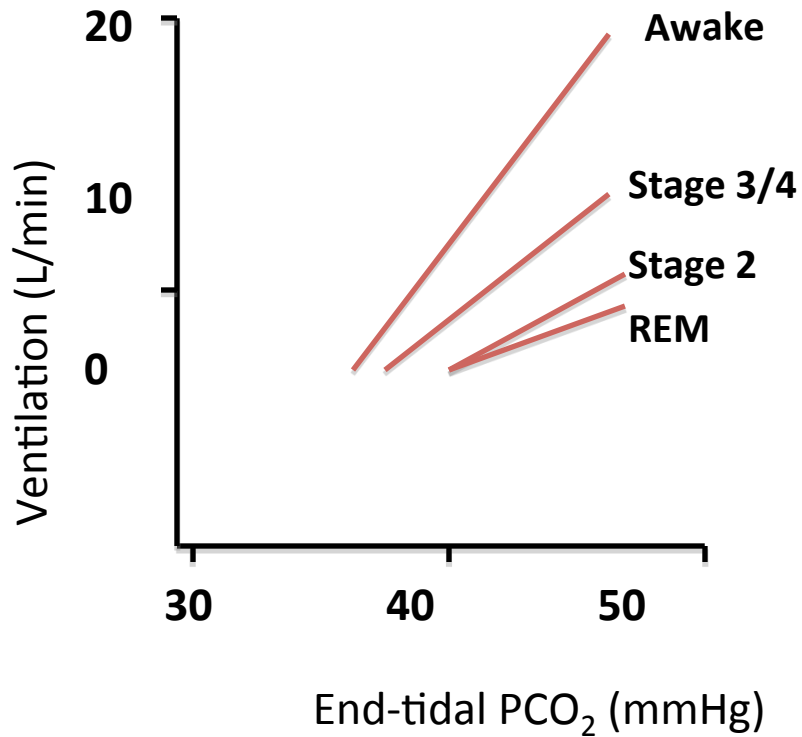
# Ventilatory response to hypoxia



*Douglas NJ. Clin Chest Med 1985;6:563  
Principles and Practice of Sleep Med 2010*



# Ventilatory response to hypercapnia



*Douglas NJ Clin Chest Med 1985*

# What goes down during sleep

## Cardiovascular system

HR, CO and BP (NREM and tonic REM sleep)  
Frequency of PVCs

## Gastrointestinal system

Swallowing rate and salivary production  
Esophageal and intestinal motility

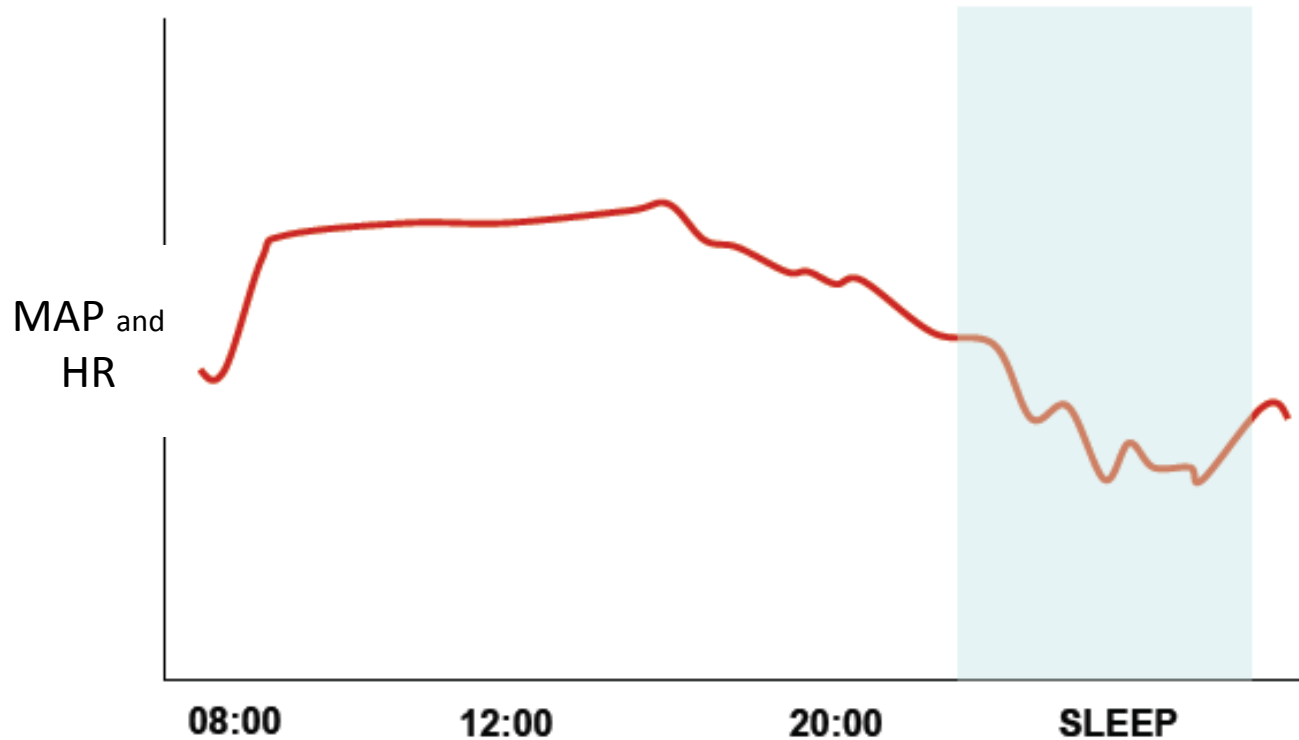
## Renal system

Glomerular filtration

# BP and HR during sleep

## 24 Hour Blood Pressure in Normals

Normal 24 Hour BP and Heart Rate Response



# What goes down during sleep

## Endocrine system

Cortisol (in N3 sleep)

Insulin secretion

## Other

Sympathetic activity

Muscle tone

Core body temperature and  
thermoregulatory responses

Metabolic rate (in NREM)

Physiology goes **down**  
when you lay **down**  
to sleep

Thus, it might be easier to  
remember what goes up.....

What goes **up** during sleep

Growth hormone

Prolactin

Renin

Antidiuretic hormone

Testosterone

**Endocrine  
system**

Parasympathetic activity

PaCO<sub>2</sub>

Renal water reabsorption

**Others**

# Hormone secretion during sleep

Linked primarily to:

Cortisol      Circadian rhythms

Growth hormone      Sleep (in N3 sleep)

TSH      Both sleep and  
circadian rhythms

# Hormone secretion during sleep

Linked primarily to:

**C**ortisol      **C**ircadian rhythms

Growth hormone      Sleep (in N3 sleep)

TSH      Both sleep and  
circadian rhythms



# Immunity and sleep

Pro-inflammatory  
cytokines  
–IL-1 $\beta$  and TNF- $\alpha$       Increases sleepiness

Anti-inflammatory  
cytokines  
–IL-4, IL-10      Decreases sleepiness

# Immunity and sleep

**Pro**-inflammatory cytokines  
–IL-1 $\beta$  and TNF- $\alpha$

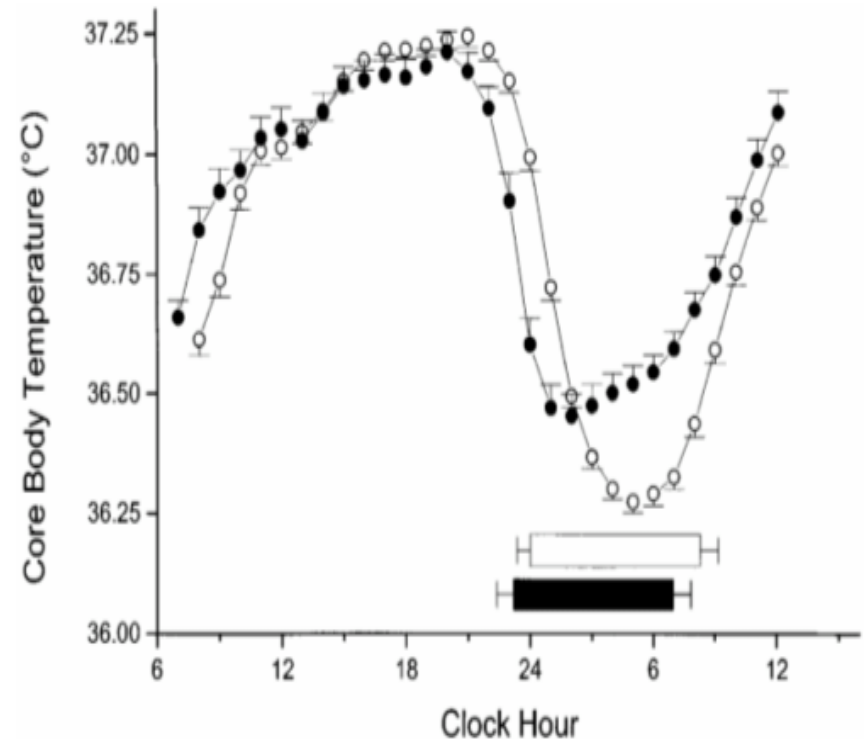
**Pro**-sleep

**Anti**-inflammatory cytokines  
–IL-4, IL-10

**Anti**-sleep

# Thermoregulation during sleep

CT peaks in the late afternoon and early evening: 6-8 pm  
Falls at the onset of sleep  
Nadir at 2 hours prior to usual wake time: 4-5 am



*Baker FC et al 2001*

# Thermoregulation during sleep

Sleep occurs during the falling phase of the temperature rhythm (after CTmax)

Waking occurs during the rising phase of the temperature rhythm (after CTmin)

# Thermoregulation during sleep

Sleep occurs during the falling phase of the temperature rhythm (after CTmax)

Cool down to  
sleep sound

Waking occurs during the rising phase of the temperature rhythm (after CTmin)

Heat up to  
wake up

# Thermoregulation during sleep

Initiating sleep during  
the **falling** phase of the  
temperature rhythm

↓ SOL

↑ TST

↑ N3

# Thermoregulation during sleep

**Falling** asleep  
is faster during the **falling** phase of the  
temperature rhythm

↓ SOL

↑ TST

↑ N3

# Sleep deprivation





**SD  $\neq$  SR**

Vulnerability to SD varies  
within individuals across  
time and between  
individuals

Consequences of total SD  
appear to differ those of  
chronic sleep restriction

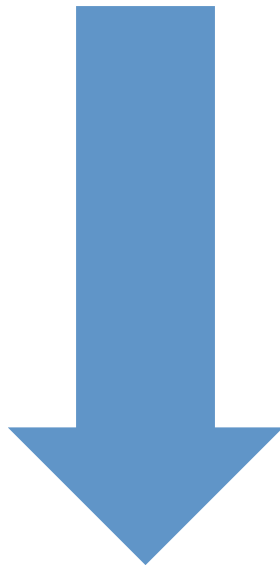


**SD  $\neq$  SR**

Persons often underestimate the negative impact of SD on cognition and performance



- Sympathetic activity
- Insulin resistance
- Cortisol
- Metabolic rate
- Mortality



- Vigilance / cognition
- Growth hormone
- Seizure threshold
- Resistance to infection
- Pain tolerance

# Sleep deprivation

**Sleep PSG** Shortened SOL  
Increased TST  
Greater N3 sleep  
- 1<sup>st</sup> night after SD  
Greater REM sleep  
- 2<sup>nd</sup> night after SD

# Sleep deprivation

**Wake EEG** Shift to slower EEG  
frequencies (theta and delta  
waves)

# Ghrelin    Leptin

Gastric cells

Gorge

Gain weight

Ginormous

Greater levels in SD

Lipid cells

Less food

Lose weight

Lean

Less levels in SD

# Ghrelin    Leptin

Gastric cells  
Gorge  
Gain weight  
Ginormous

Lipid cells  
Less food  
Lose weight  
Lean

Greater levels in SD

Less levels in SD

# Pediatric sleep



# Pediatric sleep architecture

## Initial sleep episode

Active sleep – < 3 months of age

Quiet sleep – > 3-4 months of age

# Pediatric sleep architecture

Proportion of NREM-REM sleep

**50:50** in infants

**75:25** among adolescents and adults

# Pediatric sleep architecture

Percentage of REM sleep

**50%** of TST in infants

**25%** of TST in adolescents and adults

# Pediatric sleep architecture

NREM-REM cycle length

**50-60** minutes in infants

**90-120** minutes in adults

# Age at which specific EEG features first develop

1 month	Sleep spindles
3 months	Delta waves
6 months	K complexes

# Age at which specific EEG features first develop

1 month	SO
3 months	DO
6 months	KU

<b>1</b>		<b>3</b>
		<b>6</b>

# Age at which specific EEG features first develop

28-30

Active sleep  
Quiet sleep

32

Trace' discontinueau

36

Trace' alternant

\*weeks of gestation



## Age at which specific behaviors first develop

6 weeks	Longest sleep period occurring at night
6-9 months	Ability to sleep through the night
3-6 years	Cessation of daytime napping

# Age at which specific behaviors first develop

6 weeks **Mostly** night

6 months **All** night

6 years **Only** night

# TST gradually decreases throughout childhood

< 1 month	19 hours
< 1 year	15 hours
1-3 years	12 hours
3-5 years	10 hours
> 5 years	9 hours

# TST gradually decreases throughout childhood

< 1 month	19	hours
< 1 year	15	(19 minus 4)
1-3 years	12	(15 minus 3)
3-5 years	10	(12 minus 2)
> 5 years	9	(10 minus 1)

# Colic

Sustained episodes of  
crying > 3 hours

Onset generally at 3  
weeks of age

Usually resolves by 3  
months of age

# Colic

Lasts **3** hours

Starts **3** weeks

Ends **3** months

- 2-4 months** Place a child to bed while drowsy but still awake
- 3 months** Transition infant to final sleep environment
- ≥ 6 months** Discontinue nighttime feedings in children

EDS: consider in any child > 5 years of age if

**Nap** during the day, especially if unplanned

**Weekend** sleep  $\geq$  2 hours more vs. weekdays

**Inappropriate** sleep (times or situations)

**Hyperactivity**, inattentiveness, irritability, or impulsiveness



# Sleep in women

OSA is less  
common in  
pre-  
menopausal  
**Women** than  
in men

OSA is less  
common in  
pre-  
menopausal  
**Women** than  
in men.

Less neck soft tissue  
volume

Shorter pharyngeal airway  
length

Lower pharyngeal  
compliance during sleep

**Women** are  
generally more  
symptomatic  
at comparable  
AHIs than men

**Women** are  
generally more  
symptomatic  
at comparable  
AHI than  
men.

Fewer snoring and  
witnessed apneas  
More insomnia and  
sleepiness  
Greater mood disturbance

**Women** have  
different PSG  
findings

Lower AHI (at same BMI)  
Less supine position  
dependency  
Less O<sub>2</sub> desaturation

**Women** have  
different PSG  
findings.

**Women** have  
worse survival  
than men with  
similar AHIs



More endothelial  
dysfunction

Greater myocardial injury

Less risk of stroke

**Women** have  
worse survival  
than men with  
similar AHIs.

**Pregnancy** Sleep disturbance = 3rd > 1st > 2<sup>nd</sup> trimester

Increase in risk for OSA, RLS, nocturnal leg cramps, EDS

**Menopause** Greater subjective complaints of sleep disturbance

Increased prevalence of insomnia and OSA

Consider **gabapentin** for treatment of hot flashes

M > F

Gender  
differences

**SRBD<sup>2</sup>**  
(SRBD/S-RBD)

**SRBD** = Sleep related breathing disorders

OSA (adult)

CSA/CSB (in HF)

OHS

Snoring

**S** = Sleepiness

Narcolepsy

Kleine-Levin syndrome

**RBD** = REM behavior disorder

F > M

Gender  
differences

**G-I-RLS**

**I** = Insomnia

**I** = Idiopathic hypersomnia

**RLS** = Restless legs syndrome  
(adults)

# Aging

# Sleep requirements

**Aging:** The same

Nocturnal sleep disturbance  
Excessive daytime sleepiness  
Napping

Tolerance to sleep  
deprivation

Prevalence of insomnia,  
OSA, CSA, RLS, PLMD, RBD  
and ASPS

What goes up  
Aging:

N3 sleep

Melatonin secretion

Amplitude of circadian  
sleep-wake rhythms

Homeostatic sleep drive

Arousal threshold

GH secretion during sleep

Tolerance to shift work and  
jet lag

**Aging:**

What goes down



Aging physiology itself (not major cause)  
Menopause  
Medical disorders (nocturia)

## Causes of sleep disturbance in older adults

Neurological disorders (dementia, PD)  
Psychiatric disorders (depression)  
Adverse effects of medications  
Primary sleep disorders

# Aging and OSA

Less sleepiness

Weaker association  
with obesity

Milder sleep O<sub>2</sub>  
desaturation

Lower risk of CV  
diseases and HTN

# Aging and OSA

AHI is less able to  
predict mortality  
risk

Less sleepiness  
Weaker association  
with obesity  
Milder sleep O<sub>2</sub>  
desaturation  
Lower risk of CV  
diseases and HTN

# Polysomnography

Diagnosis of SDB

PAP titration for SDB

Follow-up after UA surgery or dental devices for  
OSA

PSG: indications

Evaluation of

Narcolepsy

Periodic limb movement disorder

Atypical or injurious parasomnias

Nocturnal seizures

**Derivation** is the  
difference in  
voltage between  
2 electrodes

Polygraph

**Bipolar** Two standard electrodes are matched to each other

**Referential** A standard electrode is matched to a reference electrode

## Amplifiers

AC amplifiers are used for high-frequency (fast) variables

- EEG, EOG, EMG, ECG

DC amplifiers are used for low-frequency (slow) variables

- SaO<sub>2</sub>, CPAP levels

Can use either AC or DC amplifiers

- Airflow and respiratory effort



**Fast = Ac**celerate

AC amplifiers are use for high-frequency (fast) variables

- EEG, EOG, EMG, ECG

**Slow = Dc**celerate

DC amplifiers are used for low-frequency (slow) variables

- SaO<sub>2</sub>, CPAP levels

Can use either AC or DC amplifiers

- Airflow and respiratory effort

# Electroencephalography

F = frontal  
C = central  
P = parietal  
O = occipital  
M = mastoid

Electrode placement  
is based on the  
International 10-20  
system

# Electroencephalography

Odd numbers = left-sided electrodes  
Even numbers = right-sided electrodes  
Z = midline electrodes

# Electroencephalography

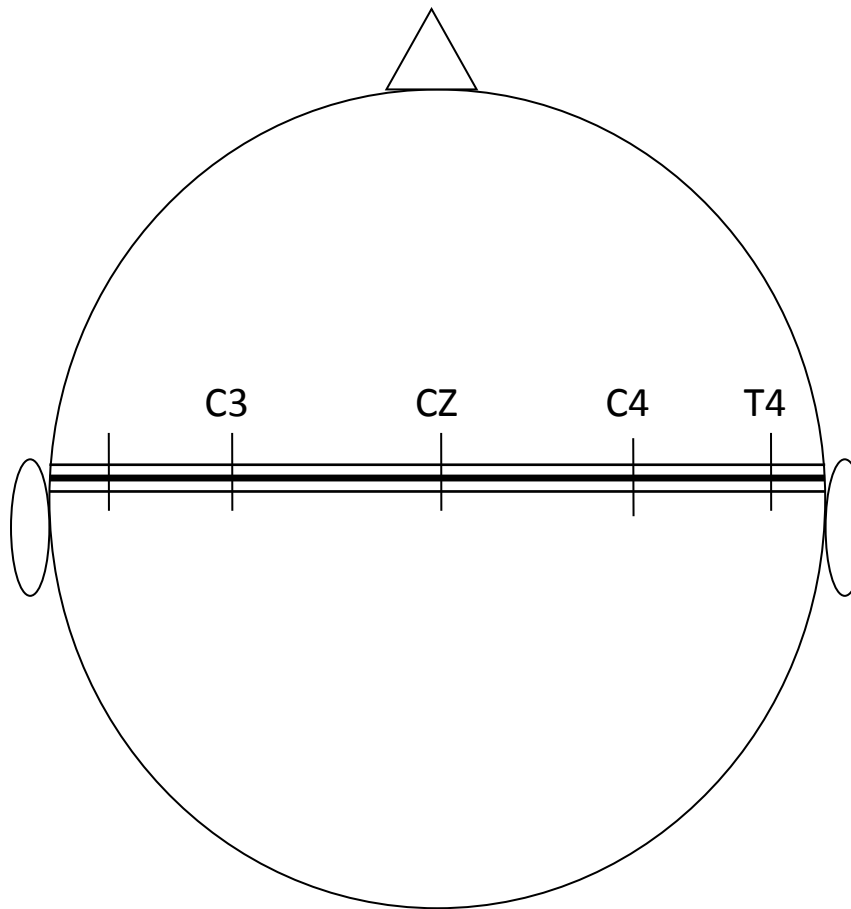
Most are right handed,

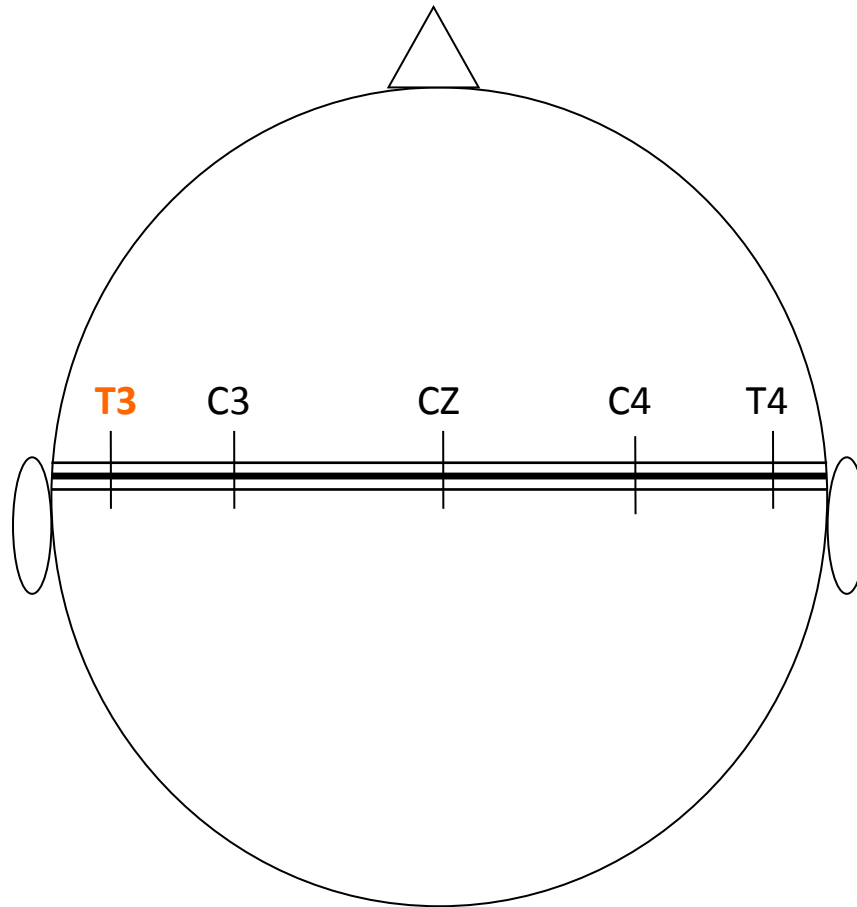
So **left** handed is **odd**

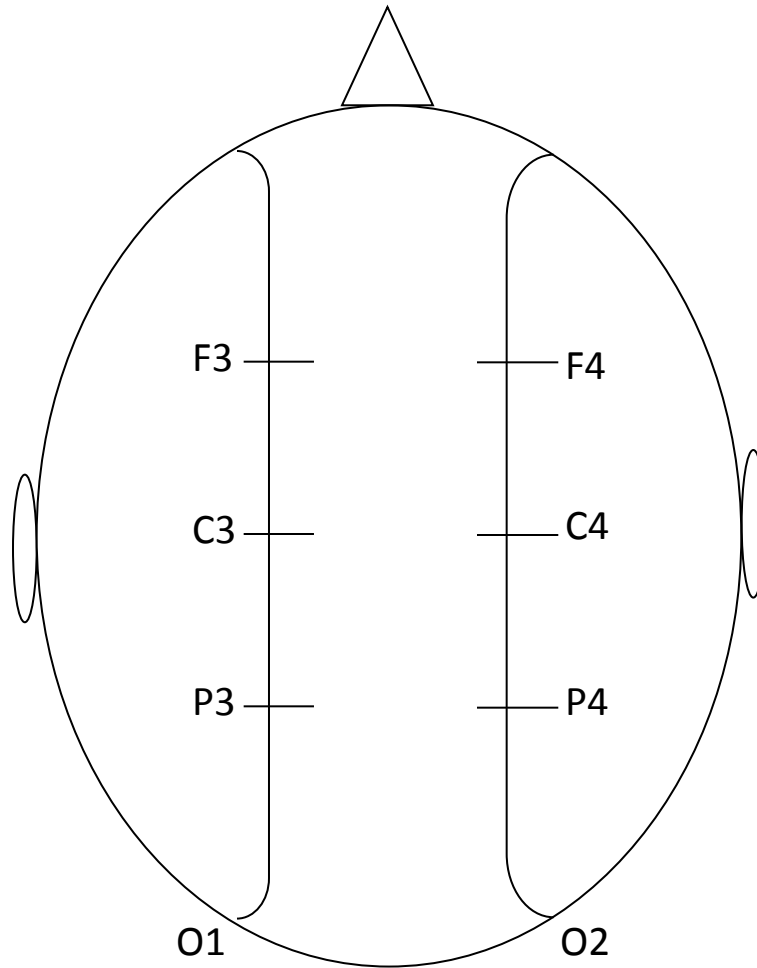
**Odd** numbers = **left-**sided electrodes

Even numbers = right-sided electrodes

Z = midline electrodes







# Electroencephalography

Recommended  
electrode placements  
are

F4M1

C4M1

O2M1

Note: Left (odd)  
mastoid



# Basic EEG Wave frequencies [Hz]

< 4	Delta
4-7	Theta
8-13	Alpha
> 13	Beta

# Basic EEG Wave frequencies [Hz]

< 4	Do
4-7	The
8-13	Alpha
> 13	Bet

## Basic EEG Wave frequencies [Hz]

N3 sleep	Delta
N1, N2, R sleep	Theta
Drowsiness	Alpha
Alert wakefulness	Beta

## Best place to look for

Alpha waves	Occipital
Spindles	Central
SWS	Frontal
K complex	Frontal

Originate in the

Spindles Thalamus  
SWS Cortex

# Electro oculography

Difference in potentials  
(**dipole**) between the  
cornea (positive) and  
the retina (negative)

# Electro-oculography

dipole

CO-PO

(cornea = positive  
charge)

RE-NE

(retina = negative  
charge)

Electro  
oculography

Negative voltage  
(upward deflection)  
when the eye moves  
away from an  
electrode

Dipole changes with  
eye movements

Positive voltage  
(downward deflection)  
when the eye moves  
toward an electrode

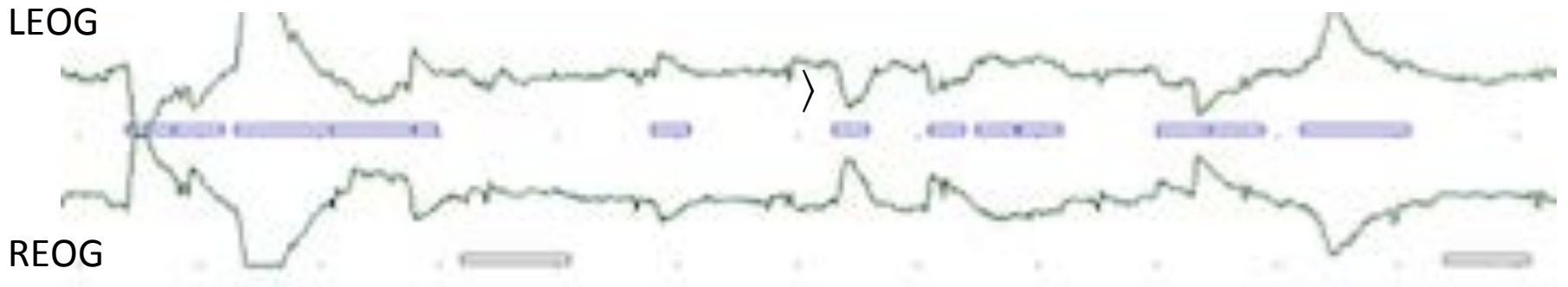


# Electro-oculography

Negative voltage  
(upward deflection)  
when the eye moves  
away from an  
electrode

**PO-DO-TO**

**P**ositive voltage  
(**d**ownward deflection)  
when the eye moves  
**t**oward an electrode



What goes **down** is pointing towards eye

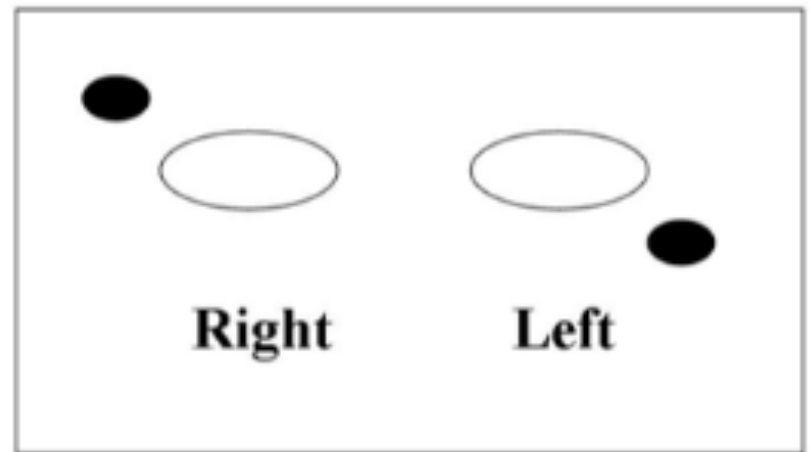
# Electro-oculography

Recommended  
electrode placements

E1M2

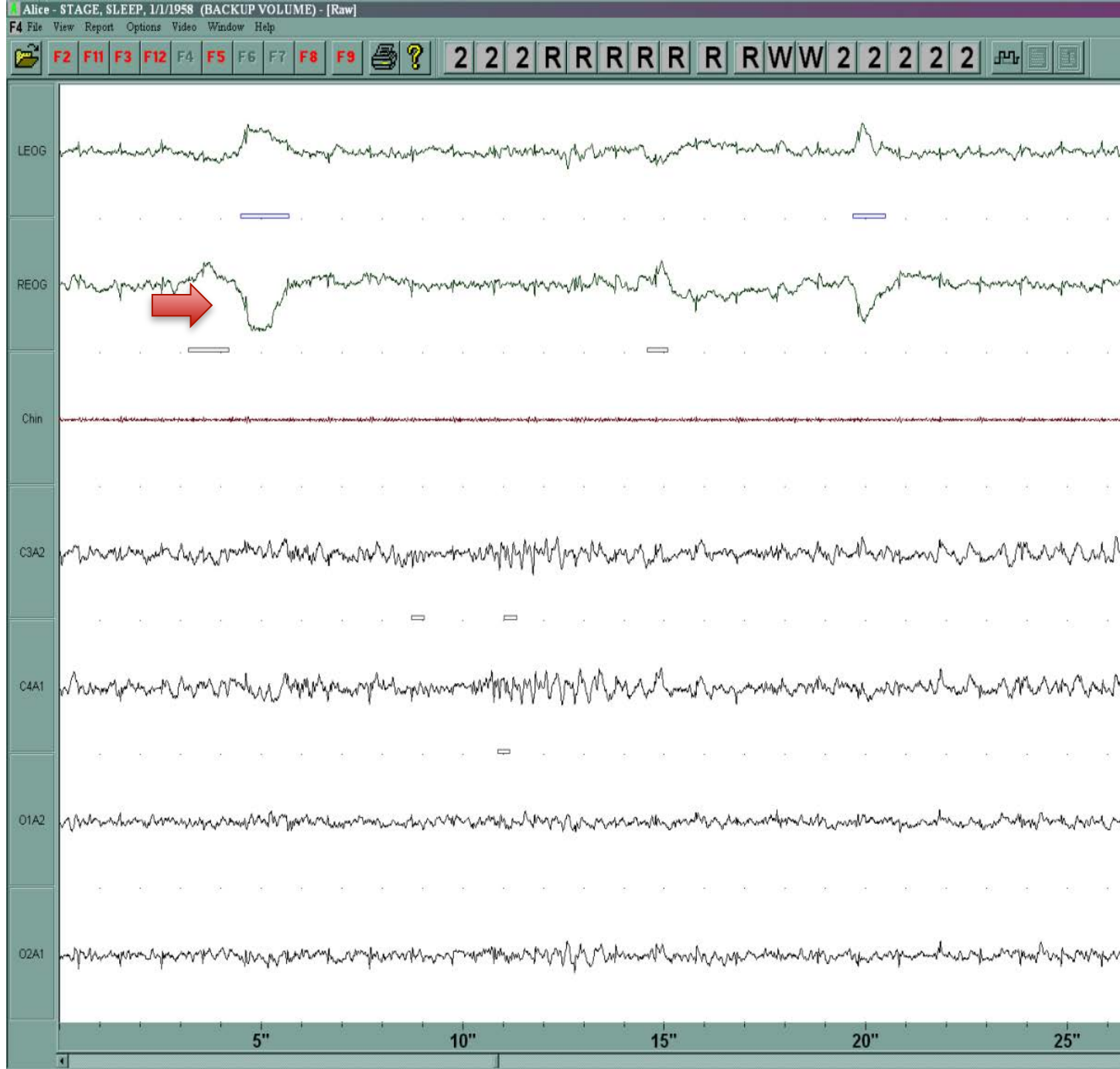
E2M2

Note: the **other** mastoid



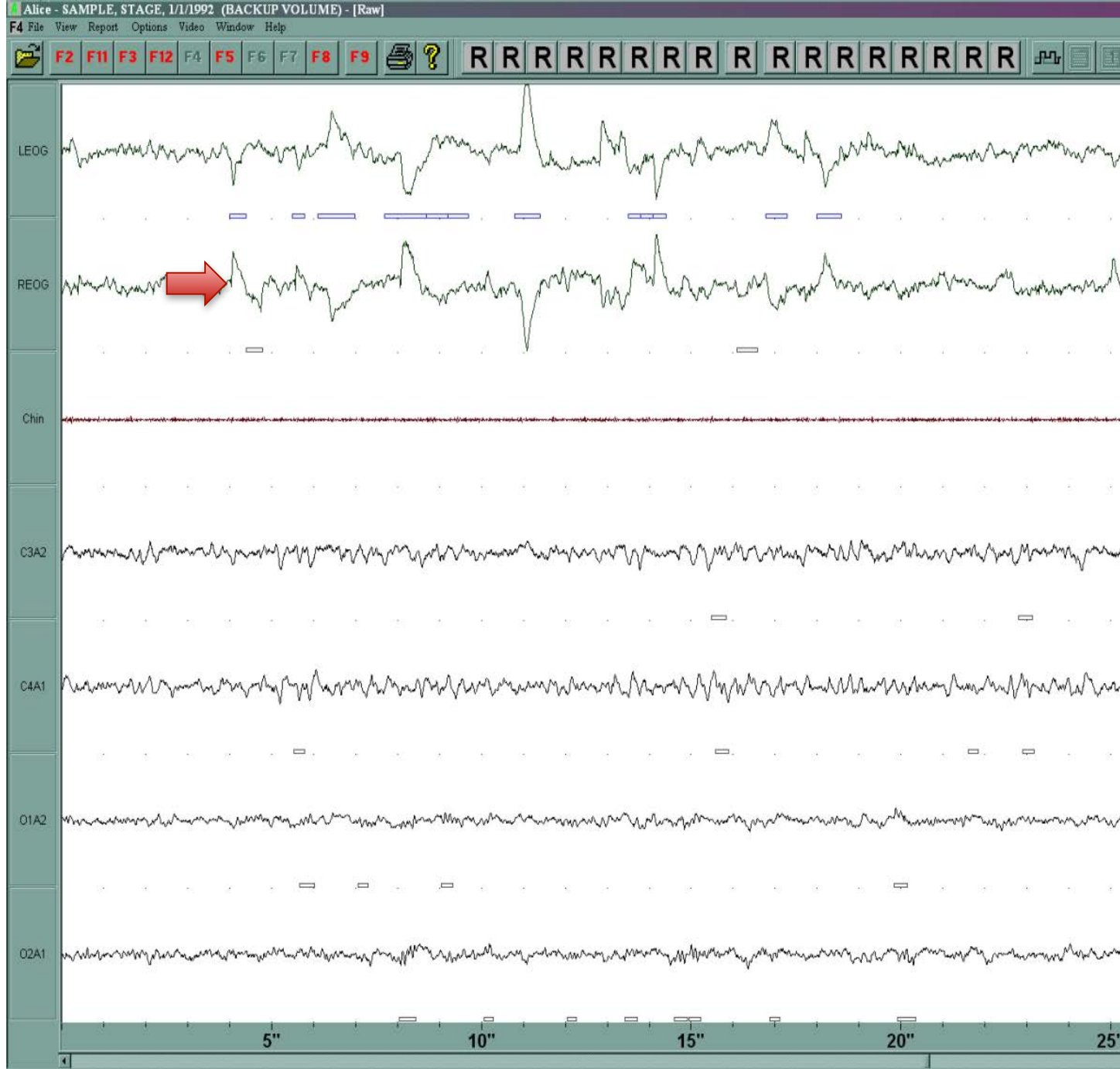
# Slow rolling movements

Drowsiness with closed eyes, N1 sleep, or brief awakenings

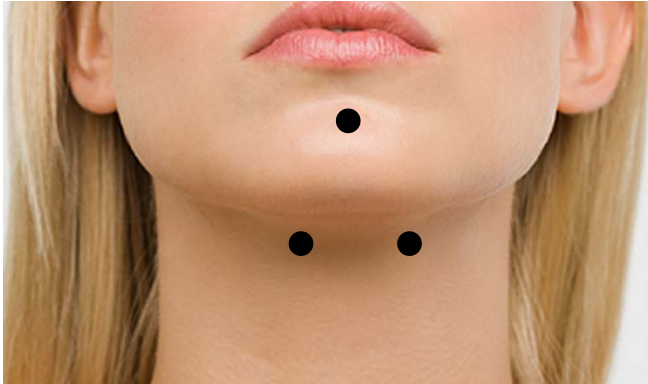


# Rapid eye movements

Wake with open eyes (eye blinks) or REM sleep



# Electro myography



Newbeauty.com

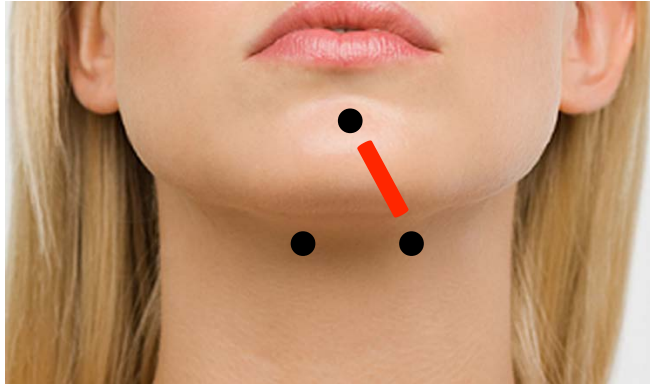
## Location of three electrodes

Midline, above the mandible

Right of midline,  
below the mandible

Left of midline,  
below the mandible

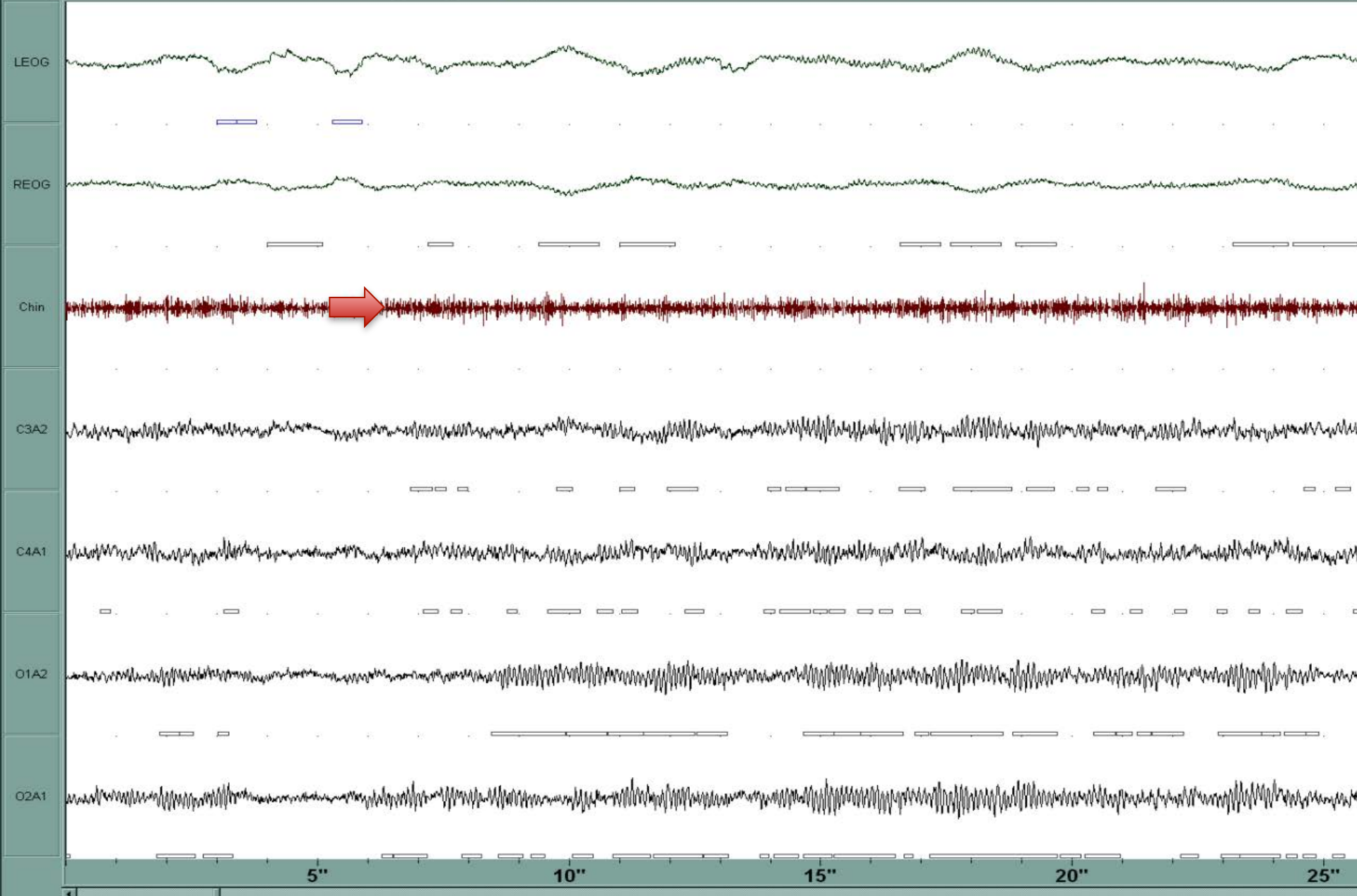
# Electro myography



Newbeauty.com

## Location of three electrodes

Derivation consists of one electrode below and one electrode above the mandible







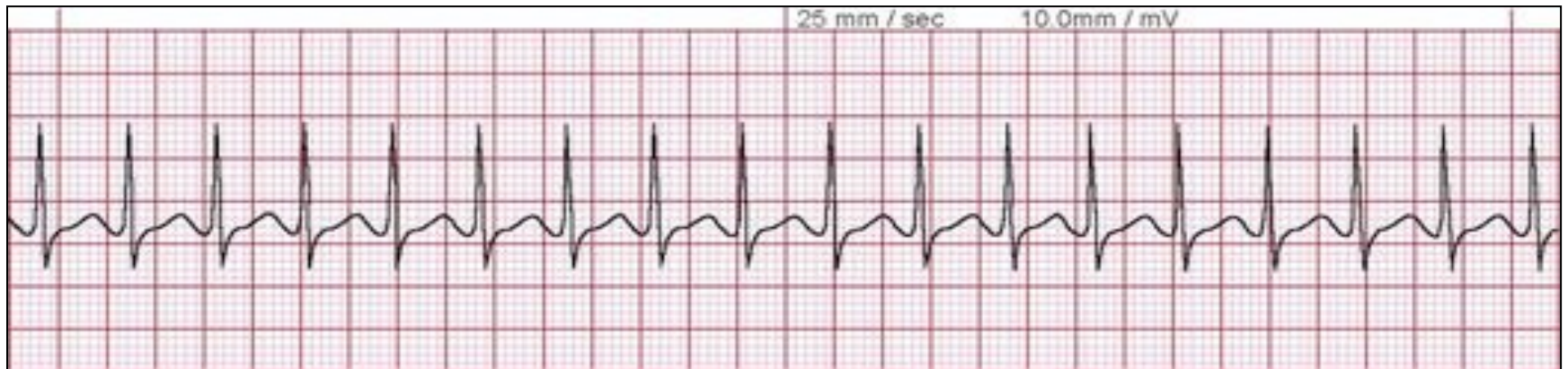
# Electrocardiography



Atrial fibrillation

*Yamashita T et al. Am J Cardiol 1998;82:1364-1367*

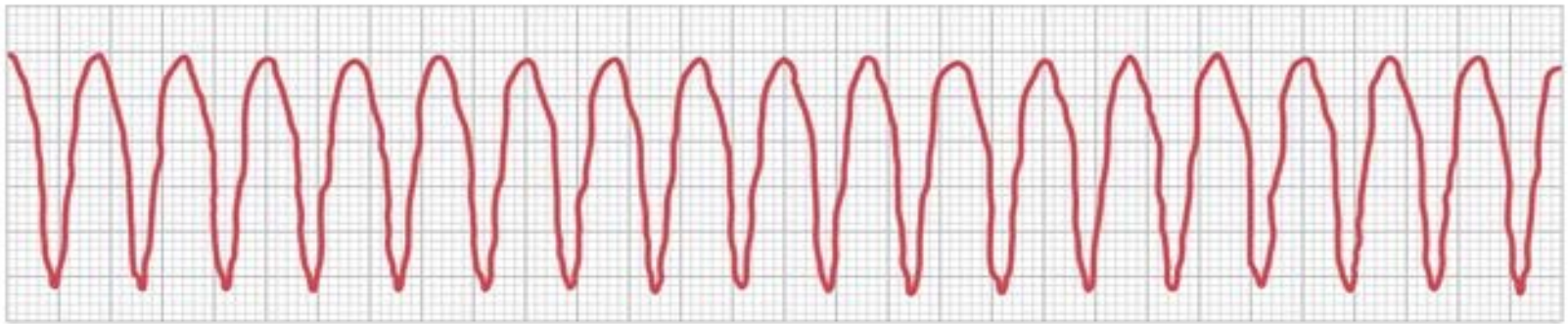
# Electrocardiography



Supraventricular tachycardia

*Yamashita T et al. Am J Cardiol 1998;82:1364-1367*

# Electrocardiography



Ventricular tachycardia

*Yamashita T et al. Am J Cardiol 1998;82:1364-1367*

# Scoring adult sleep stages

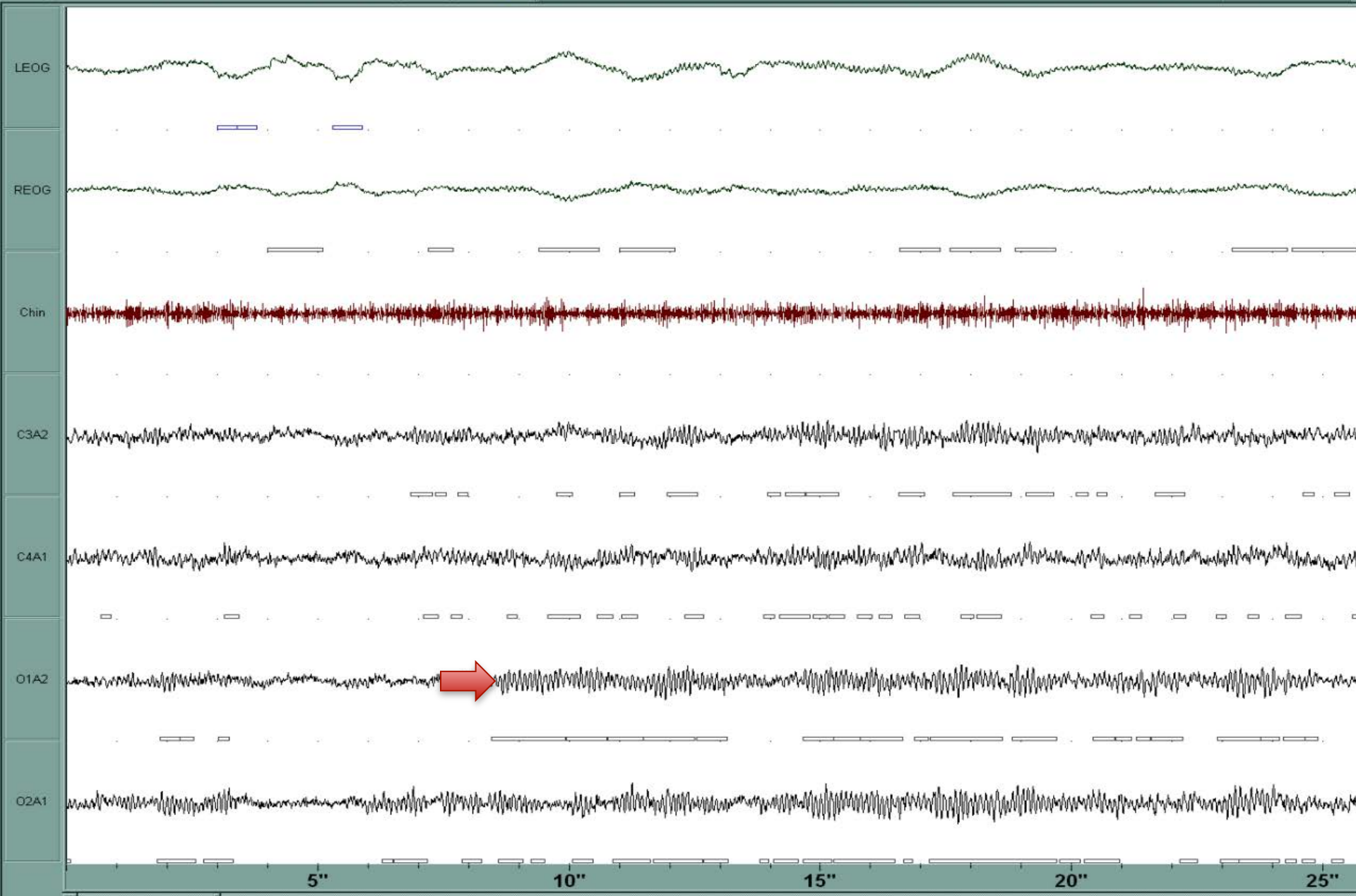
PSG data are divided into  
30-second time periods or  
**epoch**

Each  
**epoch**  
is assigned a  
sleep stage that  
comprises the  
greatest  
percentage of  
the epoch

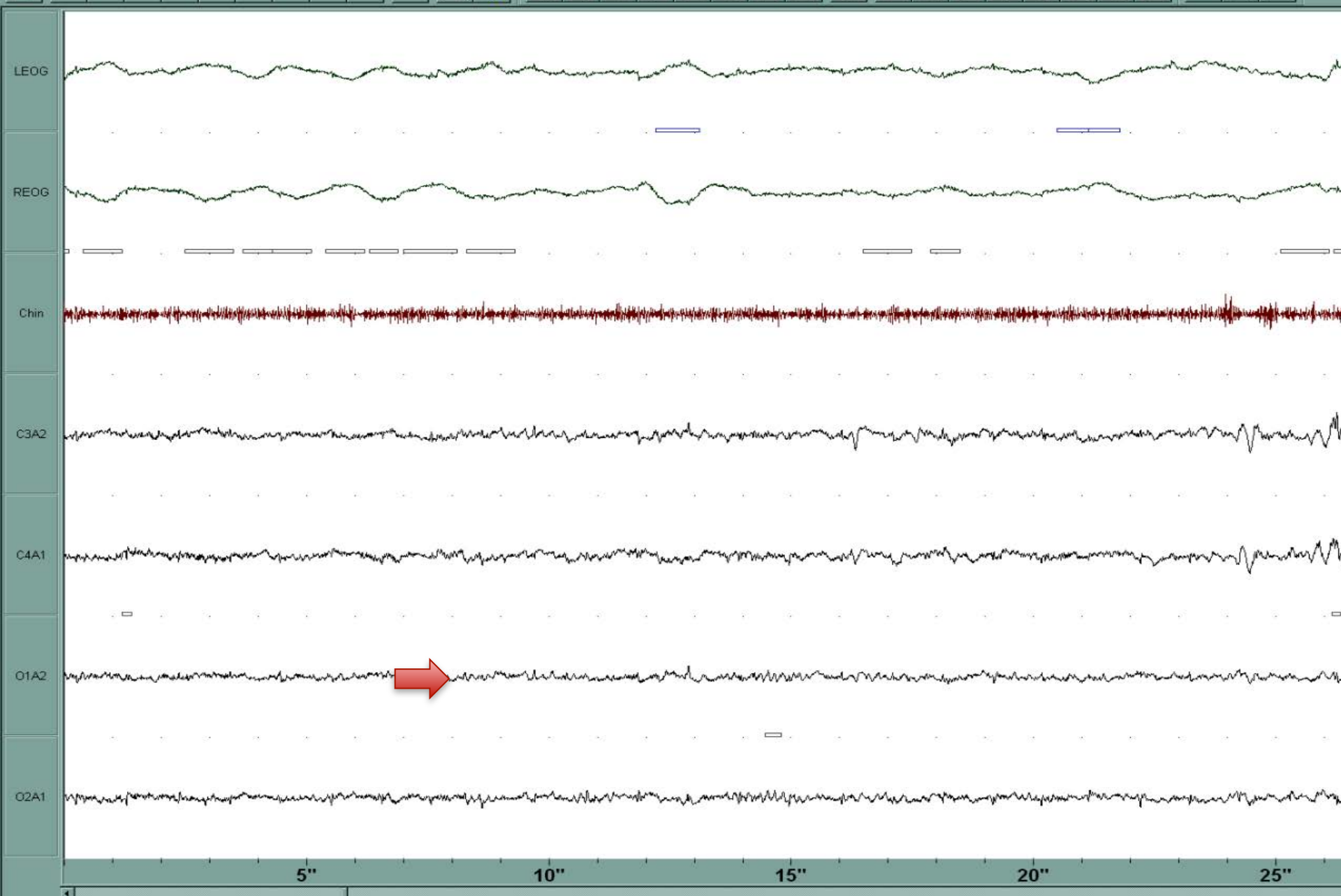
# Scoring adult sleep stages

- Stage W** > 50% of epoch contains alpha waves
- Stage N1** > 50% of the epoch contains theta waves; no K complexes, sleep spindles or rapid eye movements
- Stage N2** K complexes and sleep spindles
- Stage N3**  $\geq$  20% of epoch contains high-amplitude delta waves
- Stage R** Theta waves, rapid eye movements, low chin EMG tone

F2 F11 F3 F12 F4 F5 F6 F7 F8 F9 WWWWWWWWWWWW WWWWWWWWWWWW

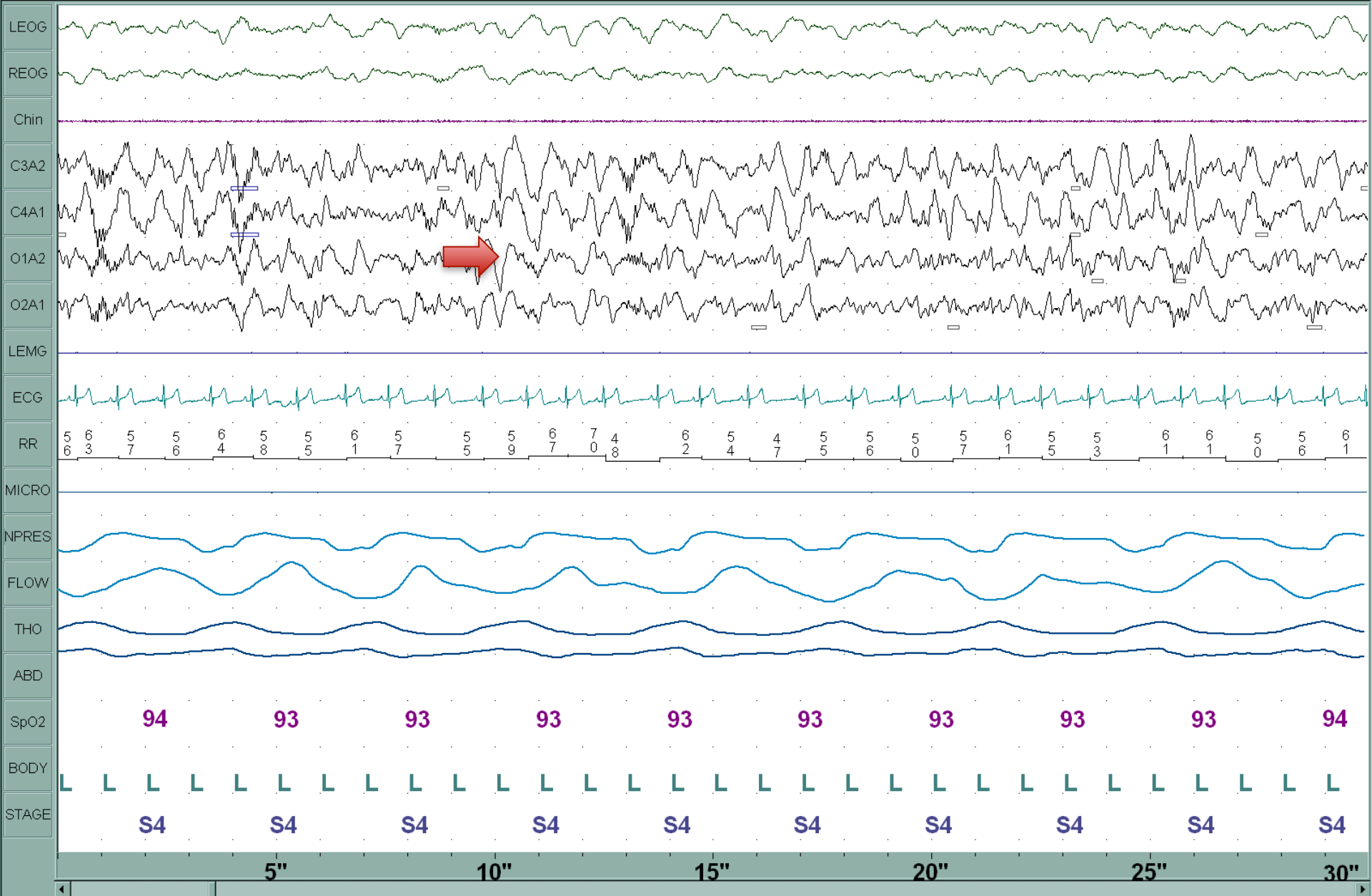


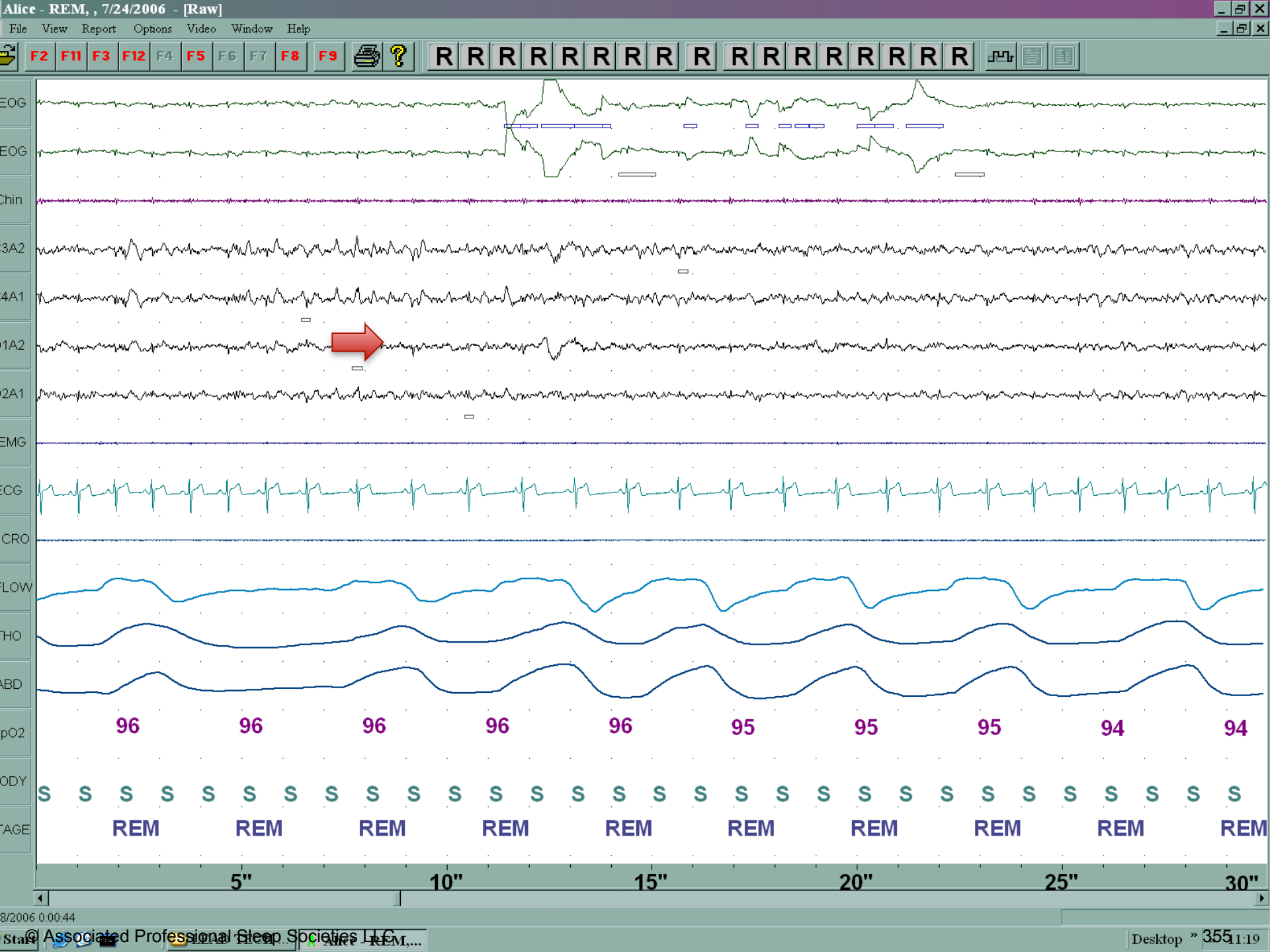
F2 F11 F3 F12 F4 F5 F6 F7 F8 F9 1 1 1 2 2 2 2 2 2 2 2 2 1 2 2 2 2











# Lee-Chiong' s 4 Steps to Sleep Scoring

## Step 1

Look for **alpha waves**

> 50% of epoch contains alpha waves = stage W  
< 50% of epoch contains alpha waves = Go to 2.

## Step 2

Look for **delta waves**

$\geq$  20% of epoch contains delta waves = N3  
< 20% of epoch contains delta waves = Go to 3.

# Lee-Chiong' s 4 Steps to Sleep Scoring

## Step 3

Look for **K complexes and sleep spindles**

Present = N2

Absent = Go to 4.

## Step 4

Look for **REMs, low chin EMG tone, theta waves**

Present = REM sleep

Absent = N1

# Percentage of adult sleep stages

N1 (5%)

N2 (45%)

N3 (25%)

REM (25%)

**50%-50% rule** Percentage of adult sleep stages

<b>50%</b> for N1, N2	N1 (5%)
	N2 (45%)
<b>50%</b> for N3, R	N3 (25%)
	REM (25%)

	<b>Adult</b>	<b>Children</b>
W	> 50% of epoch contains alpha waves	> 50% of epoch contains dominant posterior EEG rhythm
N1	> 50% of the epoch contains theta waves; no K complexes, sleep spindles or rapid eye movements	> 50% of the epoch contains theta waves
N2, N3, R	Same scoring rules	



# Scoring sleep in

# newborn infants

	Active (REM) sleep	Quiet sleep
Behavior	Eyes closed, visible movements	Eyes closed and few movements
Breathing	Irregular	Regular
EEG	Low-voltage irregular or mixed	High-voltage slow, trace alternant or sleep spindles

Scoring sleep in

newborn infants

	Active (REM) sleep	Quiet sleep
EOG	REMs	No movement
EMG	Low	Higher

# Measuring airflow

Oronasal  
thermal sensor

recommended technique  
for identifying apneas

Nasal pressure  
transducer

recommended technique  
for identifying hypopneas

# Measuring airflow

Oronasal  
thermal sensor

recommended technique  
for identifying apneas

Nasal pressure  
transducer

recommended technique  
for identifying hypopneas

Inspiratory flow signal

Obstructive events  $\Rightarrow$  plateau  
(flattening)

Central events  $\Rightarrow$  reduced but  
rounded

## Recommended sensors

Respiratory effort	Inductance plethysmography Esophageal manometry
--------------------	--

Oxygen saturation	Pulse oximetry
-------------------	----------------

Alveolar hypoventilation	PtcCO <sub>2</sub> or PetCO <sub>2</sub>
-----------------------------	--

# Scoring apneas

Decrease in peak thermal sensor amplitude by  $> 90\%$  of baseline for

Adult  $\geq 10$  seconds  
Pediatric  $\geq 2$  missed breaths (OSA)

## Obstructive

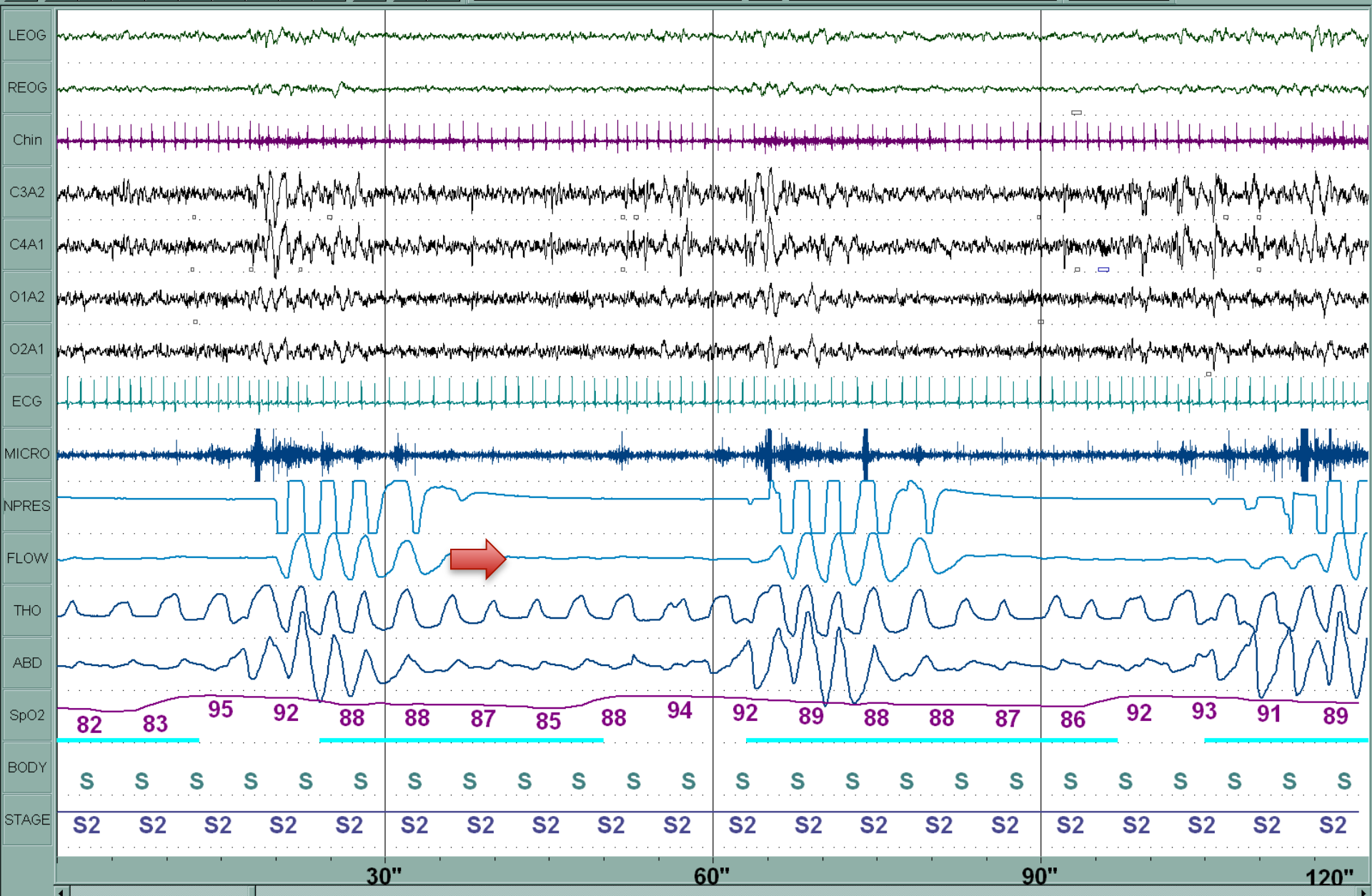
Inspiratory effort is present throughout the entire event

## Central

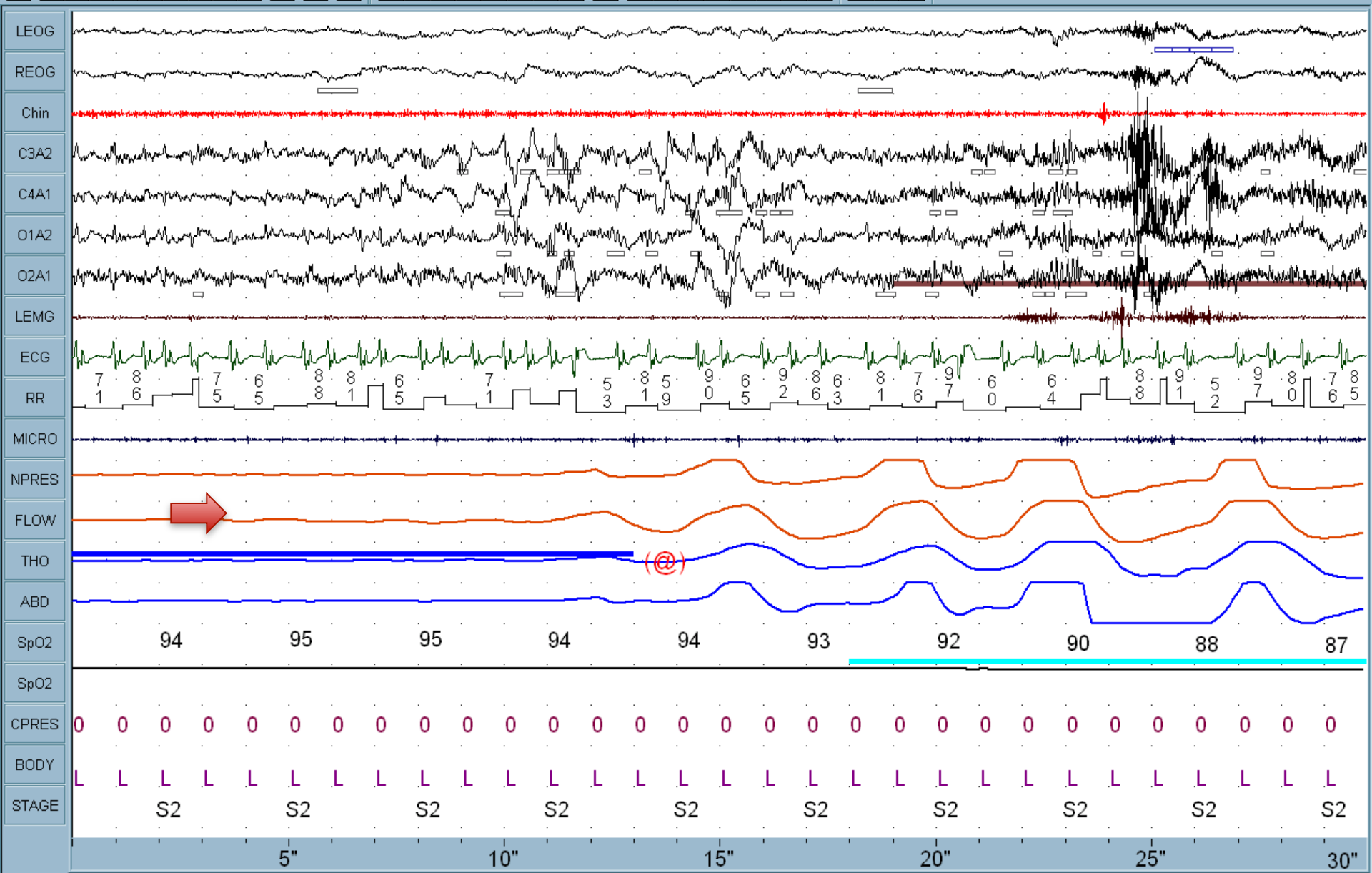
Inspiratory effort is absent throughout the entire event

## Mixed

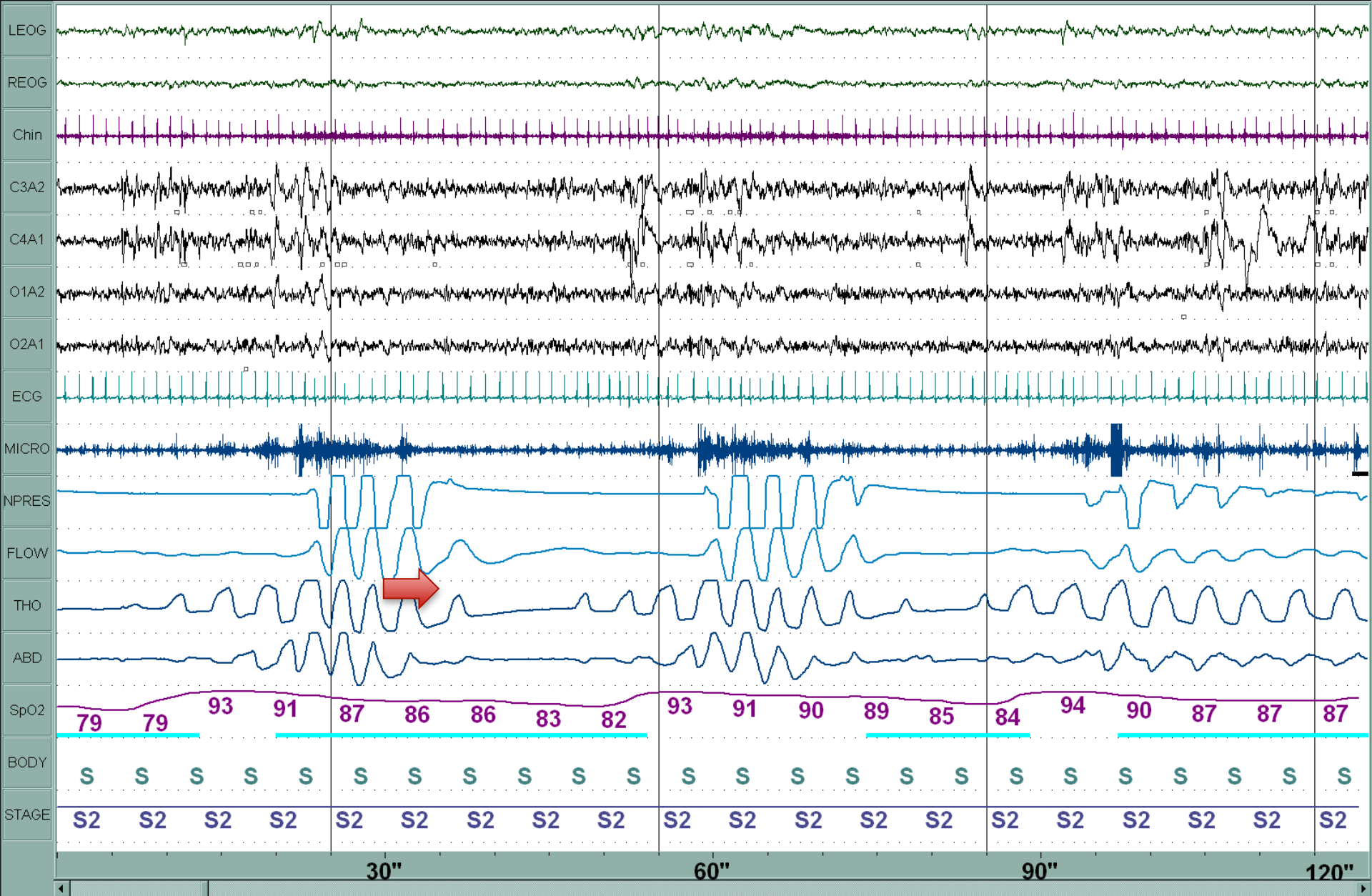
Central event followed by an obstructive event







F2 F11 F3 F12 F4 F5 F6 F7 F8 F9 [Icons]



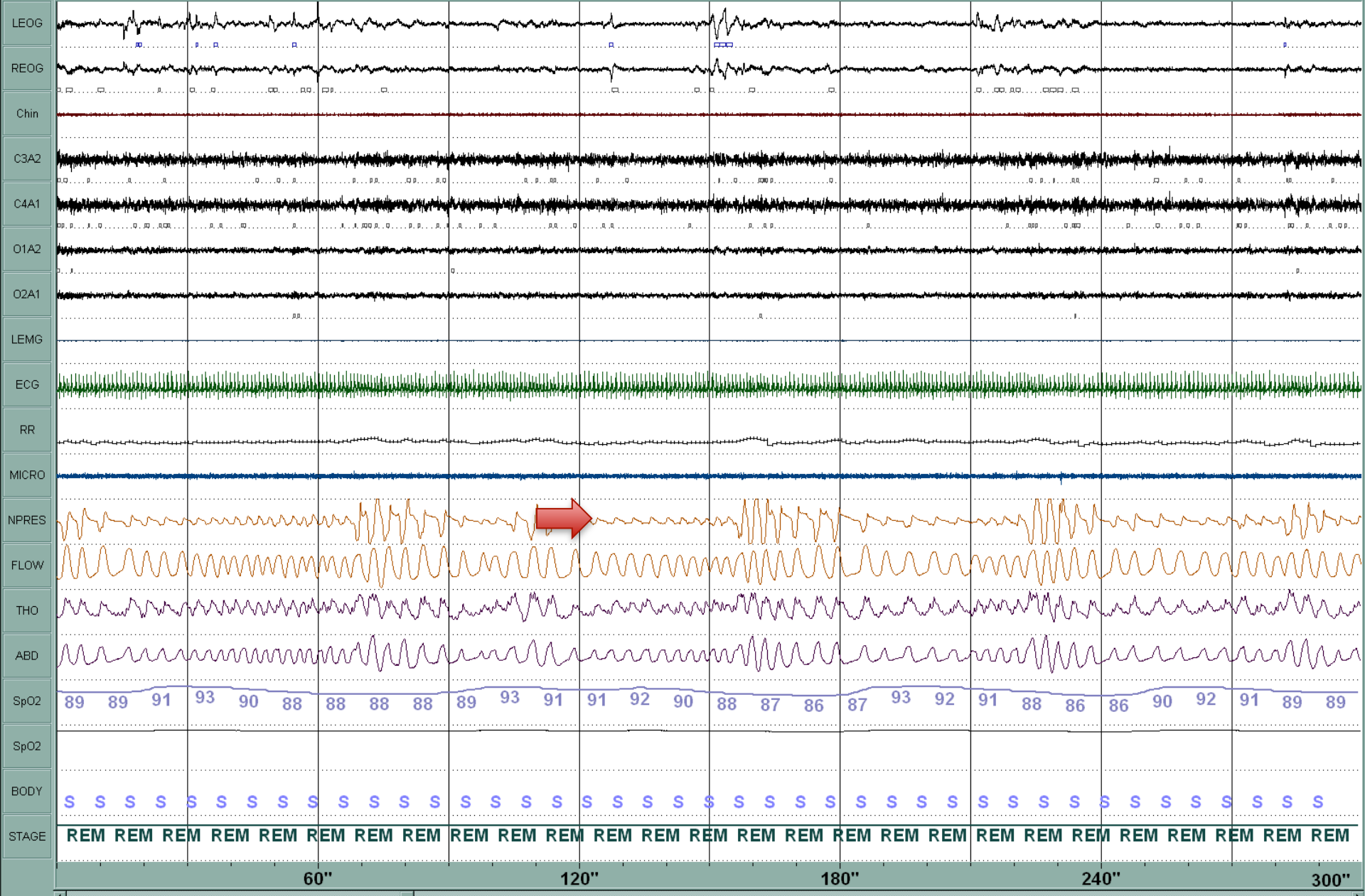
# Scoring hypopneas

Decrease in nasal pressure amplitude

**Adult**  $\geq 30\%$  of baseline for  $\geq 10$  seconds *plus*  $\geq 3\%$  O<sub>2</sub> desaturation

**Pediatric**  $\geq 30\%$  of baseline for  $\geq 2$  missed breaths *plus* arousal or  $\geq 3\%$  O<sub>2</sub> desaturation

F2 F11 F3 F12 F4 F5 F6 F7 F8 F9
?
⏪ ⏩ ⏴ ⏵



60" 120" 180" 240" 300"

# Scoring hypoventilation

Increase in PaCO<sub>2</sub>, PtcCO<sub>2</sub> or PetCO<sub>2</sub>

- Adult** > 55 mmHg for  $\geq$  10 minutes, or  $\geq$  10 mmHg from wake value to > 50 mmHg for  $\geq$  10 minutes
- Pediatric** > 50 mmHg for > 25% of TST

# Scoring PLMS

$\geq 4$  Consecutive leg movements

**0.5-10 secs** Duration for each event

$\geq 8 \mu\text{V}$  Amplitude above resting EMG

**5-90 secs** Between onsets of consecutive movements

# Scoring PLMS

Leg movements on different legs are counted as 1 movement if separated by  $< 5$  seconds

Do not score LMs that are within 0.5 seconds of a SDB event





## Scoring arousals

<b>NREM</b> arousals	Require changes in <b>EEG only</b>
<b>REM</b> arousals	Require changes in <b>EEG and EMG</b>
<b>EEG</b> changes	Abrupt EEG frequency shift (alpha, theta or $> 16$ Hz, but not spindles) $\geq 3$ seconds and preceded by $\geq 10$ seconds of stable sleep
<b>EMG</b> changes	Increase in chin EMG $\geq 1$ second

## 60 Hz interference

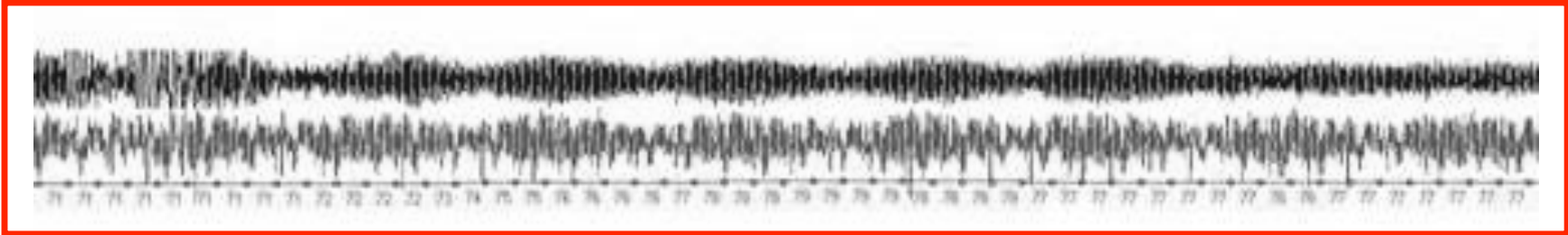
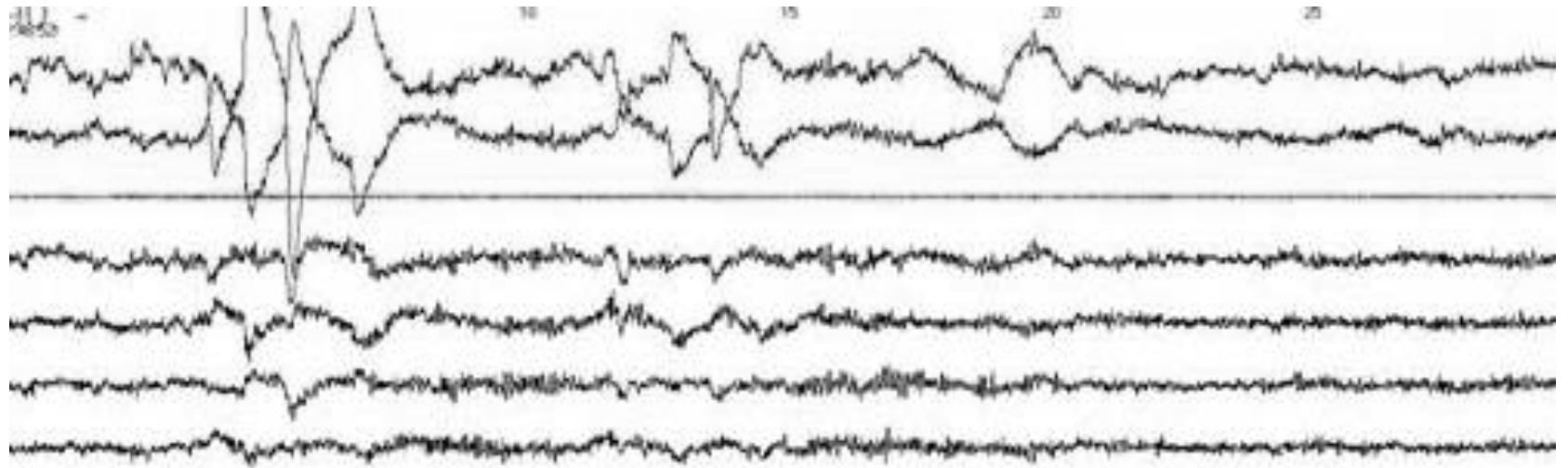
Dense, square-shaped  
EEG tracing

Due to

Interference by 60 Hz  
electrical activity  
from power lines

High and unequal  
electrode impedance

Lead failure



## 60 Hz interference

Dense, square-shaped  
EEG tracing

Corrective measure/s

Fix electrode

placement or change  
leads

Use 60 Hz filter as a  
last resort

# Electrode popping

Sudden, sharp, high-amplitude deflections

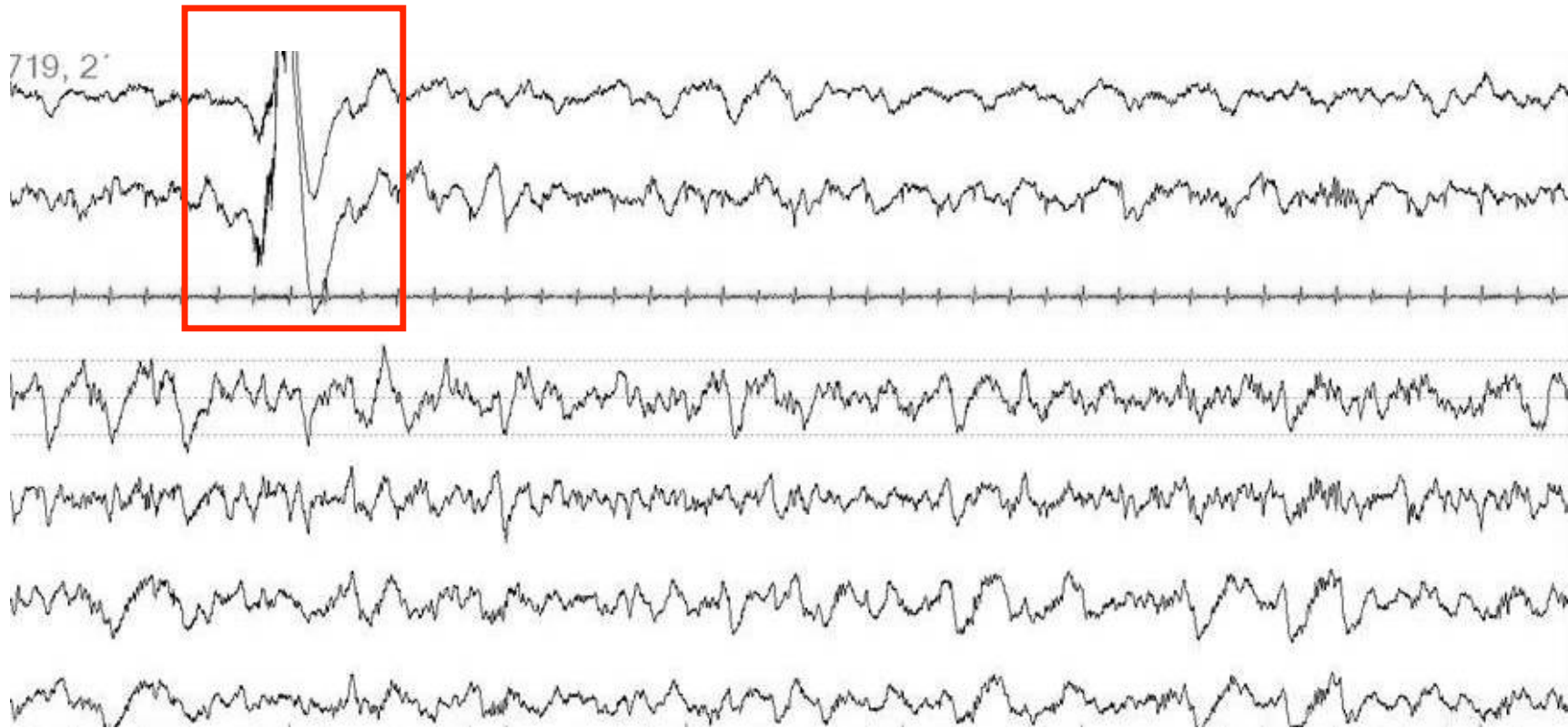
Due to

Pulling of electrode leads away from the skin

Patient lying on the electrode

Faulty electrode placement

Drying out of electrode gel



# Electrode popping

Sudden, sharp, high-amplitude deflections

Corrective measure/s

Fix electrode

placement or change  
lead

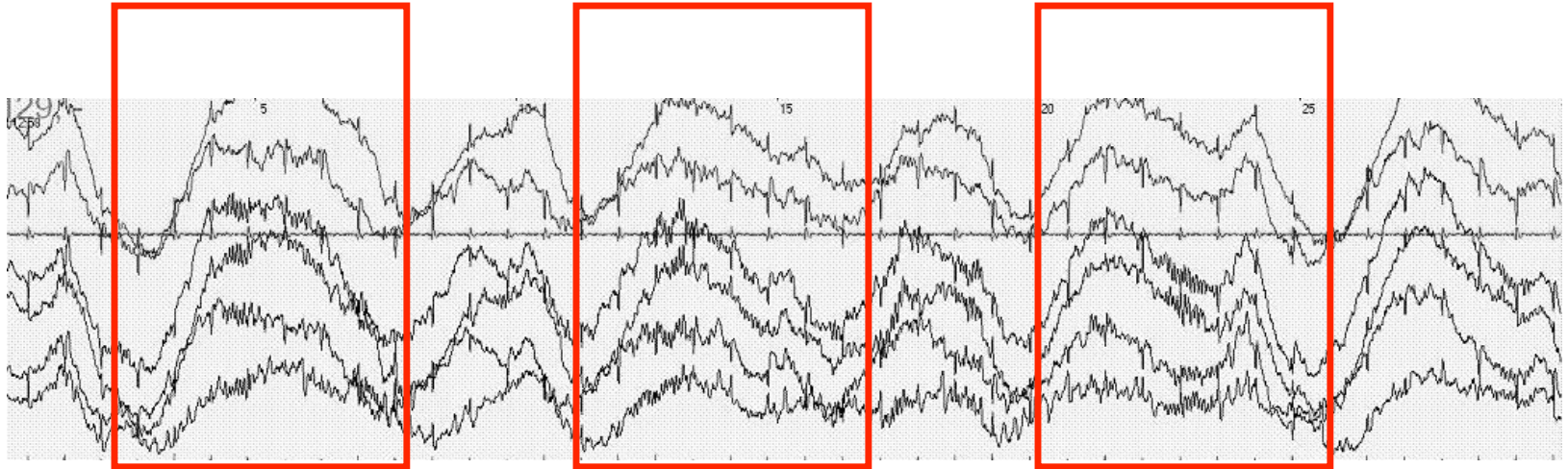
Apply more electrode  
gel

## Sweat artifact

Slow undulating  
movements that are  
synchronous with  
respiration

Due to  
Alterations in  
electrode potentials  
by salt in sweat





## Sweat artifact

Slow undulating  
movements that are  
synchronous with  
respiration

Corrective measure/s  
Decrease room  
temperature

# Patterns of sleep architecture

High sleep input  
pattern

Low sleep input  
pattern

Circadian  
rhythm sleep  
patterns

# Patterns of sleep architecture

High sleep input  
pattern

↓ SOL ↑ SE ↑ TST ↓ WASO

Low sleep input  
pattern

Circadian  
rhythm sleep  
patterns

Sleep deprivation  
Disorders with EDS  
Sedating medications

# Patterns of sleep architecture

High sleep input  
pattern

**Low sleep input  
pattern**

Circadian  
rhythm sleep  
patterns

↑ SOL ↓ SE ↓ TST ↑ WASO

Disorders with insomnia  
Stimulant medications

# Patterns of sleep architecture

High sleep input  
pattern

Low sleep input  
pattern

**Circadian  
rhythm sleep  
patterns**

Normal during habitual sleep  
schedule

During conventional sleep  
schedule:

**DSPS** ↑ SOL and ↓ TST

**ASPS** ↓ or normal SOL, ↓ TST,  
and early wake time

	MSLT	MWT
Measures	Tendency to fall asleep in quiet situations	Ability to remain awake in quiet situations
PSG prior to test	Required	Not required
Nap opportunities	4-5 naps at 2 hour intervals	4 naps at 2-hour intervals
Nap duration	20 minutes	40 minutes

	MSLT	MWT
Protocol	Lie down in a comfortable position in a dark, quiet room	Sit in bed in a semi-reclined position and in a dark, quiet room
Instructions	Close eyes and try to fall asleep	Try to stay awake



	MSLT	MWT
Termination of study	No sleep after 20 minutes 15 minutes after onset of sleep	3 consecutive epochs of N1 sleep 1 epoch of any other sleep stage No sleep after 40 minutes
Standard leads	EEG, EOG, chin EMG and ECG	EEG, EOG and chin EMG

# Medications and sleep

↓ N3 and ↓ R

BZ receptor agonists

Stimulants

Opioids

↓ R, ↑ N3 and ↑ REM SL

Antidepressants

## Benzodiazepines

Increase both spindle (12-14 Hz) and “pseudo-spindles” (14-18 Hz) density

## SSRI

Can cause slow eye movements during NREM sleep (“Prozac eyes”)

Can induce RBD

## Antidepressants

Can induce or worsen RLS or PLMD (think: mirtazapine)  
Exception: Bupropion

Biphasic effect  
Alcohol

Stimulating – At low doses and on the rising phase of alcohol levels

Sedating – At high doses and on the falling phase of alcohol levels

Biphasic effect  
Alcohol

Stimulating – At low doses and on the rising phase of alcohol levels  
*Visualize an animated person having fun at a bar*

Sedating – At high doses and on the falling phase of alcohol levels  
*Visualize a drowsy person driving home after leaving the bar*

**Alcohol**  
PSG features

Acute alcohol ingestion

**First part** of the sleep period =  
↓ SOL, ↓ WASO, ↑ **N3**, ↑ REM SL  
and ↓ R

**Second part** of the sleep period =  
↑ WASO, ↓ N3 and ↑ **R**

# Hypersomnolence



# D' causes of sleepiness

- Deprivation (sleep)
- Disorder (sleep)
- Disease
- Depression
- Delirium
- Delayed sleep phase
- Drugs (medications)
- Dope (illicit substance)
- Drinking (ETOH use)
- Drama (malingering)

# Narcolepsy

Cataplexy

Hallucinations

Insomnia (sleep disturbance)

Paralysis

Sleepiness

Think orexin  
(appetite)  $\Rightarrow$  chips

	Narcolepsy	Idiopathic hypersomnia
Cataplexy	May be present	Absent
Daytime napping	Transiently refreshing	Not refreshing
Nighttime sleep	Sleep disturbance common, ↓ SOL, ↓ REM SL	May be normal or prolonged in duration
Response to stimulant therapy	More predictable improvement	Less predictable improvement

	Narcolepsy	Idiopathic hypersomnia
MSLT	↓ SOL, SOREMPs present	↓ SOL, SOREMPs may be present
HLA typing	DQB1*0602	CW2
CSF hypocretin	Low levels (narcolepsy with cataplexy) Normal levels (in some narcolepsy without cataplexy)	Normal levels

# Kleine-Levin syndrome

Sleepiness

Eating

Xtacy

Young men

# Kleine-Levin syndrome

Severity of  
hypersomnia

may decrease Sleepiness  
over time

Diencephalic  
hypoperfusion  
on SPECT scan

# Narcolepsy

Most common cataplexy trigger	Laughter and anger
Most commonly affected in cataplexy	Leg and jaw weakness
Mechanism	Loss of hypothalamic hypocretin neurons
MSLT	Mean SOL < 8 minutes; $\geq 2$ SOREMPs
CSF Hypocretin 1	< 110 pg/mL, or < 1/3 of mean normal control values
Wrong answer	HLA typing

# Therapy for EDS disorders

Always the right answer	Sleep extension
Napping	Napping + caffeine is better
Single therapy for EDS, cataplexy and insomnia	$\gamma$ -hydroxybutyrate
When using modafinil	Add a 2 <sup>nd</sup> form of contraception to birth control pills
Development of liver failure	Ask about pemoline use
Development of rash	Stop modafinil
Suspect Klein Levin syndrome	Consider lithium therapy



# Insomnia

# Trust the National Inquirer

**In 1966 she yawned... and her nightmare began**

## WOMAN HASN'T SLEPT IN 30 YEARS!

By ROSE GRADY  
Weekly World News

Thirty years ago, Joan Moore yawned — and hasn't slept another wink since!

Now this woman, whose incredible ordeal has made medical history, prays for death, when she will at last be able to close her eyes in restful eternal sleep.

Gaunt and fragile from the ravages of her nightmare, the 57-year-old insomniac spends each night in a chair, dressed in a nightgown and waiting for the dawn.

"In the silence and emptiness, I feel as though I am the only person alive in the world," the Manchester, N.H., woman told Weekly World News.

"God has given me a cross to bear. Oh, how I wish I could remember what sleep was like."

Her sleepless Hell on Earth began one night 30 long years ago when then 27-year-old Joan, exhausted after a grueling day teaching elementary school, came back to her house and made a deep yawn.

"I remember it so well," she said.

"I got a very strange feeling in my head. I thought little of it when it happened, but I haven't been able to go to sleep since that time."

The country's top neurosurgeons have studied Joan's case for years, and several medical papers have been written on her condition.

"After months of tests, I came to the conclusion that

**'I just sit in my room and pray to God for mercy...'**

she is suffering from the extremely rare condition of chronic colicostis," said one physician.

"This impaired the sleep section of her brain and produced total insomnia."

He explained that sleeplessness doesn't damage health because the body can function with little or no sleep.

But life for Joan is an unrelenting torment of waiting and praying for her nightmare to end.

During the day, she busies herself visiting with neigh-

bors who stop by. But after they go home, she faces another long night without sleep.

"I just sit in my room with my rosary, praying to my dear God for mercy. I say, 'Oh, my beloved Jesus. Free me from prison. Please! Lift the cross that has burdened me for so many years.'"

"Someday — maybe soon — I know that I will close my eyes in eternal sleep. My nightmare will be over. It will be a blessing I will embrace with all my heart."



FORMER schoolteacher Joan Moore dresses for bed each night, but she hasn't been able to get any sleep since 1966.

**BREEDER TURNS 2-POUND PUPS INTO KILLER GUARD DOGS**

MEXICO CITY — Dog breeder Juan Reyes has developed the most effective crime-busting canine yet. The killer Chihuahua!

You heard right. Reyes' vicious two-pound attack dogs are now the third most popular animal on the home protection market, just behind German shepherds and Dobermans. They resemble normal Chihuahuas, but their jaws are wider and stronger. They're specially bred to attack without mercy.

"Of course, just one won't do the job," says Reyes, 36. "They're too small. The idea is to buy eight or nine. When intruders break in, the dogs attack en masse and tear them apart."

"An armed burglar can stop a Doberman with a single shot. But when nine speedy Chihuahuas are flying at him at once, even if he gets two, the other seven will rip him to shreds."

Trust  
the  
National Inquirer

Risk factors  
for insomnia

Old, poor, unemployed, divorced, frail, sick woman

**In 1966 she yawned... and her nightmare began**

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# Pathophysiologic model of insomnia

Predisposing factors	Physiologic or psychological hyperarousal Decreased homeostatic sleep drive
Precipitating factors	Changes in sleep environment or sleep-wake schedule Acute stressful life events
Perpetuating factors	Poor sleep hygiene Maladaptive behaviors related to sleep

## Causes of insomnia: clues

Acute stressor	Adjustment insomnia
Lifelong insomnia	Idiopathic insomnia
Bad habits	Inadequate sleep hygiene
Very minimal/no sleep for several days	Paradoxical insomnia
Rumination and intrusive thoughts	Psychophysiological insomnia

# Insomnia: Cognitive behavioral treatments

Short-term benefits are  
comparable to pharmacologic  
therapy

Long-term follow-up, CBT is more  
effective than pharmacotherapy

# Insomnia: Cognitive behavioral treatments

- = drugs      **Short-term** benefits are comparable to pharmacologic therapy
- > drugs      **Long-term** follow-up, CBT is more effective than pharmacotherapy

# Insomnia: Cognitive behavioral treatments

Combined  
drug and  
CBT  
acutely  
(6 weeks)  
followed by  
CBT alone  
(6 months)

Best  
**Long-term** outcome



# Insomnia: Cognitive Behavioral Treatments

↓ SOL (> effective than pharmacotherapy)

↑ TST (< effective than pharmacotherapy)

## Most effective therapies

Stimulus control

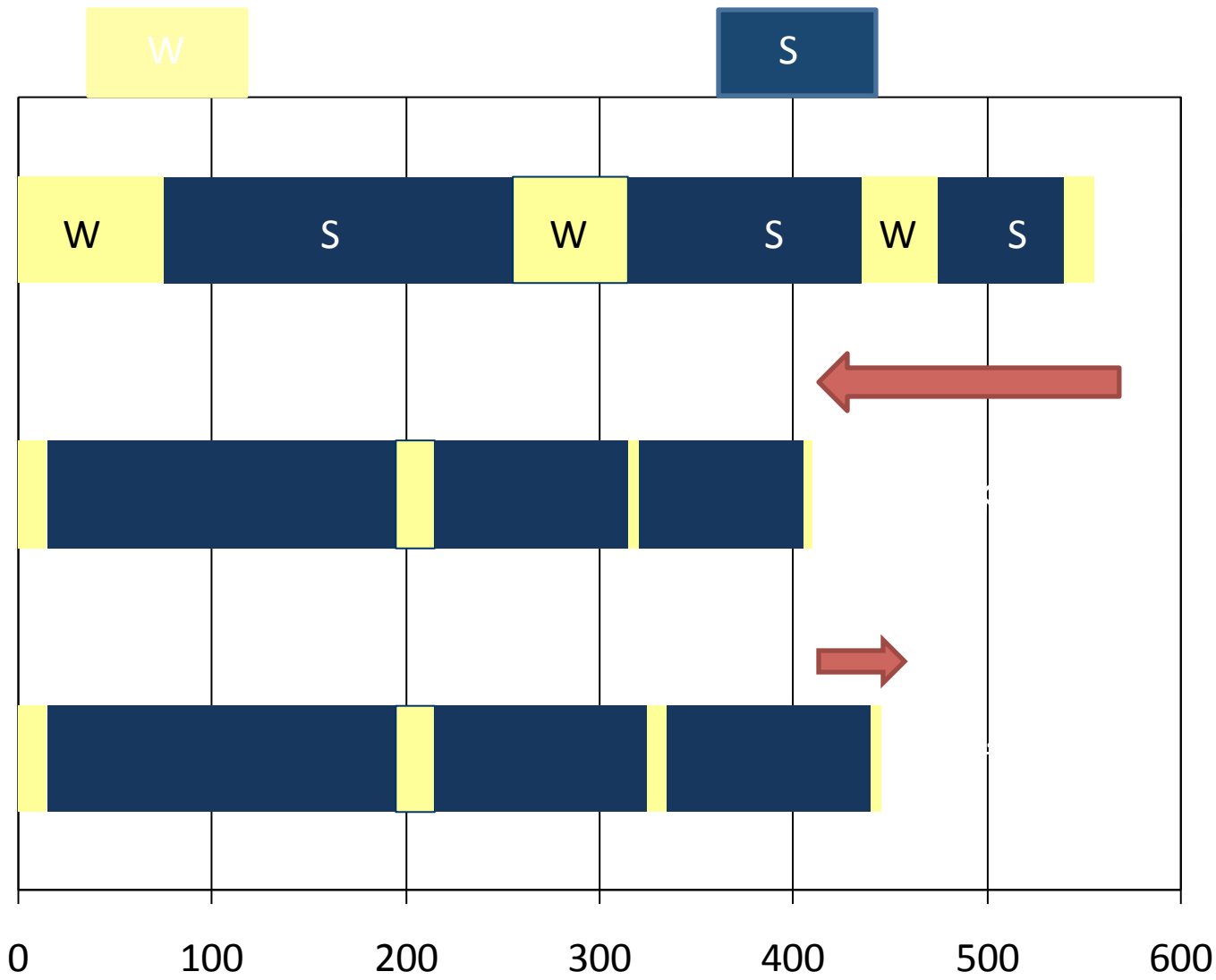
Sleep restriction

# Cognitive therapy

Paradoxical intention	Decreases performance anxiety associated with efforts to fall asleep
Cognitive therapy	Addresses dysfunctional beliefs
Relaxation techniques	Decreases somatic and cognitive arousal
Stimulus control	Associates the bedroom/time to a conditioned response for sleep
Sleep restriction	Increases homeostatic sleep drive by reducing time in bed

# CBT-I: what to tell your patient

Paradoxical intention	“Stay awake (if you can).”
Cognitive therapy	“Many of the things you know about your insomnia <i>might be</i> wrong.”
Relaxation techniques	“Just chill.”
Stimulus control	“Do not multi-task in bed.”
Sleep restriction	“Less bedtime for more sleep time.”



# CBT-I: what to tell your child

<p>Repetitive stalling or refusal to go to sleep at an <i>appropriate</i> time when requested to do so (Limit setting sleep disorder)</p>	<p>Go to bed. Enforce limits.</p>
<p>Inability to fall asleep unless certain desired conditions (e.g., favorite toy or presence of a caregiver) are present at bedtime. (Sleep-onset association disorder)</p>	<p>Go to bed. Extinction techniques.</p>

# Insomnia: pharmacotherapy

Patient is	
Female or adult requesting zolpidem	Start at lower dose
Taking ramelteon	Do not give fluvoxamine and avoid in hepatic impairment
Blind	Consider tasimelteon
Narcoleptic	Do not give suvorexant
Complaining of priapism	Stop trazodone
Sleeping poorly at altitude	Consider acetazolamide

# Insomnia: pharmacotherapy

Patient is	
Complaining of rebound insomnia	Slow drug taper and start CBT-I
Reporting a scaly rash	Inquire about use of kava
Suffering from hepatotoxicity	Stop valerian and kava
Having sleep maintenance insomnia	Choose longer acting agent
Sleepy the next day	Choose shorter acting agent

# Parasomnias and restless legs syndrome



Physical or experiential phenomena that occur during sleep

## Parasomnia

Physical or experiential phenomena that occur during sleep

## Parasomnia

Occurring during  
**NREM sleep**

Confusional arousals  
Sleep terrors  
Sleepwalking

Occurring during  
**REM sleep**

Nightmares  
REM sleep behavior  
disorder

	Nightmares	Sleep terrors	RBD
Time of night	Latter half of the night	First half of the night	Latter half of the night
Sleep stage	REM sleep	N3 sleep	REM sleep
Level of consciousness	Awake and alert	Confused and disoriented	Asleep
Memory of episode	Full recall	Partial or complete amnesia	Variable
Subsequent return to sleep	Delayed	Rapid	



## Treating parasomnias

Avoid sleep  
deprivation

Trial of sleep extension

**Scheduled awakening**  
for sleep terrors

**Image rehearsal** for  
nightmares

**Prasozin** for PTSD  
nightmares

Stop zolpidem for  
sleep-eating

## Managing RBD

Low-dose clonazepam  
Melatonin  
Environmental  
precautions

# Restless legs syndrome

I

D

L

E

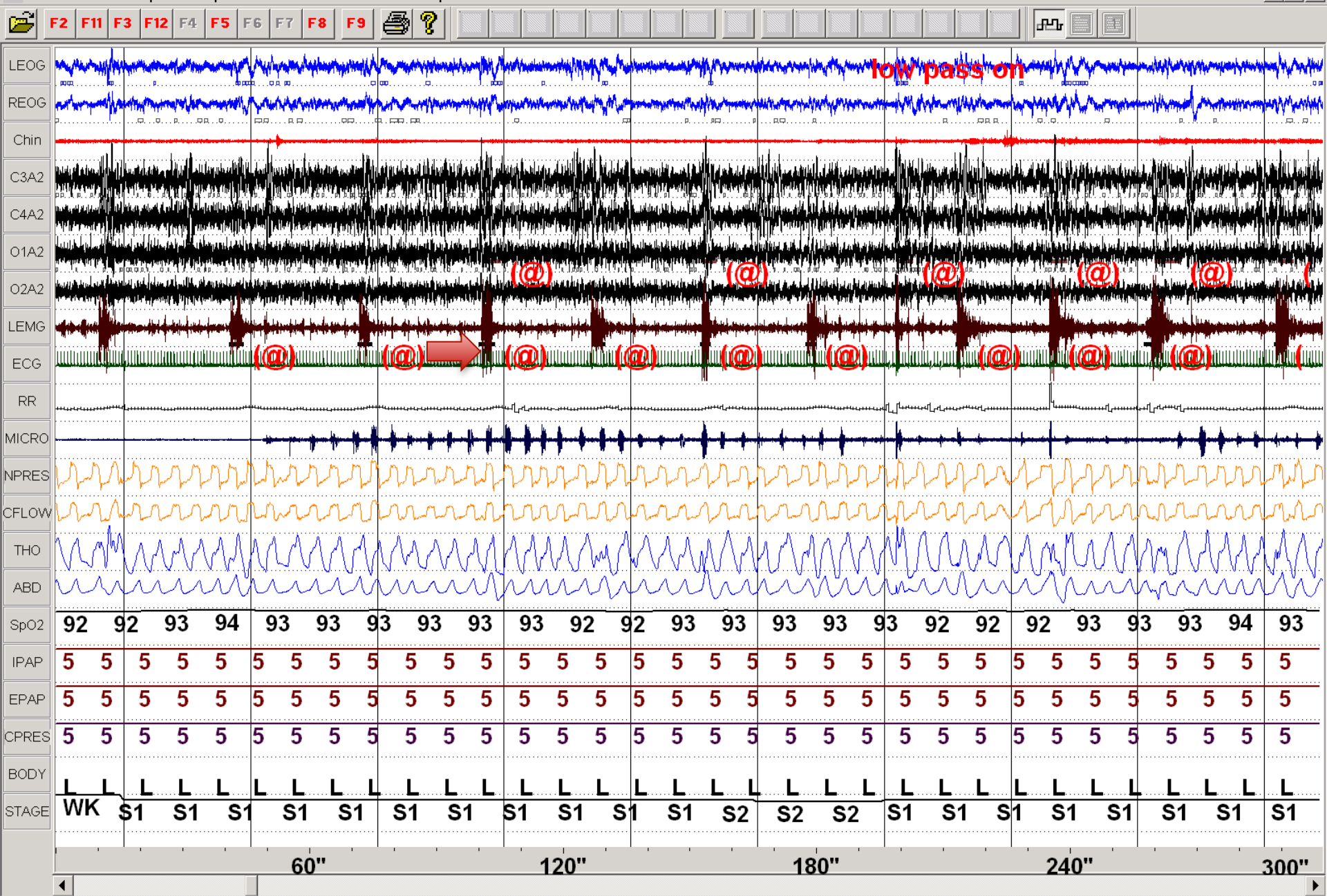
Are relieved  
transiently by  
movement

# Restless legs syndrome

Increased prevalence with  
anemia, uremia, pregnancy,  
aging and antidepressants  
(mirtazapine)

70%-90% of  
persons have  
PLMS





# Treating RLS and PLMD

Diagnosing RLS	Select history; no PSG
Diagnosing PLMD	Choose PSG
Stop risk factors	Ask about antidepressants
If serum ferritin < 50 µg/L	Give iron
RLS in renal failure	Select ropirinole
Augmentation	Stop levodopa; decrease dose
Cardiac valve fibrosis	Stop pergolide
Impulse control disorder	Stop pramipexole and ropirinole
Asymptomatic PLMs	Do not treat

# Circadian rhythm sleep-wake disorders

# Actigraphy

Better at identifying **sleep duration** than SOL

Detects less TST than PSG

# Actigraphy

Higher degree of correlation with PSG among normal sleepers than in patients with insomnia

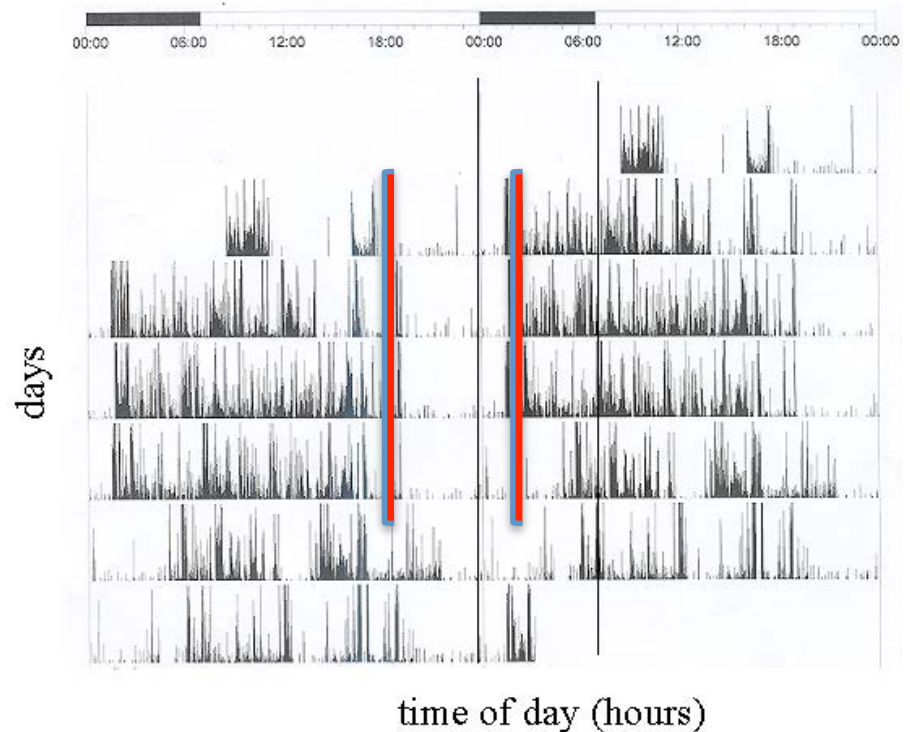
## Circadian rhythm sleep-wake disorders

Recurrent or persistent **misalignment** between the desired sleep schedule and the circadian sleep-wake rhythm  
Present with insomnia or sleepiness (or both)

# Advanced sleep phase

Morning lark  
 $\geq$  Middle age  
r/o depression

Therapy:  
PM light  
(before  $CT_{min}$ )



# Delayed sleep phase

Night owl

Sleep inertia

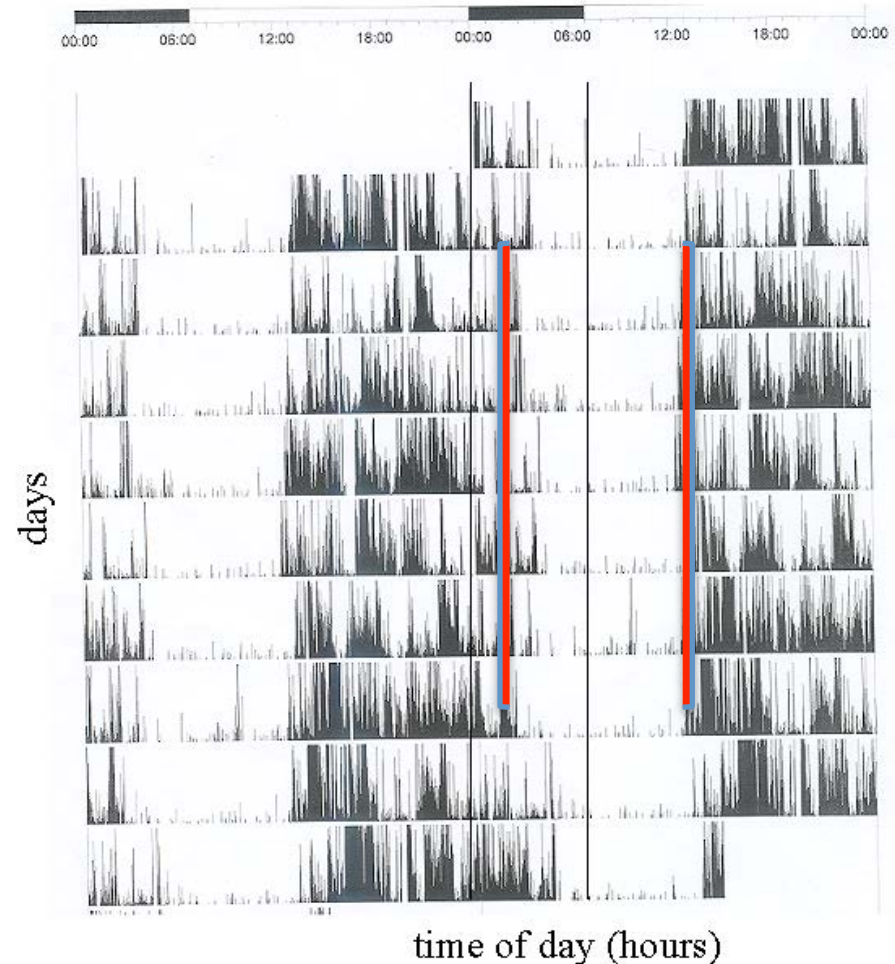
Adolescence

Therapy:

AM light

(after  $CT_{min}$ )

PM melatonin





## Delayed sleep phase

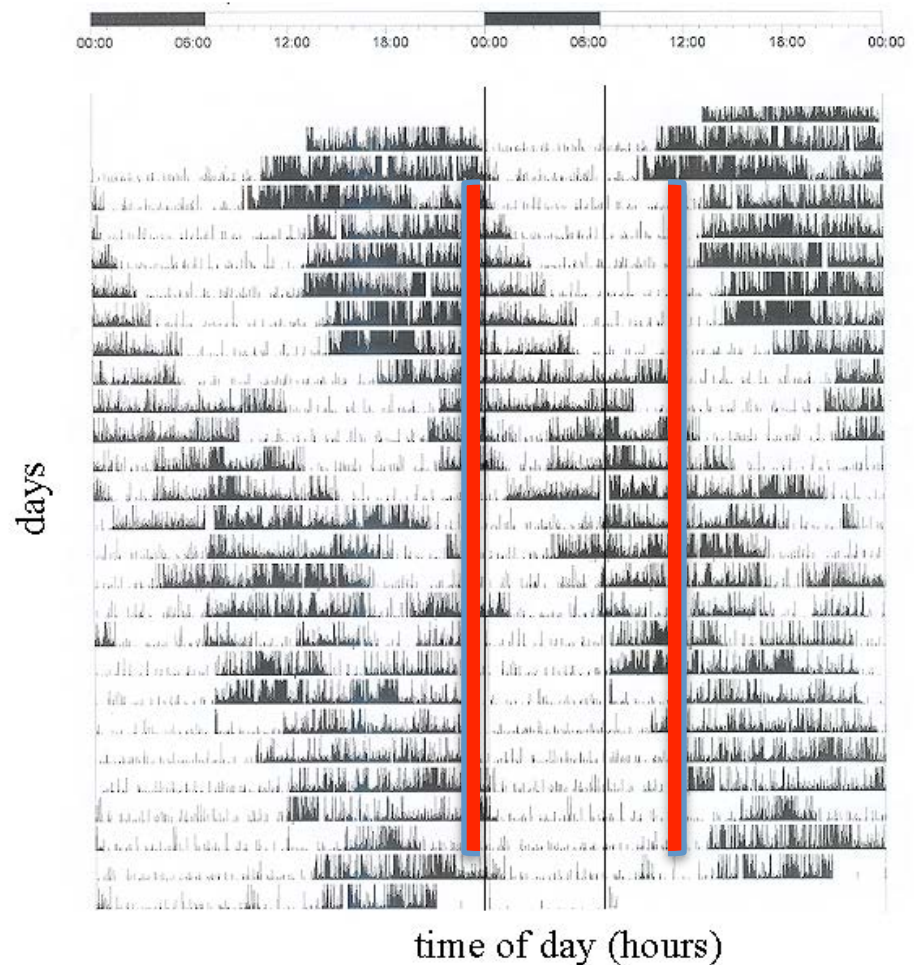
There was once a **young** boy  
from Liverpool,  
Who couldn't wake up early  
to go to school.

He stayed up all night,  
But he stood upright,  
when given **day light**.

Since then, a regular sleep-  
wake time has been the  
rule.

# Non-24 hour

Progressive  
delay in sleep-  
wake times  
Recurring EDS or  
insomnia  
Blind person  
Therapy:  
PM melatonin



Moore R et al. *Sleep: A comprehensive handbook*. Wiley 2006

# Non-24 hr circadian disorder

Three **blind** mice

three blind mice

See how they **free-run**

# Irregular sleep-wake rhythm

No stable sleep-wake patterns

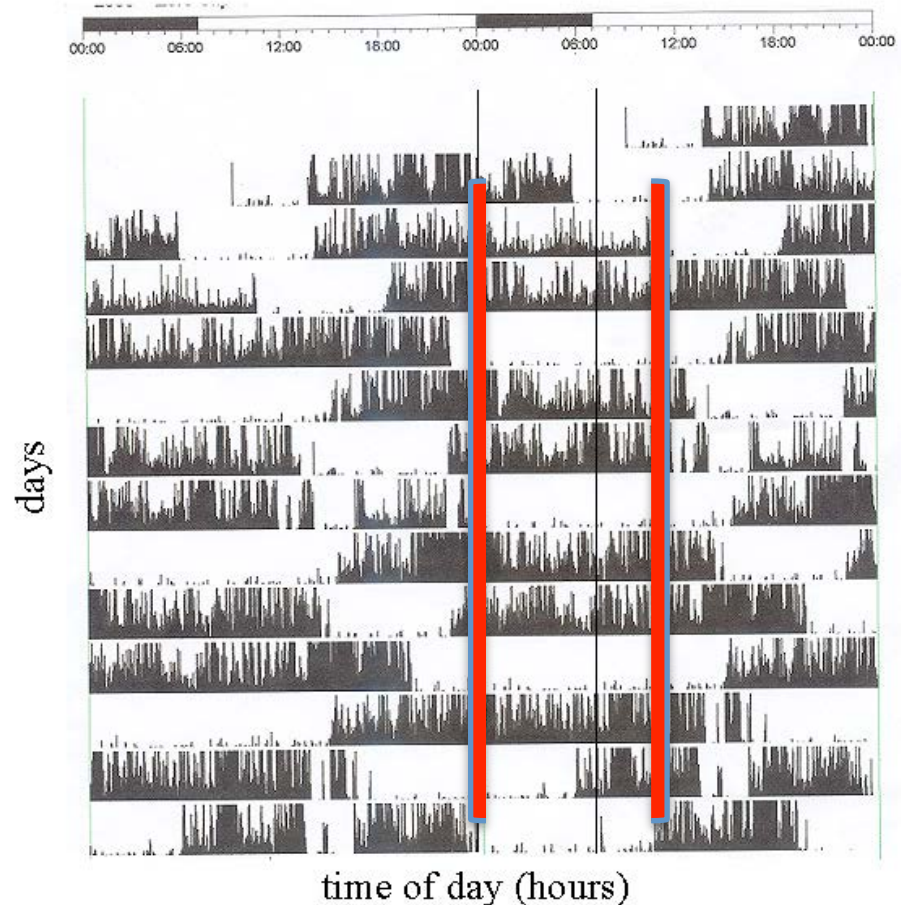
Dementia

r/o poor sleep hygiene

Therapy:

Evening

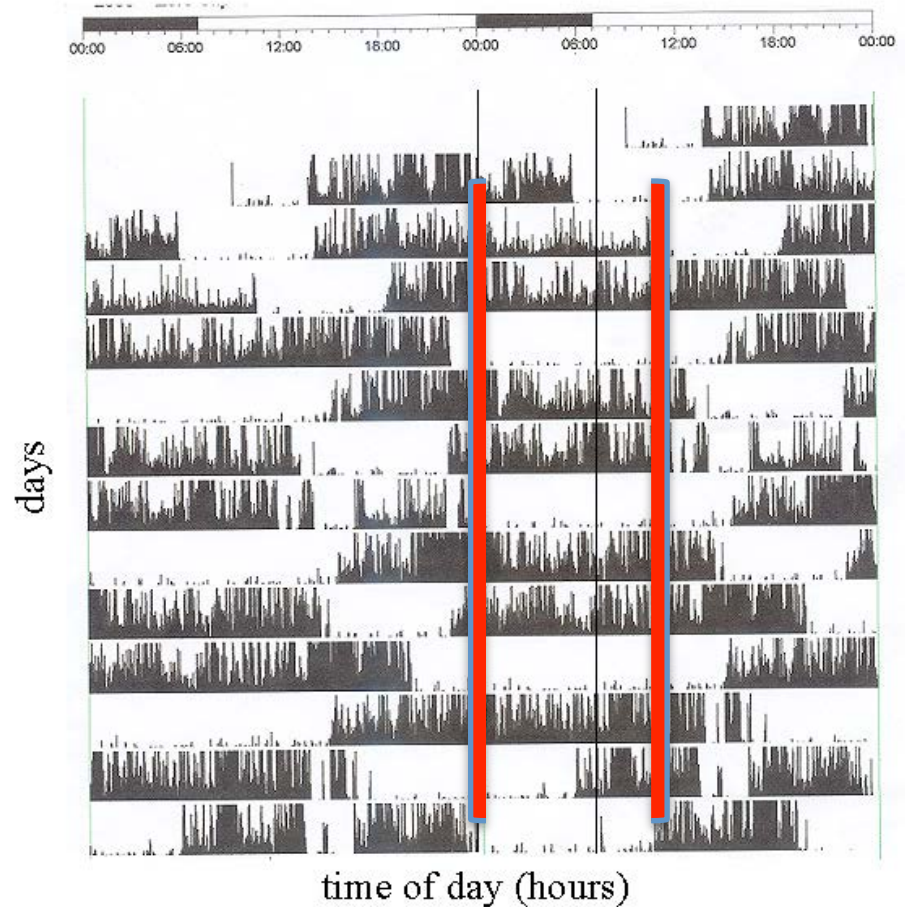
melatonin



Moore R et al. *Sleep: A comprehensive handbook*. Wiley 2006

**Irregular** sleep-  
wake rhythm

**Atrial fibrillation**  
of circadian  
rhythms  
- irregularly  
irregular  
rhythm



*Moore R et al. Sleep: A comprehensive handbook. Wiley 2006*

## Shift work disorder

Workplace light exposure  
Wake-promoting agents  
Napping

To increase nighttime alertness

## Shift work disorder

Workplace light  
exposure  
Wake-promoting  
agents  
Napping

To increase nighttime  
alertness

To improve daytime  
sleep

Allow sufficient time  
for sleep  
Use of hypnotic agents

# Jet lag

Transient insomnia  
and/or EDS due to  
rapid eastward or  
westward air travel  
across multiple time  
zones



# Jet lag

Worse symptoms with:

Aging

Eastward travel

More time zones  
crossed

# Jet lag

Less jet lag:

Worse symptoms with:  
Aging  
Eastward travel  
More time zones  
crossed

“Go west, young man.”

# Jet lag

Less jet lag:

Worse symptoms with:  
Aging  
Eastward travel  
More time zones  
crossed

“Go west, young man.”  
“But not too far.”

## Jet lag

Westward travelers  
are phase-advanced

Early evening  
sleepiness  
Early morning waking

# Jet lag

Westward travelers  
are phase-advanced

Early evening  
sleepiness

Early morning waking

Eastward travelers are  
phase-delayed

Difficulty falling asleep  
Difficulty awakening  
the next day

In every exam,  
all travelers fly  
from New York  
to Paris

from New York  
to Paris

Avoid light in the morning.  
Increase light exposure in the  
afternoon.

from New York  
to Paris

Symptoms remit within one  
day for every time zone  
change



# Evaluation of CRSD

Sleep log or diary

Actigraphy

PSG is not routinely indicated

# CRSD Therapy

Planned napping - SWD

Phototherapy – DSPS, ASPS, FRD, ISWR, SWD, JL

Melatonin – DSPS, FRD, ISWR, SWD, JL

Stimulants and hypnotics – SWD, JL

## Genes

Familial insomnia	GAC to AAC mutation at codon <b>178</b> of chromosome 20 Cosegregates with a methionine polymorphism at codon 129
ASPS	hPer2 ( human Period 2)
CCHS	PHOX2B gene
SUNDS	SCN5A mutation

# Medical and neurologic disorders

Cause of nighttime hypoxia in COPD	Hypoventilation
Best predictor of nighttime hypoxia in COPD	Daytime SaO <sub>2</sub>
Diagnosing nocturnal asthma	Day vs. night PFT or peak flow
Sleep-related GER	Longer acid contact time
Polycystic ovarian syndrome	Greater risk of OSA
Nocturnal seizures	Frontal > temporal > parietal > occipital